

DOCTORAL THESIS

DETERMINATION OF PHYSICAL PROPERTIES AND TOPOGRAPHY OF TNOS FROM STELLAR OCCULTATIONS AND ROTATIONAL LIGHT CURVES: THE CASE OF 2002 MS₄ OBJECT

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Ministério da Ciência, Tecnologia, Inovações e Comunicações Observatório Nacional Programa de Pós-Graduação

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by

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A Thesis submitted to the faculty of the Astronomy Ph.D program from Observatório Nacional in fullfilment of the requirements for the degree of Doctor of Philosophy in Astronomy.

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THESIS SUBMITTED TO THE FACULTY OF THE ASTRONOMY Ph.D. PROGRAM FROM OBSERVATÓRIO NACIONAL IN FULLFILMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR IN ASTRONOMY.

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To Ana and Astor, my loved parents.

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Flavia Luane Rommel

DETERMINATION OF PHYSICAL PROPERTIES AND TOPOGRAPHY OF TNOS FROM STELLAR OCCULTATIONS AND ROTATIONAL LIGHT CURVES: THE CASE OF 2002 MS₄ OBJECT

ABSTRACT

Forty-five years had elapsed since the discovery of Chiron, three decades since the first observation of a trans-Neptunian object (except Pluto), and currently, thousands of small objects have been discovered in the outer solar system. Due to the significant distances from the Sun, it is thought that their global physical-chemical composition has been unaffected since their formation. Therefore, studies about these objects may reveal valuable information about the solar system's formation and dynamical evolution. However, mainly due to the faintness and small angular sizes on the sky plane, the knowledge about Centaurs and trans-Neptunian objects is still scarce and fragmented.

Direct images of these distant objects acquired from Earth are possible only for a few dozen of them, and space-based telescopes also detected a few hundred objects in the thermal band. Therefore, the physical properties of most objects remain entirely unknown. However, the current stellar catalogs' accuracy brings to light one of the most accurate technique to determine an object's size and shape from Earth, the stellar occultations. It consists of acquiring photometric images of a star while a small object passes in front of it (at the sky plane), blocking its flux for a given observer. Occultations have been used successfully in the last ten years for small bodies' size and shape derivations, but also to discover surrounding structures like rings and jets.

This work analyzes nine stellar occultations by 2002 $MS₄$ and one by 2004 $XR₁₉₀$. The images were acquired between 2019 and 2022 from telescopes worldwide and came from various instruments. Therefore, standardization was needed before submitting them to the aperture photometry. The aperture photometry provided the stellar flux as a function of time for each observational station, the occultation light curves. Each positive detection of the occultation is a measurement of the object's limb, and by analyzing all the positive chords at the sky plane, the object's projected limb is derived with sub-km accuracy. Unlike the expected for large objects, the 2002 MS_4 did not present a perfectly rounded limb. Thus a topography search and characterization methodology is developed to measure it adequately. This is the first time that a multichord occultation detected significant topography in a trans-Neptunian object.

Direct images of trans-Neptunian objects from public and private repositories are also analyzed through different approaches. Due to the diversity of formats and header keys, they are submitted to a pre-processing step before the aperture photometry. In these images, the aperture photometry gives the object's flux as a function of time, and the light curves do not provide a limb measurement. However, the variation of the object's flux as a function of the phase angle may provide the absolute magnitude and some superficial information. A rotational light curve also may be derived if data are precise enough. This work presents estimates of the object's absolute magnitude and the rotational period from absolute photometry.

Keywords: Stellar occultations. Trans-Neptunian Objects. 2002 MS₄. 2004 XR₁₉₀.

Flavia Luane Rommel

DETERMINAÇÃO DE PROPRIEDADES FÍSICAS E TOPOGRAFIA DE TNOS A PARTIR DE OCULTAÇÕES ESTELARES E CURVAS DE LUZ ROTACIONAIS: O CASO DO OBJETO 2002 MS⁴

RESUMO

Quarenta e cinco anos se passaram desde a descoberta de Chiron, três décadas desde a primeira observação de um objeto trans-netuniano (exceto Plutão) e atualmente milhares de pequenos corpos foram descobertos no sistema solar exterior. Devido a sua distância ao Sol, acredita-se que sua composição físico-química global foi pouco afetada desde sua formação. Portanto, estudos sobre esses corpos podem revelar informações valiosas sobre a formação e evolução dinâmica do sistema solar. No entanto, devido principalmente ao seu baixo brilho e pequeno tamanho angular no plano do céu, o conhecimento a respeito dos centauros e objetos trans-netunianos ainda é escasso e fragmentado.

Observações diretas destes objetos distantes a partir da Terra são possíveis apenas para algumas dezenas deles e telescópios espaciais também detectaram algumas centenas de objetos na banda do térmico. Portanto, as propriedades físicas da maioria destes corpos permanece completamente desconhecidas. No entanto, a acurácia dos catálogos estelares atuais trouxe a tona uma das mais acuradas técnicas para determinação de tamanho e forma de pequenos corpos, as ocultações estelares. Ela consiste em adquirir imagens fotométricas de uma estrela enquanto um pequeno corpo transita em frente a ela no plano do céu, bloqueado seu fluxo para um dado observador. Elas tem sido utilizadas com sucesso nos últimos dez anos para derivações de forma e tamanho de pequenos corpos, mas também para descobrir estruturas circundantes como anéis e jatos.

Este trabalho analisa nove ocultações estelares por 2002 MS_4 e uma por 2004 XR_{190} . As imagens foram adquiridas entre 2019 e 2022 em telescópios do mundo todo e vieram de vários instrumentos diferentes. Portanto precisaram ser padronizadas antes de serem submetidas à fotometria de abertura. A fotometria de abertura forneceu o fluxo estelar em função do tempo para cada estação observacional, as curvas de luz de ocultação. Cada detecção positiva de ocultação é uma medida do perfil do corpo e, analisando todas as cordas positivas no plano do céu, o limbo projetado do objeto é obtido com acurácia subquilométrica. Diferente do esperado para objetos grandes, 2002 MS⁴ não apresentou um limbo perfeitamente arredondado. Assim uma metodologia de busca e caracterização de topografia é desenvolvida para medi-lo adequadamente. Esta é a primeira vez que uma ocultação multi-cordas detecta topografia significativa em um objeto trans-netuniano.

Imagens diretas dos objetos trans-netunianos oriundos de repositórios públicos e privados também foram analisadas através de abordagens distintas. Devido à diversidade de formatos e chaves no cabeçalho das imagens, elas foram submetidas à uma etapa de pré-processamento antes da fotometria de abertura. A partir destas imagens, a fotometria de abertura fornece o fluxo do objeto em função do tempo e as curvas de luz não oferecem uma medida do limbo do corpo. No entanto, a variação de fluxo do objeto em função do ângulo de fase pode fornecer sua magnitude absoluta e alguma informação sobre sua superfície. Uma curva de rotação também pode ser obtida se os dados forem precisos o suficiente. Este trabalho apresenta estimativas de magnitude absoluta e períodos de rotação para os objetos estudados.

Palavras-chave: Ocultações estelares. Objetos trans-netunianos. 2002 MS₄. 2004 $XR_{190}.$

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List of Abbreviations

FRA France

- FWHM Full-Width at Half Maximum
- Gaia DR2 Gaia Data Release 2
- Gaia DR3 Gaia Data Release 3
- CAHA German-Spanish Astronomical Center at Calar Alto
- GPS Global Positioning System
- HSO Herschel Space Observatory
- HST Hubble Space Telescope
- HUN Hungary
- IRAF Image Reduction and Analysis Facility
- IAA-CSIC Instituto de Astrofísica de Andalucía Consejo Superior de Investigaciones Científicas
- IDL Interactive Data Language®
- IAU International Astronomical Union
- ICRS International Celestial Reference System
- ITA Italy
- JWST James Webb Space Telescope
- JPL Jet Propulsion Laboratory
- JD Julian Date
- JFC Jupiter Family Comet
- LSST Legacy Survey of Space and Time
- LST Local Solar Time
- LS Lomb-Scargle
- m2 Massive prOcessing Of aStronomical imagEs (Moose) version 2
- MPI Max Planck Institute for Astronomy
- MCMC Maximum likelihood via Monte-Carlo Markov Chain
- MMR Mean Motion Resonance
- MPC Minor Planet Center
- MPEC Minor Planet Electronic Circular
- NAM Namibia
- NOIRLab National Optical-Infrared Astronomy Research Laboratory
- NEAT Near-Earth Asteroid Tracking
- NTP Network Time Protocol
- NTT New Technology Telescope
- NIMA Numerical Integration of the Motion of an Asteroid
- om Observing Manager
- OLC Occultation Light Curve
- OP Occultation Portal
- OIS Optimal Image Subtraction
- OSSOS Outer Solar System Origins Survey
- Pan-STARRS 1 Panoramic Survey Telescope and Rapid Response System 1
- PDM Phase Dispersion Method
- OPD Pico dos Dias Observatory Brazil
- PRAIA Platform for Reduction of Astronomical Images Automatically
- PSF Point Spread Function
- PA Position Angle
- RAM Random Access Memory
- RA Right Ascension
- RMS Root Mean Square
- RLC Rotational Light Curve
- SFFT Sacadic Fast Fourier Transformation
- SDO Scattered Disc Object
- OSN Sierra Nevada Observatory Spain
- SNR Signal-to-Noise Ratio
- SS Solar System
- SSOIS Solar System Object Image Search
- ZAF South Africa
- SOAR Southern Astrophysical Research Telescope
- ESP Spain
- SST Spitzer Space Telescope
- SKA Square Kilometre Array
- SORA Stellar Occultation Reduction and Analysis
- SOFIA Stratospheric Observatory for Infrared Astronomy
- TNG Telescopio Nazionale Galileo
- TMT Thirty Meter Telescope
- TNO Trans-Neptunian Object
- TUR Turkey
- 2MASS Two Micron All Sky Survey
- UKR Ukraine
- USA United States of America
- UT Universal Time
- VEN Venezuela
- VLT Very Large Telescope
- VTI Video Time Inserter
- WFI Wield Field Imager
- WCS World Coordinate System
- ZTF Zwicky Transient Facility

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- \mathbf{R}_{eq} equivalent radius
- as_{f} final angular separation

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Chapter 1

Introduction

Neptune's discovery in 1846 and observed perturbations in Uranus' orbit motivated 19thcentury astronomers to search for other distant planets in our SS, leading to the discovery of (134340) Pluto in 1930. After that, the planetary inventory appeared to be complete, with Pluto marking the outer edge of our planetary system [\(FERNÁNDEZ,](#page-146-0) [2020\)](#page-146-0). Therefore, at the time, the known SS was composed of nine planets with its satellites, the Trojan asteroids located at Jupiter's Lagrange points, the comets, and the asteroid belt between Mars and Jupiter.

Pluto's discovery triggered speculation about a population of planetesimals orbiting the Sun with distances greater than 30 au and sparked the debate about our planetary system's formation. Although the first TNO was only discovered in 1992, the pioneering work of [EDGEWORTH](#page-145-0) [\(1943\)](#page-145-0) and [KUIPER](#page-150-0) [\(1951\)](#page-150-0) resulted in cosmogonic models for SS formation and evolution. Both authors conjectured that the low density of material on the outer edge of the planetesimal disk prevented the solid fragments from collapsing into large bodies. Therefore, a reservoir of planets' embryos may exist at distances between ≈ 30 and 300 au [\(FERNÁNDEZ,](#page-146-0) [2020\)](#page-146-0). In this context, TNOs are remnants of the processes that originated the current structure of our planetary system [\(BARUCCI](#page-141-0) *et al.*, [2008\)](#page-141-0). Also, valuable sources of information to improve our knowledge about the SS and a constant test to the current cosmogonic models [\(MORBIDELLI](#page-152-0) *et al.*, [2008;](#page-152-0) NESVORNY and [MORBIDELLI,](#page-153-0) [2012\)](#page-153-0). For instance, the lack of small craters found in the Pluto-Charon system indicates less than expected small impactors during the formation and evolution of the outer SS [\(SINGER](#page-158-0) *et al.*, [2019\)](#page-158-0). In this context, the size-frequency distribution of TNOs smaller than 100 km provides essential information about the SS formation and dynamical evolution.

Hundreds of Centaurs, objects whose orbits are contained between Jupiter and Nep-tune, and TNOs have been observed since the discovery of (2060) Chiron [\(KOWAL](#page-150-1) *et al.*, [1979\)](#page-150-1) and (15760) Albion [\(JEWITT and LUU,](#page-149-0) [1993\)](#page-149-0), respectively. However, mainly due to their faintness and small angular sizes as seen from Earth, the physical knowledge of these distant populations is still scarce and fragmented. For instance, up to date, color

information is available for about 340 objects [\(ALVAREZ-CANDAL](#page-140-0) et al., [2019\)](#page-140-0), and spectra also have been acquired for dozens of objects [\(PEIXINHO](#page-154-0) et al., [2020;](#page-154-0) [PINILLA-](#page-155-0)[ALONSO](#page-155-0) et al., [2020\)](#page-155-0). Only two objects were visited by a spacecraft [\(SPENCER](#page-158-1) et al., [2020a](#page-158-1)[,b\)](#page-159-0), and 178 have a size and albedo derived from space-based thermal observations [\(MÜLLER](#page-153-1) et al., [2020\)](#page-153-1). But the above-mentioned observational approaches have a high operational cost and can only be used to study a few individuals.

Last decade's technological advances allowed for ground-based direct and indirect observations. Thus, professional telescopes performed direct observations to improve objects' astrometry [\(ASSAFIN](#page-140-1) et al., [2010;](#page-140-1) [CAMARGO](#page-144-0) et al., [2014\)](#page-144-0) or even to study their rotation [\(HROMAKINA](#page-149-1) et al., [2018;](#page-149-1) [WONG](#page-162-0) et al., [2019\)](#page-162-0). One of the most well-succeeded strategies to characterize Centaurs and TNOs from Earth-based observations is the stellar occultation method, which consists of observing a background star while a small body passes in front of it, blocking its flux for a few seconds. Stellar occultation has the advantage of not being dependent on the telescope's or object's size, only on the stellar magnitude and prediction accuracy.

Astronomers used stellar occultations decades ago, in March 1977 and July 1985, to discover the ring systems around Uranus and Neptune, respectively. However, the first detection of a TNO by stellar occultation was made only 12 years ago, when [ELLIOT](#page-145-1) [et al.](#page-145-1) (2010) observed 2003 TX₃₀₀ from two well-separated observatories in Hawaii. Since then, an international collaboration between researchers from Brazil (BRA), Spain (ESP), and France (FRA), the so-called Lucky Star project^{[1](#page-37-0)}, has been using occultations to characterize many distant objects [\(BRAGA-RIBAS](#page-142-0) et al., [2019;](#page-142-0) [ORTIZ](#page-154-1) et al., [2020b\)](#page-154-1).

The main objective of this thesis is to perform a joint analysis of results from stellar occultations and direct observations. Stellar occultation provides an accurate limb, and the rotational light curve allows us to constrain the absolute magnitude and albedo. Finally, we developed a methodology for topography identification in stellar occultation data and a model to characterize the relief detected on the projected limb.

At the beginning of this Ph.D. (August 2018), the sample of objects characterized by stellar occultation data was limited to \approx 11 TNOs and two Centaurs. At that time, the second release of the Gaia catalog [\(GAIA COLLABORATION](#page-147-0) et al., [2016b\)](#page-147-0) was about to be published, leaving the object's ephemeris as the primary source of uncertainty in the prediction of stellar occultation events. Therefore, the collaboration was working with classical astrometry observations and the analysis of low-quality occultation data to improve small bodies' astrometry and, consequently, the stellar occultation predictions. In the project's first years, we completed and published the analysis of 37 stellar occultation events by 19 TNOs and four Centaurs. We established lower limits of diameter for some objects and derived accurate astrometry to improve objects' ephemeris. The list of studied targets and the derived coordinates were published in [ROMMEL](#page-156-0) et al. [\(2020\)](#page-156-0).

¹<https://lesia.obspm.fr/lucky-star/index.php>

This work presents the prediction, campaign efforts, and data analysis of nine stellar occultation events by the dwarf planet candidate (307261) 2002 MS₄, hereafter MS4. The most successful observation involved 116 telescopes and happened on August 8, 2020, when 61 observers detected the occultation. The derived profile revealed remarkable features in the object's limb, which led to a search for topographic studies on other TNOs. Up to date, only the Pluto-Charon system [\(GRUNDY,](#page-148-0) [2020\)](#page-148-0) and (486958) Arrokoth [\(SPENCER](#page-158-1) et al., [2020a\)](#page-158-1), the targets of NASA's New Horizons mission [\(WEAVER and](#page-162-1) [STERN,](#page-162-1) [2008\)](#page-162-1), have detailed information about superficial features. But a theoretical approach published by [JOHNSON and MCGETCHIN](#page-149-2) [\(1973\)](#page-149-2) helped us to constrain our results.

The occultation detections also motivated the submission of a proposal to observe this object from the Southern Astrophysical Research Telescope (SOAR) in the second semester of 2020 (Appendix [D\)](#page-186-0). Such an observational run aimed to derive the MS4 rotational light curve near the occultation date and obtain the rotational phase. Although the proposal was approved, the COVID-19 pandemic prevented the observations. So, we searched for public images and studied them to derive as much rotational information as possible. We tried three distinct approaches: i) relative photometry of sequential nights of data, ii) star background subtraction, and iii) absolute photometry of all available images. Details and limitations of each method are described in Sect. [3.2.](#page-73-0) Sect. [4.1.2.1,](#page-117-0) [4.1.2.2,](#page-118-0) and [4.1.2.3](#page-121-0) present the results of each analysis. Finally, the discussion and conclusions from the joint analysis are presented in Sect. [5.](#page-134-0)

Chapter 2

The Trans-Neptunian Objects and Centaurs

In the middle of the 19th century, the architecture of our planetary system was composed of nine planets, its satellites, the asteroid belt between Mars and Jupiter, Jupiter's Trojans, and some comets, i.e., a largely empty place. Nevertheless, theoretical researchers such as [LEONARD](#page-151-0) [\(1930\)](#page-151-0), [EDGEWORTH](#page-145-0) [\(1943\)](#page-145-0), and [KUIPER](#page-150-0) [\(1951\)](#page-150-0) suggested that some material of the primordial solar nebula could remain in the ultra-Neptunian region. The existence of such discs of small objects implies that the idea of an early Sun surrounded by a Laplacian disk of material was reasonable. And that accretion processes dominated the inner part of the disc forming the large planetary objects, leaving the outer edge material to aggregate only by collisions [\(FERNÁNDEZ,](#page-146-0) [2020\)](#page-146-0).

2.1 Discoveries

The theoretical predictions, the development of the Charge Coupled Device (CCD) [\(BOYLE and SMITH,](#page-142-1) [1970;](#page-142-1) [SMITH,](#page-158-2) [1976\)](#page-158-2), and the discovery of a mysterious slow-moving object on October [1](#page-40-0)977 - (2060) Chiron¹ [\(KOWAL](#page-150-1) et al., [1979\)](#page-150-1) motivated David C. Jewitt and Jane X. Luu to start their search for small bodies beyond Neptune in 1987. The program was carried out with the 2.2 m University of Hawaii telescope (Mauna Kea, Hawaii). Only five years later, the first TNO was discovered -1992 QB_1 , currently known as (15760) Albion [\(JEWITT and LUU,](#page-149-0) [1993\)](#page-149-0). This provisional designation followed the current naming convention, starting with the discovery year and then the half-month. The second letter and the numerical suffix indicate the discovery order within that halfmonth. Therefore, the 1992 QB_1 was the 27th small body discovered in the second half of August 1992.

¹Named after the wisest centaur in Greek Mythology. A detailed description of the Chiron's discovery impact can be found on [HODGSON](#page-149-3) [\(1978\)](#page-149-3).

Meanwhile, multiple observers' detected the Chiron's anomalous brightening in 1988/89, leading to the cometary activity hypothesis. The cometary coma first appeared in images taken at Lowell Observatory on April 1989, sparking a burst in the research of the "largest well-observed comet nucleus" [\(HARTMANN](#page-148-1) et al., [1989,](#page-148-1) [1990\)](#page-149-4). Therefore, at the time, strong evidence pointed to Chiron as a large and active distant comet, presenting both short and long-term outbursts [\(LUU,](#page-151-1) [1993\)](#page-151-1).

Observational runs led by David Rabinowitz allowed for the discovery of a Chironlike object from observations at Kitt Peak observatory in January 1992 as part of the Spacewatch project [\(SCOTTI](#page-157-0) *et al.*, [1992\)](#page-157-0)^{[2](#page-41-0)}. 1992 AD showed no signs of cometary activity [\(HAINAUT](#page-148-2) et al., [1992\)](#page-148-2) and was redder than any other known asteroid or comet [\(BUIE](#page-143-0) [and BUS,](#page-143-0) [1992;](#page-143-0) [MUELLER](#page-153-2) et al., [1992\)](#page-153-2). Even though, as with Chiron terminology, it was named after a Greek mythological character - (5145) Pholus^{[3](#page-41-1)}. In the following four years, astronomers detected four additional planet-crossers objects: (7066) Nessus (1993 $HA₂$), 1994 TA, 1995 DW₂, and (8405) Asbolus (1995 GO). Based on the statistics of the discoveries, it became clear that those objects belong to a significant population of small bodies with short-lived orbits between the giant planets [\(HORNER](#page-149-5) et al., [2004\)](#page-149-5), currently known as the Centaurs [\(STERN and CAMPINS,](#page-159-1) [1996\)](#page-159-1). The list of known Centaurs and TNOs increases every day and is publicly available on the MPC web page^{[4](#page-41-2)}. Figure [2.1](#page-41-3) shows the number of objects discovered every year since 1977.

Figure 2.1: Number of distant SS objects discovered every year, according to MPC list, TNOs are presented in blue.

²More information about this project and discoveries can be found on [https://web.archive.org/](https://web.archive.org/web/20081029054125/http://spacewatch.lpl.arizona.edu/outerss_text.html) [web/20081029054125/http://spacewatch.lpl.arizona.edu/outerss_text.html](https://web.archive.org/web/20081029054125/http://spacewatch.lpl.arizona.edu/outerss_text.html)

³Details about the terminology are available on [https://www.minorplanetcenter.net/db_search/](https://www.minorplanetcenter.net/db_search/show_object?object_id=5145) [show_object?object_id=5145](https://www.minorplanetcenter.net/db_search/show_object?object_id=5145)

⁴Visited on November 9, 2022, the numbers here presented are from [https://minorplanetcenter.](https://minorplanetcenter.net/iau/lists/Centaurs.html) [net/iau/lists/Centaurs.html](https://minorplanetcenter.net/iau/lists/Centaurs.html) and <https://minorplanetcenter.net/iau/lists/TNOs.html>

The discovery rate clearly is not constant in time (Fig. [2.1\)](#page-41-3) and increased significantly between 1999 and 2005, mainly due to observations at Mauna Kea (Hawaii), Kitt Peak (Arizona), and Cerro Tololo Inter-American Observatory (CTIO) (Vicuña). The successful observations increased the known population to around a thousand members by mid-2006. Among them, 130 have Pluto-like orbital parameters, and one object has a similar size to (136199) Eris [\(BROWN](#page-143-1) *et al.*, [2006;](#page-143-1) [SICARDY](#page-157-1) *et al.*, [2011\)](#page-157-1).

In this context, the International Astronomical Union (IAU) held a general meeting in August 2006 and debated the definition of a planet. As a result, the scientific community decided that Pluto was the first member of a new class of objects, the dwarf planets. The other confirmed dwarf planets in the trans-Neptunian region are (136108) Haumea, (136199) Eris, and (136472) Makemake [\(VERBISCER](#page-161-0) et al., [2022b\)](#page-161-0). Therefore, citing the IAU resolution $B5⁵$ $B5⁵$ $B5⁵$, the current classification of SS bodies, except satellites, is:

- 1) A planet is a celestial body that
	- a) is in orbit around the Sun,
	- b) has sufficient mass for its self-gravity to overcome rigid body forces so that it assumes a hydrostatic equilibrium (nearly round) shape, and
	- c) has cleared the neighborhood around its orbit.
- 2) A "dwarf planet" is a celestial body that
	- a) is in orbit around the Sun,
	- b) has sufficient mass for its self-gravity to overcome rigid body forces so that it assumes a hydrostatic equilibrium (nearly round) shape,
	- c) has not cleared the neighborhood around its orbit, and
	- d) is not a satellite.
- 3) All other objects, except satellites, orbiting the Sun shall be referred to collectively as "Small Solar System Bodies".

The number of discoveries began to increase again with the Panoramic Survey Telescope and Rapid Response System 1 (Pan-STARRS 1)^{[6](#page-42-1)}, which operated at many telescopes between 2008 and 2014. Early in 2013, the operations of the Outer Solar System Origins Survey (OSSOS)^{[7](#page-42-2)} started [\(BANNISTER](#page-141-1) *et al.*, [2016\)](#page-141-1). Later that year, the Dark Energy Survey $(DES)^8$ $(DES)^8$ began an extensive study of the universe's expansion and discovered hundreds of small outer solar system bodies. In conclusion, the three surveys' discoveries (Pan-STARRS 1, OSSOS, and DES) comprise half of all known objects. Despite DES being operational, the discovery numbers have decreased since the end of OSSOS operations in 2018 (a detailed description of optical surveys is made by [BANNISTER](#page-141-2) [\(2020\)](#page-141-2)). However, it is expected that by the end of the Legacy Survey of Space and

⁵https://www.iau.org/static/resolutions/Resolution_GA26-5-6.pdf

 6 Details about the project can be obtained on [https://outerspace.stsci.edu/display/](https://outerspace.stsci.edu/display/PANSTARRS/) [PANSTARRS/](https://outerspace.stsci.edu/display/PANSTARRS/).

⁷A detailed survey description is available on <http://www.ossos-survey.org/about.html>

⁸More information can be obtained at project's web page <https://www.darkenergysurvey.org/>.

Time (LSST), the astronomical community will know more than ≈ 40000 TNOs larger than 200 km [\(LSST SCIENCE COLLABORATION](#page-151-2) et al., [2009\)](#page-151-2). Therefore, it is just a matter of time before the discovery numbers rise again.

2.2 Dynamical classification

These distant bodies can be classified in different ways according to their size, color, composition, and so on. Nevertheless, due to the considerable distance from Earth and its small size, their physical characteristics are difficult to obtain. On the other hand, the orbital parameters are accessible and provide insights into the SS's evolutionary history. Therefore, the astronomical community uses dynamical properties to classify these objects into sub-populations.

The pioneering works in dynamical classification are those of [ELLIOT](#page-145-2) et al. [\(2005\)](#page-145-2) and [GLADMAN](#page-147-1) et al. [\(2008\)](#page-147-1). Therefore, according to these primary works, the small objects in the outer solar system, except satellites and Trojans, can be divided into:

- a) Jupiter Family Comets (JFCs): objects with a Tisserand parameter [\(TISSERAND,](#page-160-0) [1896\)](#page-160-0) with respect to Jupiter (T_J^9) (T_J^9) (T_J^9) lower than 3.05 and a q lower than 7.35 au;
- b) Centaurs: objects with an orbit between the giant planets;
- c) Inner Oort cloud bodies: objects with semi-major axis greater than 2000 au;
- d) Scattered Disc Objects (SDOs): objects that currently have extreme orbits, usually a perihelion distance between 20 and 40 au, a semi-major axis around 90 au, and e ≈ 0.6 ;
- e) Detached TNOs: non-scattering objects with $e > 0.24$ that are not so far away that forces external to the solar system can affect their current dynamics $(a < 2000 \text{ au})$. Also, they are dynamically decoupled from Neptune's influence;
- f) Resonant TNOs: individuals trapped in place by the Mean Motion Resonance (MMR) with Neptune. The largest subgroup of objects is the plutinos: named after their most prominent member, Pluto. It comprises the objects that are in the 2:3 MMR region (39.4 au);
- g) Classic TNOs: objects with $e < 0.25$. It can be divided into inner $(a < 39.4 \text{ au})$, outer $(a > 47.8 \text{ au})$, and main classical belt. Due to its orbital inclination, it can also be classified as a Hot $(i > 5^{\circ})$ or Cold $(i < 5^{\circ})$ classical object.

Figure [2.2a](#page-44-0) presents a not-in-scale scheme of orbital eccentricity as a function of the semi-major axis, showing the location of each class of objects, as defined above. It is important to mention that the curved line starting between Jupiter and Saturn is not a perihelion curve. Instead, it is the boundary between JFCs and other objects. Departing

⁹A dynamical quantity that is approximately conserved during an encounter of a small body with Jupiter. It can be calculated under the restricted three-body problem using the object's orbital elements: a, orbit eccentricity (e), and orbit inclination (i).

from Gladman's scheme, [KHAIN](#page-150-2) et al. [\(2020\)](#page-150-2) developed an automated method to identify MMR with Neptune and updated some classes (Fig. [2.2b\)](#page-44-0). The main changes rely on the classification of Centaurs and SDOs, as follows:

- 1. Centaurs: objects that suffer strong orbit perturbations due to the proximity with the giant planets. It can be divided into two sub-populations: inner Centaurs with semi-major axis smaller than Neptune's, and outer Centaurs, which have perihelion distances shorter than Neptune's semi-major axis $(q < 30 \text{ au})$ and semi-major axes larger than Neptune's $(a > 30 \text{ au})$;
- 2. SDOs: objects with orbits fully outside the region of the giant planets that suffer rapid and significant variations in their semi-major axis.

Figure 2.2: The cartoon (not in scale) for the nomenclature scheme proposed by [GLAD-](#page-147-1)[MAN](#page-147-1) *et al.* [\(2008\)](#page-147-1) and [KHAIN](#page-150-2) *et al.* [\(2020\)](#page-150-2), respectively. a) The giant planet's positions are indicated by its first letters: Jupiter (J) , Saturn (S) , Uranus (U) , and Neptune (N) , and the T_J is the Tisserand parameter with respect to Jupiter. b) The solid black curves indicate a constant perihelion distance with $q = 7.35$ au and $q = 30$ au (top to bottom).

[TRUJILLO and SHEPPARD](#page-161-1) [\(2014\)](#page-161-1) noticed that distant orbits within the scattered disk population presented an unexpected clustering in their argument of perihelia. The authors suggested that a super-Earth-mass at 250 au can maintain such alignment but cannot put objects in such orbits. [BATYGIN and BROWN](#page-141-3) [\(2016,](#page-141-3) [2021\)](#page-141-4) showed that objects' perihelion positions and orbital planes are tightly confined. The authors stated that an outer planet with about ten times the Earth's mass could maintain such alignment. Its perihelion must be 180° away from the observed bodies' perihelion direction. Such a planet's existence–the "Planet Nine", can inject Oort cloud objects into inner orbits and helps to explain other sub-populations, like the objects with extreme orbital inclinations. The discovery of long-period TNOs with $a > 1000$ au has supported the hypothesis and the search for the "Planet Nine".

Combining orbital and surface information allows the identification of clusters of ob-

jects with similar properties, i.e., a possible common origin. The so-called collisional families are remnants of a disruptive collision of the parent planetesimal. Many of those sub-populations are known in the main belt (ZAPPAL \tilde{A} et al., [1995;](#page-162-2) NESVORN \acute{Y} et al., [2015\)](#page-153-3), but up to date, only one was discovered in the trans-Neptunian region–the Haumea family [\(BROWN](#page-143-2) *et al.*, [2007\)](#page-143-2). Except for Haumea and its satellites, the other ten confirmed members are [\(VILENIUS](#page-162-3) et al., [2018\)](#page-162-3):

Designation Reference (24835) 1995 SM₅₅ [RAGOZZINE and BROWN](#page-156-1) (2007) (19308) 1996 TO₆₆ [RAGOZZINE and BROWN](#page-156-1) (2007) (86047) 1999 OY₃ [RAGOZZINE and BROWN](#page-156-1) (2007) (55636) 2002 TX₃₀₀ [RAGOZZINE and BROWN](#page-156-1) [\(2007\)](#page-156-1) (416400) 2003 UZ₁₁₇ [SCHALLER and BROWN](#page-157-2) [\(2008\)](#page-157-2) (120178) 2003 OP₃₂ [RAGOZZINE and BROWN](#page-156-1) (2007) (612620) 2003 SQ_{317} [SNODGRASS](#page-158-3) *et al.* [\(2010\)](#page-158-3) (145453) 2005 RR₄₃ [RAGOZZINE and BROWN](#page-156-1) (2007) (308193) 2005 CB₇₉ [SCHALLER and BROWN](#page-157-2) (2008) (386723) 2009 YE₇ [TRUJILLO](#page-161-2) *et al.* (2011)

Table 2.1: List of confirmed members of the Haumea collisional family.

2.3 Physical characteristics: current picture

This section intends to summarize the current knowledge about the physical properties of TNOs and Centaurs. As already stated, any direct measurement of these distant objects is complex and requires many hours of observations in large telescopes. Even with professional instruments, surface details, precise size, and shape measurements cannot be obtained with Earth-based observations of the reflected light. Despite the mentioned difficulties, some objects have been studied using different observational approaches, such as a) space-based observations taken by Herschel Space Observatory $(HSO)^{10}$ $(HSO)^{10}$ $(HSO)^{10}$, Spitzer Space Telescope $(SST)^{11}$ $(SST)^{11}$ $(SST)^{11}$, Hubble Space Telescope $(HST)^{12}$ $(HST)^{12}$ $(HST)^{12}$, and New Horizons spacecraft^{[13](#page-45-3)}; b) long exposure images from professional telescopes on Earth and; c) Earth/space-based stellar occultations. The following sections will outline essential concepts and results from all mentioned observational approaches.

¹⁰<https://www.herschel.caltech.edu/>

¹¹<https://www.spitzer.caltech.edu/science-themes/mission>

¹²<https://hubblesite.org/mission-and-telescope/the-telescope>

¹³https://www.nasa.gov/mission_pages/newhorizons/overview/index.html

2.3.1 Equilibrium shapes

According to the IAU definition, to be classified as a dwarf planet, the small body must be in hydrostatic equilibrium, i.e., its gravity overcomes rigid body forces. It is not an easyto-measure property, but it is related to the object's size and shape. Gravitational forces do not dominate smaller objects, and objects can depart from the equilibrium shapes. Depending on the object's composition and heliocentric distances, the critical diameter between both populations can vary. In the case of TNOs with densities around 1.3 gram per cubic centimeter $(g/cm³)$, the critical diameter is about 450 km [\(TANCREDI and](#page-159-2) [FAVRE,](#page-159-2) [2008\)](#page-159-2). It is thought that a significant fraction of TNOs present equilibrium shapes because they are larger than the mentioned critical diameter and are composed, as far we know, of materials with a fluid behavior (in geological timescales).

The pioneering work about the gravitational equilibrium of not differentiated rotating objects was made by [CHANDRASEKHAR](#page-144-1) [\(1987\)](#page-144-1). The author stated that an isolated body composed of an incompressible fluid would assume one of the following threedimensional shapes, depending on the angular momentum:

- a) MacLaurin: results from a low angular momentum. It consists of an oblate spheroid with axis $a = b > c$, where a is the semi-major axis, b the semi-minor axis, and c the polar axis (Fig. [2.3a](#page-46-0));
- b) Jacobi: is the equilibrium shape of an object with high angular momentum, which is an ellipsoid with three-axis $a > b > c$ (Fig. [2.3b](#page-46-0));

Figure 2.3: Example of a MacLaurin and a Jacobi form (see text). Image reproduced from [BRAGA-RIBAS](#page-142-2) *et al.* [\(2013\)](#page-142-2).

The author defined two non-dimensional parameters (Γ, Ω) that can be evaluated as a function of the object's axis to infer if the object has an equilibrium shape. MacLaurin forms have $\Gamma \le 0.303$ and $\Omega \le 0.374$, while Jacobi shapes have $0.303 \le \Gamma \le 0.39$ and $0.284 \le \Omega \le 0.374$. Finally, objects with Γ values above 0.39 do not have one of the hydrostatic equilibrium shapes defined above. For $\Omega > 0.374$ values, the angular velocity becomes more important, and the objects may fragment. The mentioned non-dimensional parameters are defined as

$$
\Gamma = \frac{L}{\sqrt{GM^3O_R}},
$$

 $L =$ angular momentum, $G =$ gravitational constant, $M =$ object's mass,

 $\Omega =$ ω^2 $\pi \mathrm{G} \rho$

,

 $O_{\rm R} =$ object's radius, ω = angular velocity, $\rho =$ object's density.

2.3.2 Rotation and shape

As stated above, a body with no internal cohesion adopts an equilibrium shape, which depends on its rotational rate. The centrifugal acceleration must be smaller or equal to the gravitational acceleration to maintain the material aggregated into a single body; otherwise, the object breaks into smaller pieces. The critical rotational period where the centrifugal forces overcome the gravitation can be calculated in many ways, depending on the information available and previous assumptions. [DAVIDSSON](#page-144-2) [\(1999,](#page-144-2) [2001\)](#page-144-3) took into account the internal cohesion of spherical and non-spherical solid objects. They used the material's tensile strength (expressed in Pascal), the object's radius, and density to calculate the period. In the case of prolate and oblate forms, the ratio of the axes is also considered. On the other hand, [PRAVEC and HARRIS](#page-155-1) [\(2000\)](#page-155-1) defined the critical period of a prolate spheroid considering only the amplitude of the magnitude variation and the object's density

$$
P_{\rm crit} = 3.3 \sqrt{\frac{1 + \Delta m}{\rho}},\tag{2.1}
$$

where $P_{\rm crit}$ is expressed in h, rotational light curve amplitude (Δm) is given in mag and object's density (ρ) in g/cm^3 .

An observer can measure the reflected light of a rotating body over an entire period to derive the Rotational Light Curve (RLC), i.e., the object's flux variation at a given wavelength as a function of time. The object's instrumental magnitude is determined as follows

$$
M_{obj} = -2.5 \times \log(F_{obj}),\tag{2.2}
$$

where F_{obj} is the measured flux of the TNO. However, the observed magnitude can vary according to the object's phase angle (α) , i.e., the angle between the incident light and the observer direction, as seen from the object's surface (Fig. [3.8\)](#page-74-0).

Continuous observations from Earth revealed that most airless bodies exhibit a brightness enhancement when phase angles are near zero–the opposition surge [\(GEHRELS,](#page-147-2) [1956\)](#page-147-2). The intensity of such variations in brightness depends on the object's surface properties. Therefore, hints about the surface are obtained from the behavior of the so-called solar phase curves, i.e., the measured magnitudes as a function of the phase angle. With that, the object's absolute magnitude, i.e., the magnitude of the small body if observed at $\alpha = 0$ at a distance of one au from both the Sun and the Earth, can be calculated.

Even though Earth's orbit size restricts TNOs' solar phase curves up to $\alpha < 2^{\circ}$, its analysis revealed strong correlations between the linear coefficient (β) and the observed wavelength, as well as with its dynamical class [\(RABINOWITZ](#page-155-2) *et al.*, [2007;](#page-155-2) [SCHAEFER](#page-156-2) [et al.](#page-156-2), [2009\)](#page-156-2). Therefore, a precise solar phase curve may provide invaluable information about the object's surface composition and dynamical classification (Fig. [2.5b\)](#page-51-0).

An attempt to increase the α coverage of TNOs has been ongoing since 2007 by the New Horizons mission. The spacecraft has monitored the photometric behavior of ≈ 30 objects from different viewing geometries ($\alpha < 170^{\circ}$). The aim is to study the reflectance variation with the object's rotation at different phase angles to determine the solar phase curves, rotational periods, and pole directions. The last two parameters are essential to constrain the object's three-dimensional shape using the RLC's inversion method [\(PORTER](#page-155-3) et al., [2022;](#page-155-3) [VERBISCER](#page-161-3) *et al.*, [2022a,](#page-161-3)[b\)](#page-161-0). The pole direction is essential to determine the aspect angle (ζ) , i.e., the angle between the observer and the spin axis at a given epoch (Fig. [3.8\)](#page-74-0).

To illustrate the importance of the ζ in the rotational studies, we will assume two rotating objects in hydrostatic equilibrium with a MacLaurin spheroidal shape and a Jacobi ellipsoidal form, respectively. If $\zeta = 90^{\circ}$, the photometry reveals distinct RLCs for each object (Fig. [2.4\)](#page-48-0). On the other hand, with $\zeta \approx 0^{\circ}$, the observer will always see the same surface section, and the RLC will be flat in both cases.

Figure 2.4: Representation of an object with a MacLaurin/Jacobi shape and the resulting RLCs when observed equator-on $(\zeta = 90^{\circ})$. The lower rotational light curve is caused by the dark spot on the object's simulated surface. Image reproduced from [THIROUIN](#page-160-1) [et al.](#page-160-1) [\(2014\)](#page-160-1).

Objects in hydrostatic equilibrium generally present sinusoidal RLCs, as shown in Fig. [2.4.](#page-48-0) Due to its elongation, the Jacobi forms present double-peaked curves with amplitudes greater than ≈ 0.15 mag [\(DUFFARD](#page-145-3) *et al.*, [2009\)](#page-145-3). Meanwhile, the MacLaurin shapes with featureless surfaces have flat RLCs. If variegation on the superficial albedo exists, the RLCs may present a single peak and small amplitudes. In some cases, it is hard to distinguish between both possibilities. But once done, the Δm can provide a lower limit for the object's elongation by calculating the ratio between the object's axis assuming an equatorial view $(\zeta = 90^{\circ})$ as follows [\(THIROUIN,](#page-160-2) [2013\)](#page-160-2),

$$
\Delta m = 2.5 \log \left(\frac{a}{b} \right). \tag{2.3}
$$

Despite being a powerful method to derive information about the physical properties of small bodies, only 5% of the Centaurs have some rotational information, with a mean rotational period (P) of 8.1 h [\(PEIXINHO](#page-154-0) *et al.*, [2020\)](#page-154-0). Some surveys have been done to acquire rotational information from TNOs and provided a mean P of 8.45 ± 0.58 h [\(THIROUIN and SHEPPARD,](#page-160-3) [2019\)](#page-160-3). According to the authors, the cold classical population has slower rotators with a mean P of 9.48 ± 1.53 h, and confirmed members of the Haumea collisional family are thought to be faster with a mean P of 6.27 ± 1.19 h [\(THIROUIN](#page-160-4) *et al.*, [2016\)](#page-160-4). No studies dedicated to the rotational properties of hot classical TNOs are available, so it is reasonable to assume a rotational period in the range of 8-9 h for this population. In terms of rotational light curve amplitude, about 65% of the TNOs have $\Delta m < 0.2$ mag. Trends to large Δm are present in small bodies observed by OSSOS [\(ALEXANDERSEN](#page-140-2) et al., [2019\)](#page-140-2) and large objects classified as Plutinos and classical cold objects observed from Lowell's observatory [\(THIROUIN and SHEPPARD,](#page-160-5) [2018,](#page-160-5) [2019\)](#page-160-3).

It is common to find variations in solar phase curves [\(DOBSON](#page-145-4) et al., [2021\)](#page-145-4) and the RLC's shape or amplitude over many years. They may be caused by changes in ζ (as stated before), unknown satellites [\(FERNÁNDEZ-VALENZUELA](#page-146-1) et al., [2019\)](#page-146-1), recent impacts, cometary activity, shifts in the pole direction, multiple objects [\(RABINOWITZ](#page-155-4) *[et al.](#page-155-4)*, [2020\)](#page-155-4), or even due to the presence of rings [\(DUFFARD](#page-145-5) *et al.*, [2014\)](#page-145-5). For instance, the RLC of the contact binary 2001 QG_{298} observed in 2003 and again in 2010 presented a considerable variation in the shape and amplitude due to observational circumstances [\(SHEPPARD and JEWITT,](#page-157-3) [2004;](#page-157-3) [LACERDA,](#page-150-3) [2011\)](#page-150-3). A complete review of the current knowledge about binaries in the trans-Neptunian region is presented by [\(NOLL](#page-153-4) et al., [2020\)](#page-153-4). In conclusion, the rotational analysis provides fundamental information about the object's three-dimensional shape, surface, binarity, and dynamical origin (HANUS *et al.*, [2018\)](#page-148-3).

2.3.3 Surface and atmosphere

Object surface information can be obtained using different filters in Earth- and spacebased photometric observations. To date, observations of \approx 258 Centaurs and TNOs have been analyzed and classified into the BB, BR, IR, or RR taxonomic groups. This classification scheme is based on a multivariate analysis of the object's BVRJI colors, where BB stands for blue and RR for red colors. The other two are intermediary groups, between blue and red [\(BARUCCI](#page-141-5) et al., [2001;](#page-141-5) [BELSKAYA](#page-142-3) et al., [2015\)](#page-142-3). The TNOs are present in all groups. At the same time, most of the Centaurs belong to BR or RR classes [\(FULCHIGNONI](#page-146-2) et al., [2008\)](#page-146-2), a curious property since Centaurs are thought to be originated in the trans-Neptunian region. A trend for red surfaces was also found on the classical belt of TNOs, currently known as the cold classical population, thought to hold the most pristine surfaces [\(DORESSOUNDIRAM](#page-145-6) *et al.*, [2008\)](#page-145-6). The plot in Fig. [2.5a](#page-51-0) presents a combination of the albedo measured by the HSO and spectral slopes from the literature. [LACERDA](#page-151-3) *et al.* [\(2014a\)](#page-151-3) identified two types of surfaces: dark neutral (blue points) and bright red objects (red points).

Photometric studies in the visible wavelength are an accessible and fast observational approach to studying large amounts of TNOs. Therefore, many studies about objects' visible colors are available in the literature. However, a controversy is being debated by the community regarding the bi-modality presented by some objects [\(PEIXINHO](#page-154-2) *et al.*, [2012\)](#page-154-2). In this context, [AYALA-LOERA](#page-141-6) *et al.* [\(2018\)](#page-141-6) and [ALVAREZ-CANDAL](#page-140-0) *et al.* [\(2019\)](#page-140-0) analyzed the data under a new approach; they defined an absolute color (H_V-H_R) and a relative phase coefficient ($\Delta \beta = \beta_{\rm V} - \beta_{\rm R}$). The authors found a strong anti-correlation between both parameters indicating that redder objects have steeper phase curves (smaller $\Delta\beta$) when observations are performed in the R filter compared to observations in the V filter, and the opposite holds for bluer objects. These findings do not support the bi-modal thesis and present an intrinsic common property for objects in distinct orbits (Fig. [2.5b\)](#page-51-0).

Surface physical and chemical properties can be studied by modeling the object's nearinfrared spectrum. Acquiring spectral information for such faint objects is hard, but once it is obtained, the spectrum probes the upper surface layers and provides hints about the presence of minerals and ice [\(COOK](#page-144-4) et al., [2023\)](#page-144-4). The absorption features are used to identify chemicals and, combined with albedo information, constrain the surface models, making them converge for a pure or diluted state [\(BARUCCI](#page-141-0) *et al.*, [2008\)](#page-141-0). Among the models available in the literature, the widest used to constrain physical-chemical surface properties was proposed by [HAPKE](#page-148-4) [\(1981\)](#page-148-4), which gives hints about grain sizes, abundances, and surface scattering properties [\(DEMEO,](#page-144-5) [2010\)](#page-144-5). The approach is used to obtain composition maps of Pluto from New Horizons images, where latitudinal variations of methane and nitrogen ice are detected [\(PROTOPAPA](#page-155-5) *et al.*, [2017\)](#page-155-5). Other interesting features were identified in the TNOs spectrum, like methanol and crystalline water ice

(a) Image from [LACERDA](#page-151-3) *et al.* [\(2014a\)](#page-151-3). (b) Image from [AYALA-LOERA](#page-141-6) *et al.* [\(2018\)](#page-141-6).

Figure 2.5: (a) Albedo measured by HSO plotted against visible color quantified by the spectral slope, S', in units of $\frac{\%}{1000 \text{ Å}}$. Blue indicates objects with dark neutral color, red dots mark the reddest bodies, Haumea-type surfaces are in orange, large TNOs in green, and black points have ambiguous surface color. (b) Relation between absolute color and relative phase coefficient for about 110 objects. Colors indicate the orbital semi-major axis, where: $a < 40$ au are in blue, green diamonds show objects in the range $40 < a < 50$ au, and red squares present bodies with $a > 50$ au.

signatures detected in (136108) Haumea, (50000) Quaoar, (5145) Pholus, (83982) Crantor, (90482) Orcus, (120348) 2004 TY₃₆₄, and (145453) 2005 RR₄₃ [\(GOURGEOT](#page-147-3) *et al.*, [2016;](#page-147-3) [SOUZA-FELICIANO](#page-158-4) et al., [2018\)](#page-158-4).

The knowledge about topography on distant small solar system bodies is still scarce. The exceptions are the targets of the New Horizons mission: the Pluto-Charon system and (486958) Arrokoth. Detailed studies about the imaged fraction of their surfaces are available and reveal some topography [\(MOORE](#page-152-1) et al., [2016;](#page-152-1) [NIMMO](#page-153-5) et al., [2017;](#page-153-5) [SPENCER](#page-158-1) et al., [2020a\)](#page-158-1). Pluto's imaged hemisphere presented features between -3 km and 4 km, and crater diameters between 0.5 and 250 km. Charon, with a diameter of 1012 km, presents relief features with a variation in elevation of about 25 km, mainly near the Serenity and Mandjet chasms (Fig. [2.6a\)](#page-52-0). The largest observed crater in Charon is the 6 km deep Dorothy Gale, whose diameter is 230 km. Arrokoth, despite being a much smaller object, also has a large crater in its smaller lobe (Fig. [2.6b\)](#page-52-0). It has $\approx 7 \text{ km}$ in diameter and 6 km in depth [\(SPENCER](#page-158-1) et al., [2020a\)](#page-158-1).

Using the model proposed by [JOHNSON and MCGETCHIN](#page-149-2) [\(1973\)](#page-149-2) to analyze when an object's self-gravity overcomes the material strength on planetary satellites, we can estimate the scale of supported topography by calculating the

$$
h_{\rm top} \leqslant \frac{3\gamma S}{4\pi\rho^2 O_{\rm R} G},\tag{2.4}
$$

Figure 2.6: (a) Digital Elevation Model map of Charon. Image reproduced from [MOORE](#page-152-1) [et al.](#page-152-1) [\(2016\)](#page-152-1). (b) Arrokoth craters image taken by New Horizons, where the green circle indicates the region of the largest crater. Image adapted from [\(SPENCER](#page-158-1) *et al.*, [2020a\)](#page-158-1).

where $G = 0.00000006674184 \text{ cm}^3/\text{g.s}^2$ is the gravitational constant (G), S is the strength of the material, ρ is the object's density given in $\rm g/cm^3, O_R$ is the object's radius expressed in centimeters (cm), and γ is a non-dimensional value that represents the strength of the material with depth, i.e., $\gamma = 1$ at the surface and γ increases toward the nucleus. Using Pluto and Charon's published radius, density [\(NIMMO](#page-153-5) *et al.*, [2017\)](#page-153-5), and strength for icy bodies strength of the material $(S)_{ice} = 0.0303 \times 10^9$ Dyn/cm², the limits for supported topography in those objects are obtained with Eq. [2.4](#page-51-1) and presented in Fig. [2.7.](#page-52-1) For example, the lower limit for supported topography on Pluto (blue) and Charon (green) surfaces is obtained using $\gamma = 1$ (crosses). However, if material strength increases toward the nucleus, we can use $\gamma = 2$ (dots) to derive topography height closer than the elevations observed by New Horizons.

Figure 2.7: Height of supported topography on Pluto (blue) and Charon (green) surfaces, as obtained with Eq. [2.4](#page-51-1) and published values for density and radius. Crosses indicate the result using $\gamma = 1$, and dots are for $\gamma = 2$.

A different approach that can be used to estimate topography scales in rocky asteroids and icy satellites was described by [TANCREDI and FAVRE](#page-159-2) [\(2008\)](#page-159-2). The authors used the relative roughness parameter [\(THOMAS,](#page-160-6) [1989\)](#page-160-6) to characterize the departure of an object's limb from the fitted ellipse in a probe image. The parameter is computed by dividing the RMS of the fitted ellipse by the object's radius. As a result, the authors find that planetary satellites in hydrostatic equilibrium have a diameter between 400 - 500 km and a relative roughness smaller than 1%. However, irregular satellites have smaller diameters and greater relative roughness $(< 5\%)$.

A stellar occultation also was responsible for detecting a superficial feature on the TNO 2003 AZ₈₄. The usual occultation light curves for airless objects present sharp drops in the star flux (see [BRAGA-RIBAS](#page-142-2) et al., [2013;](#page-142-2) [BENEDETTI-ROSSI](#page-142-4) et al., [2016;](#page-142-4) [VARA-](#page-161-4)[LUBIANO](#page-161-4) et al., [2022\)](#page-161-4). However, in February 2012, one of the observers registered the gradual star's disappearance followed by an abrupt reappearance. One explanation for such an effect on a light curve is the presence of topography in the object's limb. Fig. [2.8](#page-53-0) presents both hypotheses formulated by the authors to explain the feature in the occultation light curve, a chasm, or a depression. The equivalent diameter of 2003 AZ_{84} is 784 km, and the chasm model presents a 23 km deep and 8 km large structure, much more profound than chasms observed on the Charon surface. The alternative explanation is a shallow depression with a length of ≈ 80 km and a depth of ≈ 13 km inside the craters range observed in Charon [\(DIAS-OLIVEIRA](#page-144-6) et al., [2017\)](#page-144-6).

Figure 2.8: Both solutions regarding the topography detected on 2003 AZ_{84} during a stellar occultation observation. Star's apparent path is from right to left, and red segments present its motion during each exposure interval. Image reproduced from [DIAS-](#page-144-6)[OLIVEIRA](#page-144-6) et al. [\(2017\)](#page-144-6).

Regarding atmospheric studies in TNOs, the only confirmed global atmosphere in TNOs is for Pluto. It was discovered in 1988 during a stellar occultation and has been extensively studied by stellar occultations [\(HUBBARD](#page-149-6) *et al.*, [1988;](#page-149-6) [ELLIOT](#page-145-7) *et al.*, [1989;](#page-145-7) [MEZA](#page-152-2) et al., [2019;](#page-152-2) [PORO](#page-155-6) et al., [2021;](#page-155-6) [SICARDY](#page-158-5) et al., [2021\)](#page-158-5), spectroscopy [\(OWEN](#page-154-3) [et al.](#page-154-3), [1993;](#page-154-3) [LELLOUCH](#page-151-4) et al., [2022\)](#page-151-4), and New Horizons in situ observations [\(FOR](#page-146-3)GET [et al.](#page-145-8), [2021;](#page-146-3) FAN et al., [2022\)](#page-145-8). The CH₄ and N₂ volatiles identified on Pluto was also inferred to be present in Eris and Makemake, making them good candidates to support at least a seasonal atmosphere [\(HOFGARTNER](#page-149-7) et al., [2019;](#page-149-7) [YOUNG](#page-162-4) et al., [2020\)](#page-162-4). However, the lack of atmosphere detection on stellar occultations by both dwarf planets combined with the similar-to-Pluto spectrum and Eris's high albedo favors the hypothesis of resurfacing mechanisms [\(SICARDY](#page-157-1) et al., [2011;](#page-157-1) [ORTIZ](#page-154-4) et al., [2012\)](#page-154-4). In conclusion, despite the great effort that has been made to search for global and local atmospheres in the largest known TNOs, only upper limits have been placed [\(SICARDY](#page-157-1) [et al.](#page-157-1), [2011;](#page-157-1) [BRAGA-RIBAS](#page-142-2) et al., [2013;](#page-142-2) [ORTIZ](#page-154-5) et al., [2017;](#page-154-5) [MORGADO](#page-153-6) et al., [2022;](#page-153-6) [SANTOS-SANZ](#page-156-3) et al., [2022\)](#page-156-3).

2.3.4 Satellites, jets, and ring systems

Although HST images revealed a close binary object in the Centaur population in 2007 [\(GRUNDY](#page-148-5) et al., [2007\)](#page-148-5), no satellites around a Centaur object are known. The discovery of the first satellite of a TNO dates back to 1978 when Pluto's largest moon was detected–Charon [\(SMITH](#page-158-6) *et al.*, [1978\)](#page-158-6). Since then, another four were observed: Nix, Hydra, Kerberos, and Styx [\(WEAVER](#page-162-5) *et al.*, [2005;](#page-162-5) [SHOWALTER](#page-157-4) *et al.*, [2011,](#page-157-4) [2012\)](#page-157-5). Besides Pluto, many other TNOs have small companions, such as Haumea, Eris [\(BROWN,](#page-143-3) [2005a](#page-143-3)[,b\)](#page-143-4), Makemake [\(PARKER](#page-154-6) et al., [2016\)](#page-154-6), Quaoar, Orcus, 2002 UX₂₅, 2003 AZ_{84} [\(BROWN and SUER,](#page-143-5) [2007\)](#page-143-5), Salacia [\(NOLL](#page-153-7) *et al.*, [2006\)](#page-153-7), and others^{[14](#page-54-0)}.

A stellar occultation by Chiron on November 1993 revealed the first measurement of confined material orbiting a Centaur or TNO (BUS [et al.](#page-144-7), [1996;](#page-144-7) [RUPRECHT](#page-156-4) et al., [2015\)](#page-156-4). Both events revealed light curves with sharp and narrow dips about 300 km from Chiron. As Chiron presented cometary activity in the past, this material probably came from superficial ejections and may be lost quickly. On the other hand, a stable and continuous ring system may still exist around Chiron [\(ORTIZ](#page-154-7) et al., [2015;](#page-154-7) [SICKAFOOSE](#page-158-7) et al., [2020\)](#page-158-7). The discussion about the structure surrounding Chiron is ongoing and needs more data to be solved.

An occultation by the Centaur (10199) Chariklo on June 2013 revealed the first ring system around a small solar system object [\(BRAGA-RIBAS](#page-142-5) *et al.*, [2014\)](#page-142-5). It is composed of two confined rings (CR1 and CR2) surrounding Chariklo at 390 and 405 km, respectively (Fig. [2.9a\)](#page-55-0). Both are separated by only \approx 7 km and have an observed width between 4.8 and 9.1 km [\(MORGADO](#page-153-8) et al., [2021\)](#page-153-8). Following Chariklo, the first ring around a TNO was also detected in a stellar occultation. The occultation by Haumea on January 2017

¹⁴The complete list of small binary bodies can be found on [http://www.johnstonsarchive.net/](http://www.johnstonsarchive.net/astro/asteroidmoonslist3.html) [astro/asteroidmoonslist3.html](http://www.johnstonsarchive.net/astro/asteroidmoonslist3.html)

revealed an equatorial ring distant about 2,287 km from the main body [\(ORTIZ](#page-154-5) et al., [2017\)](#page-154-5), has a width of \approx 70 km and is located near the 1:3 MMR with Haumea's spin period (Fig. [2.9b\)](#page-55-0). The most curious property of these ring systems is that both are close to the 1:3 MMR with the main body spin and inside the classical Roche limit^{15} limit^{15} limit^{15} .

Figure 2.9: Rings systems (not in scale) around (a) Chariklo and (b) Haumea. Blue segments market detections of the main object, and green lines the detection of rings. Images reproduced from [BRAGA-RIBAS](#page-142-5) et al. [\(2014\)](#page-142-5) and [ORTIZ](#page-154-5) et al. [\(2017\)](#page-154-5), respectively.

These discoveries opened a new field of study, the dynamics involving the origin and maintenance of such structures bound in orbit around objects with such low gravitational fields. For instance, [SICARDY](#page-157-6) et al. [\(2019\)](#page-157-6) performed a detailed study about the stability of the rings detected in Chariklo and Haumea. The authors have shown that topography or elongated shapes may explain the current location of the rings, i.e., when scaling to ring distances in giant planets, they are far from the main body. One of the conclusions is that co-rotation resonance 1:2 must be inside the Roche limit, and rings most likely may be observed in fast rotators. Therefore, although only two objects have confirmed rings, these structures may be more common than thought for small objects in the outer solar system, and the search for new rings is ongoing.

Another curious property of Chariklo's ring system is the small gap between the two confined rings. A general argument for such confinement is the existence of shepherd satellites, such as found on the ϵ ring of Uranus [\(MELITA and PAPALOIZOU,](#page-152-3) [2020\)](#page-152-3). However, up to date, no satellites have been observed around Chariklo. Thus, the study of rings around small objects is currently an active research field [\(LEIVA](#page-151-5) et al., [2020;](#page-151-5) [GIULIATTI WINTER](#page-147-4) et al., [2021;](#page-147-4) [MADEIRA](#page-152-4) et al., [2022\)](#page-152-4). Finally, all the described

^{15"}The 'Roche limit is the distance from a planet within which its tides can pull apart a strengthless compact object." [\(TISCARENO](#page-160-7) et al., [2013,](#page-160-7) p.1).

structures observed in Centaurs and TNOs are the first steps of a new field of study: the environment of small objects and the dynamics involved in maintaining such structures.

2.4 Future perspectives

Our current view of Centaurs and TNOs depicts small icy bodies composed of a mixture of water ice, other volatiles, and minerals. The measured albedos are between 0.09 for Quaoar and 0.86 for Eris. The surface's colors vary from gray-blue (BB) to bright red (RR). Also, it is thought that a correlation exists between the visible red colors and the surface composition. For instance, [DALLE ORE](#page-144-8) *et al.* (2015) found that ultra-red objects might contain methanol/hydrocarbon ices on their presumably pristine surfaces. Sunlight irradiation on the pristine volatile ice mixture can produce the observed organic materials. This chemical alteration process is still in progress, as evidenced by the Centaurs' slightly higher organic amounts.

The bulk density of TNOs and Centaurs is the key to better understanding their chemical composition and internal structure. For instance, a pure water ice body will present a density of 1 $g/cm³$, while an object composed of an ice/rock mixture would present densities between 2 - 2.5 $g/cm³$. However, to calculate the object's bulk density, we need to measure its size and mass. The sizes can be derived from thermal observations from space, and when a satellite exists, the mass can be obtained by dynamic calculations. However, only a few objects have known satellites or even a good main body size determination. Table [2.2](#page-56-0) presents the objects with a density determination/estimation from the literature.

\mathbf{N}°	Name	Class	ρ (g/cm ³)	Reference
281371	2008 FC76	CEN	>0.28	HROMAKINA et al. (2018)
10199	Chariklo	CEN	$0.97^{+3.0}_{-1.8}$	LEIVA et al. (2017)
10199	Chariklo	CEN	$0.796^{+0.02}_{-0.04}$	LEIVA <i>et al.</i> (2017)
5145	Pholus	CEN	0.5	TEGLER $et \ al. (2005)$
24835	1995 SM55	TNO	> 0.6	THIROUIN $et \ al. (2016)$
26308	1998 SM165	TNO	$0.59^{+0.16}_{-0.13}$	KOVALENKO et al. (2017)
469306	1999 CD158	TNO	>0.85	
86047	1999 OY3	TNO	> 0.12	THIROUIN $et \ al. (2016)$
612148	2000 CG105	TNO	>0.61	
47932	2000 GN171	TNO	>0.62	DOTTO $et \ al. (2008)$
612239	2001 QC298	TNO	$1.14_{-0.3}^{+0.34}$	VILENIUS $et \ al. (2014)$
139775	2001 QG298	TNO	$0.59^{+0.143}_{-0.047}$	LACERDA and JEWITT (2007)
275809	2001 QY297	TNO	$0.92^{+1.3}_{-0.27}$	VILENIUS et al. (2014)

Table 2.2: Densities of Centaurs and TNOs as published in the literature.

Continued on next page

Table 2.2: Densities of Centaurs and TNOs as published in the literature.

Continued on next page

N°	Name	Class	ρ (g/cm ³)	Reference
47171	Lempo	TNO	$0.62^{+0.13}_{-0.12}$	MOMMERT $et \ al. (2012)$
136472	Makemake	TNO	1.7 ± 0.36	ORTIZ <i>et al.</i> (2012)
385446	Manwë	TNO	0.75	GRUNDY <i>et al.</i> (2014)
341520	Mors-Somnus	TNO	>0.46	THIROUIN $et \ al. (2014)$
90482	Orcus	TNO	$1.4 - 2 \pm 0.3$	BROWN and BUTLER (2018)
134340	Pluto	TNO	1.857 ± 0.006	NIMMO <i>et al.</i> (2017)
50000	Quaoar	TNO	2.13 ± 0.29	
120347	Salacia	TNO	1.26 ± 0.16	BROWN and BUTLER (2017)
79360	Sila-Nunam	TNO	0.73 ± 0.28	VILENIUS et al. (2012)
			$0.73^{+0.23}_{-0.37}$	GRUNDY <i>et al.</i> (2012)
88611	Teharonhiawako	TNO	$0.6^{+0.36}_{-0.33}$	VILENIUS $et \ al. (2014)$
42355	Typhon	TNO	$0.36^{+0.08}_{-0.07}$	SANTOS-SANZ et al. (2012)
174567	Varda	TNO	1.78 ± 0.06	
			1.23 ± 0.04	SOUAMI et al. (2020)
20000	Varuna	TNO	$0.992^{+0.86}_{-0.15}$	LACERDA and JEWITT (2007)

Table 2.2: Densities of Centaurs and TNOs as published in the literature.

Precisely on this point, the stellar occultation technique can make outstanding contributions. The method has demonstrated that precision at km-level on sizes and shapes can be derived from multichord detections, including the possibility of measuring the satellites, atmosphere, and rings. With the advance of accurate predictions, we can derive even features on an object's surface. Although amateur networks have contributed to our research worldwide, most observers have small telescopes and can only observe bright stars, which are rarely occulted by a Centaur or TNO. In the future, we expect that larger telescopes and faster CCD cameras will be available to these astronomers, allowing the observation of fainter targets. Also, future fully dedicated robotic networks, arranged in the Earth's north-south direction, are being planned [\(ORTIZ](#page-154-1) et al., [2020b\)](#page-154-1).

Recent projects like the Legacy Survey of Space and Time (LSST), James Webb Space Telescope (JWST), Extremely Large Telescope (ELT), and Thirty Meter Telescope (TMT) already have specific programs to observe stellar occultations or may be able to include such runs [\(SCHWAMB](#page-157-7) et al., [2018;](#page-157-7) [ORTIZ](#page-154-1) et al., [2020b\)](#page-154-1). Also, space telescopes already in operation allow some tests to verify equipment feasibility to observe such events [\(MORGADO](#page-153-6) *et al.*, [2022\)](#page-153-6). Other possibilities are telescopes attached to stratospheric balloons and airplanes, e.g., the Stratospheric Observatory for Infrared Astronomy (SOFIA), or even observing occultations in the radio domain with Earth-based radiotelescopes [\(ORTIZ](#page-154-1) et al., [2020b\)](#page-154-1).

As stated above, our knowledge about the SS formation and evolution is tight to the knowledge about Centaurs and TNOs. Especially the size, density, and orbital distribution of these distant bodies constrain the current SS formation models. Recent results are

mainly based on a combination of different observational methods (like those described in this work). However, with the new results, new questions arise about key processes, like the planetesimal accretion and transport of material. In this context, some open questions are:

- 1. Cryovolcanism was recently confirmed on Pluto's surface [\(SINGER](#page-158-9) et al., [2022\)](#page-158-9). However, is cryovolcanism present on other TNOs?
- 2. How many binaries exist? Are they remnants of Mega-collisions? Has the formation process favored the binaries formation in some orbital regions?
- 3. What is the fraction of the trans-Neptunian belt that is primordial? What fraction was implanted from inner regions?
- 4. What fraction of the Centaurs and TNOs have atmosphere or ring systems? Are these structures stable or transient?
- 5. What is the size-frequency distribution of craters in the outer solar system?
- 6. What is the upper limit of supported topography on mid-size TNOs?
- 7. Topography can create resonances in an object's surroundings and consequently create/maintain rings?

Chapter 3

Methods

3.1 Stellar occultation

A stellar occultation occurs when an object obscures a distant star from the perspective of the observer's referential. In a typical occultation, the star will abruptly disappear as the small airless body moves in front of it. The stellar flux, as a function of time– OLC, is obtained by recording a sequence of images. An accurate astrometric position and the body profile can be derived from single or multiple light curves of the same event [\(SICARDY](#page-157-1) et al., [2011;](#page-157-1) [BRAGA-RIBAS](#page-142-2) et al., [2013;](#page-142-2) [GOMES-JÚNIOR](#page-147-5) et al., [2015;](#page-147-5) [BENEDETTI-ROSSI](#page-142-4) et al., [2016;](#page-142-4) [LEIVA](#page-151-6) et al., [2017;](#page-151-6) [BENEDETTI-ROSSI](#page-142-6) et al., [2019;](#page-142-6) [DESMARS](#page-144-9) et al., [2019;](#page-144-9) [BUIE](#page-143-8) et al., [2020a;](#page-143-8) [GOMES-JÚNIOR](#page-147-6) et al., [2020;](#page-147-6) [ORTIZ](#page-154-8) [et al.](#page-154-8), [2020a;](#page-154-8) [ROMMEL](#page-156-0) et al., [2020;](#page-156-0) [SOUAMI](#page-158-8) et al., [2020;](#page-158-8) [SANTOS-SANZ](#page-156-5) et al., [2021;](#page-156-5) [MORGADO](#page-153-6) et al., [2022\)](#page-153-6).

Another key aspect of the method is the possibility of studying surrounding structures and following them up over time. For example, the OLCs may detect secondary events caused by rings, dust, or satellites (BUS *[et al.](#page-144-7)*, [1996;](#page-144-7) [ORTIZ](#page-154-4) *et al.*, [2012;](#page-154-4) [BRAGA-RIBAS](#page-142-5) [et al.](#page-142-5), [2014;](#page-142-5) [ORTIZ](#page-154-7) et al., [2015;](#page-154-7) [RUPRECHT](#page-156-4) et al., [2015;](#page-156-4) [ORTIZ](#page-154-5) et al., [2017;](#page-154-5) [MEZA](#page-152-2) [et al.](#page-152-2), [2019;](#page-152-2) [MORGADO](#page-153-8) et al., [2021\)](#page-153-8). Similarly, the star's gradual disappearance or reappearance may reveal an atmosphere or even local superficial topography [\(ELLIOT](#page-145-7) [et al.](#page-145-7), [1989;](#page-145-7) [DIAS-OLIVEIRA](#page-144-6) et al., [2017;](#page-144-6) [SICARDY](#page-157-6) et al., [2019,](#page-157-6) [2021;](#page-158-5) [MARQUES](#page-152-6) [OLIVEIRA](#page-152-6) et al., [2022\)](#page-152-6). In addition, the data may not only expose information about the occulting object but also allow the discovery of a companion star (BERARD *et al.*, [2017;](#page-142-7) [SICKAFOOSE](#page-158-10) et al., [2019;](#page-158-10) [LEIVA](#page-151-5) et al., [2020\)](#page-151-5).

Currently, the stellar occultations field plays an important role in adequately characterizing and understanding small bodies from the outer SS. Ground-based detections provide the size and shape of distant small bodies with sub-kilometric accuracy, and such results only compete with in-situ observations made by a spacecraft. The particular case of (486958) Arrokoth is an excellent example to illustrate the power of stellar occultation data. The object was observed during a stellar occultation in July 2017 [\(BUIE](#page-143-9) et al., [2020b\)](#page-143-9) and visited by the New Horizons mission [\(WEAVER and STERN,](#page-162-1) [2008\)](#page-162-1) in January 2019 [\(STERN](#page-159-4) et al., [2019\)](#page-159-4). A comparison between the results from both observations is presented in Fig. [3.1.](#page-61-0)

Figure 3.1: Comparison between the (486958) Arrokoth limb derived from stellar occultation and from New Horizons data. The lines represent stellar occultation data with dots marking the disappearance and reappearance instants, while in white is the limb derived from the spacecraft data. Image adapted from [BUIE](#page-143-9) et al. [\(2020b\)](#page-143-9).

The success of a stellar occultation depends on the following factors: accurate predictions, accurate recording of time, target star with high Signal-to-Noise Ratio (SNR), and a data reduction procedure that considers all the known effects in the light curve, e.g., Fresnel diffraction and Earth's precession. Therefore, the work with occultations involves three main stages: prediction, observations, and data analysis. The following sections will describe the particularities and details needed for each stage.

3.1.1 Prediction

The prediction of stellar occultation events is based on comparing stars' and objects' geocentric positions on the sky plane (as described by [ASSAFIN](#page-140-1) et al. [\(2010\)](#page-140-1) and [GOMES-](#page-147-7)[JÚNIOR](#page-147-7) *et al.* [\(2022\)](#page-147-7)). The search radius (S_R) around the object's ephemeris is defined by Eq. [3.1,](#page-61-1) considering the object's geocentric distance (Δ) , the Earth's radius (E_R) , and the object's radius (O_R) . Every star that falls inside this searching region is a candidate for a stellar occultation event, i.e., we consider that a reliable prediction must have uncertainties of the same order as the body's apparent radius on the sky plane

$$
S_{\rm R} = \tan^{-1} \left(\frac{E_{\rm R} + O_{\rm R}}{\Delta} \right). \tag{3.1}
$$

For each candidate star on a plane tangent to the celestial sphere with the observer in the Earth's center, we can calculate the geometric quantities needed to detect the stellar occultation. Firstly, consider two consecutive body positions close to the star, and at respective initial time (t_i) and final time (t_f) , with $t_f > t_i$. Then, the instant (t_0) and the separation between the object and star at the Closest Approach (C/A) configuration can be determined,

$$
C/A = \sqrt{as_i^2 - \left(\frac{as_i^2 - as_f^2 + \Delta O_{if}^2}{2\Delta O_{if}}\right)^2},
$$
\n(3.2)

$$
t_0 = t_{\rm i} + (t_{\rm f} - t_{\rm i}) \sqrt{\frac{as_{\rm i}^2 - (\rm C/A)^2}{\Delta O_{\rm if}^2}},\tag{3.3}
$$

where as_i and as_f are the initial and final angular separation between the star and the initial/final ephemeris position. The ΔO_{if} is the angular separation between object's initial and final positions. Then, the shadow velocity (V_s) is calculated using the object's geocentric distance (Δ) ,

$$
V_{\rm s} = \frac{\Delta \cdot \sin(\Delta O_{\rm if})}{t_{\rm f} - t_{\rm i}}.\tag{3.4}
$$

Finally, with the propagated star's coordinates and the object's position at the instant of the closest approach (t_0) , the shadow direction (P/A) can be calculated. By calculating the Local Solar Time (LST), one can check if the event's C/A will happen during day or night time

$$
LST = t_0 + long,
$$
\n(3.5)

where *long* is the sub-planetary longitude obtained from the difference between the Right Ascension (RA) and the mean sidereal time in Greenwich. The calculated parameters allow the drawing of the so-called occultation map (Fig. [3.2\)](#page-63-0) representing the predicted shadow path over the Earth's surface, the shadow direction, the shadow velocity, the Closest Approach, the instant of the closest approach, Right Ascension and Declination of the target star. Also, the stellar magnitudes are normalized to a reference shadow velocity of 20 km/s by

$$
M_{\star}^* = M_{\star} + 2.5 \log_{10} \left(\frac{V_{\rm s}}{20} \right). \tag{3.6}
$$

The 20 kilometers per second (km/s) value is a typical velocity of stellar occultations occurring around Pluto's opposition. Therefore, M_{\star} ^{*} may highlight slower events even if they involve fainter stars [\(ASSAFIN](#page-140-1) et al., [2010\)](#page-140-1).

Although stellar occultation is a powerful and well-recognized technique, some problems arise when small and distant objects come into the picture. For instance, to ensure a stellar occultation by the largest TNO–Pluto, the required precision of the prediction must be better than 40 milliarcseconds (mas). In the past, a great effort was made to

Figure 3.2: Example of an occultation map showing the predicted shadow path (blue lines) with uncertainties (dashed red lines). The blue dots present the shadow center every minute, with the largest one showing the instant of the C/A . The global grayscale presents the locations where it will be day or night. The arrow in the bottom right corner indicates the shadow direction. The information at the top and bottom is helpful for campaign preparation (see text).

build an accurate stellar catalog. The so-called Wield Field Imager (WFI) catalog allowed us to refine stellar positions and the ephemeris of many TNOs. Thus, improving the predictions of stellar occultations [\(ASSAFIN](#page-140-1) et al., [2010,](#page-140-1) [2012;](#page-141-7) [CAMARGO](#page-144-0) et al., [2014\)](#page-144-0).

Due to the sub-mas accuracy of the star catalogs provided by the Gaia mission [\(GAIA](#page-147-0) [COLLABORATION](#page-147-0) *et al.*, [2016b\)](#page-147-0), the accuracy of the stellar position is no longer a problem. The current occultation predictions depend mainly on the ephemerides' quality because Gaia DR3 only provided astrometry for eight Centaurs and 24 TNOs [\(TANGA](#page-159-5) [et al.](#page-159-5), [2022\)](#page-159-5). Therefore, periodic astrometric runs have been performed on OPD and Sierra Nevada Observatory - Spain (OSN). In addition, astrometric positions derived from previous stellar occultations are collected, even if they are single-chord detections [\(ROMMEL](#page-156-0) et al., [2020\)](#page-156-0). Finally, ephemerides are refined through the NIMA method [\(DESMARS,](#page-144-10) [2015\)](#page-144-10). It weighs the object's position based on the stellar catalog used on the astrometric analysis, the number of positions obtained per night, and the observational

site. If needed, the object's position relative to the target star can be derived in the days preceding the stellar occultation, and the prediction is improved [\(ORTIZ](#page-154-1) et al., [2020b\)](#page-154-1). All prediction maps and complementary information from this stage are publicly available on the Lucky Star web page^{[1](#page-64-0)}.

The most promising events are filtered across all predictions to conduct observational campaigns. The selection is based on the object's scientific interest, the target star's magnitude, the event's velocity, and the availability of observers inside the shadow path. For instance, the abundance of small telescopes on the Earth's surface is greater than that of large facilities. Therefore, the selection will favor events involving stars with G $\text{mag} \leq 18.$ We are aware of only six occultation detections involving fainter stars. All the predictions and campaigns are available to registered users of the recently implemented Occultation Portal^{[2](#page-64-1)} (OP, [KILIC](#page-150-7) *et al.*, [2022\)](#page-150-7). Designed to manage all stellar occultation campaigns from a central server, Occultation Portal (OP) allows the organization of the images' upload, register of equipment, and observational sites.

3.1.2 Observational campaigns

The sky motion of a TNO seen from Earth during short periods of time is mainly due to the parallax motion as the Earth travels in its orbit, and typical speeds are between 15 and 20 km/s. Therefore, for object sizes between 200 and 2000 km, the stellar occultation can last 10 to 100 s. Such fast events require cameras capable of capturing a large number of images in a short period of time. Simultaneously, the detector must be sensitive enough to detect the star in short exposure times.

Some instruments frequently used to record stellar occultation events are the mod-els built by Raptor^{[3](#page-64-2)}, Watec^{[4](#page-64-3)}, QHY174M-GPS^{[5](#page-64-4)}, and Andor^{[6](#page-64-5)}. They are equipped with the well-known CCD detector or its cheaper successor, the Complementary Metal Oxide Semiconductor (CMOS) detector. Both are composed of a silicon chip containing an array of photosensitive units - the pixels. During each exposure, the received photons are converted into electrons and stored inside the pixels. The difference between them is in the readout method. In a CCD, the charge stored in each pixel is read individually, converted into a voltage, and becomes a digital signal. In the CMOS detector, the charge is converted into voltage within the pixel. Then, quickly entire lines are read out and converted into a digital signal [\(GREFFE](#page-147-8) et al., [2021\)](#page-147-8).

A reliable time source is another requisite to acquire trustful stellar occultation data. Usually, the camera is synchronized with the Universal Time (UT) using a Global Po-

¹<https://lesia.obspm.fr/lucky-star/predictions.php>

²<https://occultation.tug.tubitak.gov.tr/>

³<https://www.raptorphotonics.com/>

⁴<https://www.wateccameras.com/>

⁵<https://www.qhyccd.com/>

⁶<https://andor.oxinst.com/products/scientific-cmos-emccd-and-ccd-research-cameras>

sitioning System (GPS) or a Network Time Protocol (NTP). The acquisition instant is recorded in the image header or, in the case of video recordings, written over the frames by an auxiliary system named Video Time Inserter (VTI). Even if the observer uses the GPS during the video acquisition, time shifts are required to achieve absolute time ac-cording to the camera model^{[7](#page-65-0)}. Finally, the recorded data and all information about the observation can be uploaded to the OP or sent directly to the researchers.

Despite having an accurate prediction and all the mentioned requisites, there is no guarantee of a successful observation. At the observational stage, many variables still play substantial roles. The most evident difficulties are the weather, the star's elevation above the horizon, the lunar phase, the organization logistics, and the training of local observers. Another critical aspect is covering the shadow path with enough observing stations, i.e., the broader the coverage in the perpendicular shadow direction, the higher the chances of success [\(ORTIZ](#page-154-1) *et al.*, [2020b\)](#page-154-1).

In spite of all the mentioned requirements and difficulties, the evolution of the number of occultations slowly increased between 2009 and 2014 (Fig. [3.3\)](#page-65-1) due to collaboration observational efforts [\(ASSAFIN](#page-140-1) et al., [2010;](#page-140-1) [CAMARGO](#page-144-0) et al., [2014\)](#page-144-0). In 2015, these predictions were unavailable, and the number of detections decreased. It accelerated again in 2016 with the first release of the Gaia catalog. Consequently, the success rate inside the international collaboration in 2020 was one detection for every 5.5 attempts. By success, we mean the detection of the stellar occultation from at least one site [\(ORTIZ](#page-154-1) *et al.*, [2020b\)](#page-154-1). The successful detections of TNOs and Centaurs also motivated the prediction and observation of other SS objects, for instance, stellar occultations by satellites and Trojans. Therefore, despite a decrease in the detection of TNOs and Centaurs in Fig. [3.3,](#page-65-1) the total number of observed events continues to increase. These numbers and additional information on detected events are publicly available in our database [\(BRAGA-RIBAS](#page-142-0) [et al.](#page-142-0), [2019\)](#page-142-0).

of time (blue dots). The orange triangles show the events caused by TNOs or Centaurs, except Pluto.

⁷A detailed analysis for some camera models is available on [http://www.dangl.at/ausruest/vid_](http://www.dangl.at/ausruest/vid_tim/vid_tim1.htm#wat_910hx) [tim/vid_tim1.htm#wat_910hx](http://www.dangl.at/ausruest/vid_tim/vid_tim1.htm#wat_910hx)

3.1.3 Differential aperture photometry

The standard data archival format for astronomical data sets is the Flexible Image Trans-port System (FITS)^{[8](#page-66-0)}. However, depending on the available equipment, an observer can acquire data in video format: av^{19} av^{19} av^{19} , adv^{10} adv^{10} adv^{10} , or ser^{11} ser^{11} ser^{11} . In those cases, before any analysis, the video is submitted to $TANGRA^{12}$ $TANGRA^{12}$ $TANGRA^{12}$ [\(PAVLOV,](#page-154-9) [2020\)](#page-154-9) or AUDELA^{[13](#page-66-5)} with each frame converted into a FITS file. In addition, depending on the acquisition software, the data set can be recorded in different image formats like cpa^{14} cpa^{14} cpa^{14} or jpg^{15} jpg^{15} jpg^{15} , also needing to be converted to FITS format. When available, calibration images (bias, flats, and darks) are used to correct the science images using the standard Image Reduction and Analysis Facility (IRAF, [BUTCHER and STEVENS,](#page-144-11) [1981;](#page-144-11) [TODY,](#page-160-10) [1993\)](#page-160-10) or python algorithms such as those available on astropy package [\(ASTROPY COLLABORATION](#page-141-8) et al., [2013\)](#page-141-8). Once all FITS images are calibrated, the next step is to derive the flux as a function of time.

Aperture photometry is a method to calculate the flux of a source by picking concentric apertures around it (Fig. [3.4\)](#page-67-0). The inner aperture radius must be optimized to obtain the highest SNR. Then, the source flux is measured by summing all Analogical Digital Units (ADUs) inside the inner circle. Next, the sky brightness per pixel is computed by picking an annulus around the inner aperture. It is desirable to leave a dead zone between the inner aperture and the sky annulus and not include faint background sources in the sky annulus. The ignored pixels are essential to avoid measuring nearby stars' flux or even the same pixel's flux twice.

In differential aperture photometry, the aim is to eliminate Earth's atmosphere influence. Therefore, the photometry is performed for the target star (red circle) and a set of well-isolated comparison stars (blue circles in Fig. [3.4\)](#page-67-0) present on the FOV. The number of comparison stars depends on the FOV of the image, how many suitable stars are available, and how much the FOV moves, i.e., the same selected comparison stars must be present on all images. Then, the flux from the target star is divided by the average flux of all comparison sources. Also, the flux ratio outside the occultation is flattened and normalized to unity using a polynomial fit. The outcome of the differential aperture photometry is the OLC, i.e., the normalized flux ratio as a function of time (Fig. [3.5\)](#page-67-1).

Several software and packages are available to perform differential aperture photometry

⁸A complete description is available on https://fits.gsfc.nasa.gov/fits_standard.html

⁹Audio Video Interleave.

 10 Astro Digital Video. More information can be found in [http://www.astrodigitalvideoformat.](http://www.astrodigitalvideoformat.org/) [org/](http://www.astrodigitalvideoformat.org/)

 11 A simple image sequence format. Documentation can be found in [http://www.grischa-hahn.](http://www.grischa-hahn.homepage.t-online.de/astro/ser/) [homepage.t-online.de/astro/ser/](http://www.grischa-hahn.homepage.t-online.de/astro/ser/)

 12 TANGRA documentation can be found on the developer web page [http://www.hristopavlov.net/](http://www.hristopavlov.net/Tangra3/) [Tangra3/](http://www.hristopavlov.net/Tangra3/)

¹³<http://audela.org/dokuwiki/doku.php/en/start>

 $14A$ compressed image file associated with PRISM (<http://www.prism-astro.com/fr/index.html>).

¹⁵Developed by the Joint Photographic Experts Group (JPEG) in 1992 to turn images smaller and easy to share.

Figure 3.4: Example of a FITS image containing the target star, marked by the red circle, and comparison stars in blue. The regions between both green circles are the sky annulus for each source, where its radius can change according to the presence of nearby stars.

Figure 3.5: Black points represent the mid-time of each exposure *(observation)*, red dots mark the images where the target star disappears ($bottomflux$), and the images outside the event are in blue (baseflux). The upper panel shows an example of a negative light curve, while the bottom panel presents a positive detection of a stellar occultation. The baseflux points were normalized to unity using a polynomial fit. The normalized points were plotted as a function of time, counting from midnight (UT) of August 8, 2020.

on the FITS images^{[16](#page-68-0)}. In this work, all OLC were derived using the Platform for Reduction of Astronomical Images Automatically (PRAIA, [ASSAFIN](#page-141-9) et al., [2011\)](#page-141-9). The algorithm's main output is a file containing information about the chosen sources and the parameters used in the aperture photometry. To mention some examples, it includes columns with the Julian Date of the mid-exposure, the apertures radius, the sky background contributions, the individual flux of the target, and each of the comparison stars.

A light curve that detects the stellar occultation is named positive, while the data that does not reveal a drop in the target star flux is called negative. For example, Fig. [3.5](#page-67-1) presents a negative and a positive light curve of the August 8, 2020, stellar occultation by 2002 MS4. The observers acquired the data sets at distinct Earth surface locations, and black points represent the central instant of the exposure. The fluxes outside the event are normalized to unity and considering that this TNO is very faint, i.e., undetectable by the setup in such short exposures, the flux goes to zero during the occultation.

3.1.4 Modeling the positive light curves

We must consider some aspects when building a model for a positive light curve. Firstly, on the images, when the distance between the target star and occulting body is smaller than the image's Point Spread Function (PSF), it is impossible to separate both fluxes. Therefore, outside the event, the measured flux refers to target $+$ object (*baseflux*). When the star disappears, only flux contributions (*bottomflux*) from the object and/or from sky brightness remains (Fig. 3.5). To investigate the origin of the *bottomflux*, one can estimate the magnitude variation (ΔM) in a given band using the object's (M_{obj}) and stellar magnitude (M_{\star}) shown in Eq. [3.7.](#page-68-1) As the measured magnitude depends on the observational apparatus, the magnitude drop may differ for different observers^{[17](#page-68-2)}

$$
\Delta M = M_{\rm obj} - M_{\star} + 2.5 \log(1 + 10^{0.4(M_{\star} - M_{\rm obj})}). \tag{3.7}
$$

Secondly, the diffraction pattern of a planar wave produced by an object with sharp edges (no atmosphere) should be calculated. The diffraction pattern depends on the object's shape [\(ROQUES](#page-156-7) et al., [1987\)](#page-156-7), but our targets' actual shape is usually unknown. In addition, each occultation measurement is a path through the object's projected limb. Therefore, only a unidimensional bar shape diffraction model is used for modeling the OLC. The effect of the so-called Fresnel diffraction on the light curves (Fig. [3.6b](#page-69-0)) is a smoother stellar disappearance (and reappearance). Eq. [3.8](#page-69-1) determines the primary Fres-

 16 For instance, the Limovie $(http://astro-limovie.info/limovie/limovie_en.html)$ $(http://astro-limovie.info/limovie/limovie_en.html)$ $(http://astro-limovie.info/limovie/limovie_en.html)$, Py-Movie (<https://occultations.org/observing/software/pymovie/>), and Tangra ([http://www.](http://www.hristopavlov.net/Tangra3/) [hristopavlov.net/Tangra3/](http://www.hristopavlov.net/Tangra3/)).

¹⁷This procedure is also valid for cases where a known companion star is present. If the angular separation between both stars is smaller than the image's PSF, the second star will contaminate the measured flux. Therefore, one can calculate the magnitude drop using both stellar magnitudes and set a bottomflux discounting these flux contributions from the OLC.

nel scale (F_s) diffraction fringe considering the wavelength (λ) and the object's distance relative to the observer (Δ_{obs})

$$
F_s = \sqrt{\frac{\lambda \Delta_{obs}}{2}}.\t(3.8)
$$

Another physical parameter that might affect the light curve is the apparent diameter of the star calculated at the object's geocentric distance (Δ) . As the real star is not a punctual theoretic source, the larger the diameter, the slower its disappearance/reappearance behind the body. To better understand the stellar diameter influence on the light curve model, Fig. [3.6](#page-69-0) presents two simulations of a stellar occultation by a typical 800 km TNO. On the left (a) is a schematic picture showing two stars with apparent diameters projected at the object's distance of 1 km and 30 km, respectively (yellow circles). The gray curved limb presents the object, and the red arrow shows the direction of its movement. The right side (b) presents the same observed light curve (black segments) and the synthetic model for each simulation (red line). The only difference between both models is the stellar diameter. However, the red curve from the bottom differs from that at the top, presenting a smoother immersion due to the larger diameter of the star.

Figure 3.6: a) Here is a simulation of an 800 km TNO (gray arc) moving toward (red arrow) the stars (yellow circles). Both simulations used the same observational circumstances, except that the first star is 30 times smaller than the second one. b) Black segments represent each exposure, and red is the light curve synthetic model. The model was built starting from a simple geometric model (cyan), then adding the Fresnel (blue) and apparent stellar diameter projected at the object's distance (green) effects. The green, blue, and cyan curves were shifted in $+0.5$ mag for better visualization.

There are two approaches to determining the apparent stellar diameter: using a published stellar radius or estimating it with the B, V, and K mag. Gaia Data Release 2 (Gaia DR2) provides the stellar distance (D_{\star}) and estimation of the stellar radius (R_{\star}) for 77 million sources [\(ANDRAE](#page-140-3) et al., [2018\)](#page-140-3). Therefore, for those stars, the star's apparent diameter (S_{diam}) can be derived using trigonometry

$$
S_{\text{diam}} = \frac{\Delta.R_{\star}}{D_{\star}},\tag{3.9}
$$

where Δ is the object's geocentric distance. Usually, when the radius information is unavailable, the alternative is to use the empirical models provided by [VAN BELLE](#page-161-7) [\(1999\)](#page-161-7) and [KERVELLA](#page-150-8) *et al.* [\(2004\)](#page-150-8). They use stellar B, V, and K mag to calculate their angular size at a given distance. The result may differ according to the star's type: the main sequence, giant, or super-giant. The Kervella model is also valid for dwarf and sub-giant stars.

A stellar occultation converts time resolution into spatial resolution using the shadow velocity (V_s) . Usually, the exposure time is long enough to dominate over Fresnel diffraction and the S_{diam} effects, as shown in the first model in Fig. [3.6b](#page-69-0). However, in some cases with short cycle times, the stellar diameter may become relevant when modeling an OLC, as simulated in the second model in Fig. [3.6b](#page-69-0). As a result, one may use stellar occultation data to characterize the small body and also to study some physical aspects of the star [\(LEVINE](#page-151-9) *et al.*, [2021\)](#page-151-9).

Finally, the quality of the synthetic model is tested by comparing it with the observed data. A χ^2 statistic is calculated for each synthetic model generated inside a loop. Eq. [3.10](#page-70-0) compares each point of the observed light curve $(\phi_{i,obs})$ with the point of the synthetic light curve ($\phi_{i,mode}$) and divides the result by the photometric uncertainty ($\sigma_{i,phot}$). The aim is to explore a time interval around the immersion/emersion instants and build a plot with all χ^2 values as a function of time. The lowest χ^2 determines the immersion/emersion instants. The uncertainties are taken from the time interval between $\chi^2_{\rm min}$ and $\chi^2_{\rm min} + 1$ / $\chi^2_{\rm min} + 9$ limits, for 1σ and 3σ , respectively [\(GOMES-JÚNIOR](#page-147-7) *et al.*, [2022\)](#page-147-7)

$$
\chi^{2} = \sum_{1}^{N} \left(\frac{(\phi_{i,obs} - \phi_{i,model})^{2}}{\sigma_{i,phot}} \right). \tag{3.10}
$$

In summary, modeling a positive OLC involves i) a simple model for a spherical body without atmosphere occulting a point source of light, the so-called geometric model; ii) the wavelength of the observation; iii) the exposure time; iv) the stellar diameter at the object's geocentric distance, and v) the central fringe of Fresnel diffraction. Many tools are available to build such models and determine the instant of the star's disappear-ance/reappearance^{[18](#page-70-1)}. In this work, all instants were derived using the *sora.lightcurve* mod-ule provided by the open-source Stellar Occultation Reduction and Analysis (SORA)^{[19](#page-70-2)} package - version 0.2 [\(GOMES-JÚNIOR](#page-147-7) *et al.*, [2022\)](#page-147-7).

¹⁸For instance Pyote (<https://occultations.org/observing/software/pymovie/>)

¹⁹<https://sora.readthedocs.io/>

3.1.5 Limb determination and further results

Each positive OLC of the same event measures a cross-track of the projected limb. Hence, for a specific positive light curve, immersion, and emersion represent the instants where the line of sight between the observer and the star matches the object's edges. The relative position between the body and the star during immersion/emersion gives the local limb distance from the object's center on a plane (f, g) perpendicular to the line of sight. At this stage, observers' geodetic coordinates, the small body ephemeris, the planetary ephemeris, and the stellar position are required to create the perpendicular plane and to project all occultation instants on it.

The observers must provide the observing geographic coordinates. The *sora.observer* module refers them to the geocenter. Next, the object's ephemeris, which is also geocentric, is provided by the user to the sora.ephem module. Finally, the stellar parameters are retrieved by the sora.star module directly from the Gaia catalog. Then, following the formalism provided by [BUTKEVICH and LINDEGREN](#page-144-12) [\(2014\)](#page-144-12), the stellar coordinates are propagated to the occultation epoch. Finally, they are corrected by the parallax for an observer in the geocenter. This work uses geocentric stellar coordinates due to the occultation geometry. However, the topocentric stellar position may be necessary for events involving closer stars or farther objects (see discussion in [GOMES-JÚNIOR](#page-147-7) *et al.* [\(2022\)](#page-147-7)).

As already stated, a plane (f, g) is created, tangent to the line connecting the Earth's center to the star, and all occultation instants are projected on it. Each positive detection provides two points on the new plane - a positive chord. Observations from the same site or at the same latitude of the shadow's path will be superposed and provide only one effective chord. Negative data can also be projected on the tangent plane using the initial and final instant of the observation.

It is expected that large objects have reached one of the hydrostatic equilibrium shapes described in Sect. [2.3.1,](#page-46-1) and the two-dimensional projection of these equilibrium figures at the sky plane can be described by an ellipse. Therefore, when three or more effective chords are available, $M = 5$ free parameters are fitted to $N \ge 6$ points to obtain the object's profile. The fitted parameters are the:

- apparent semi-major axis (a') ;
- apparent oblateness $(\epsilon'=(a'-b')/a')$;
- \bullet apparent position angle of the semi-minor axis (PA') , counting positively from the local celestial north and increasing to the east;
- apparent object's center (f', g') .

The elliptical fit is evaluated by calculating the R_{diff} between the chord's extremity $(p_{i,obs})$ and the point over the ellipse $(p_{i,calc})$ (Fig. [3.7\)](#page-72-0), and using it to derive the χ^2 statistic

$$
\chi^2 = \sum_{i=1}^{N} \frac{(p_{i,obs} - p_{i,calc})^2}{\sigma_{rad}^2 + \sigma_{calc}^2},
$$
\n(3.11)
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where σ_{rad} is the uncertainty of each chord extremity projected in the radial direction, and $\sigma_{\rm calc}$ is an optional uncertainty parameter in Stellar Occultation Reduction and Analysis (SORA) that is attributed to the limb model [\(MORGADO](#page-153-0) et al., [2021\)](#page-153-0), the latter accounting for the difference between the observed and the mean limb. It can be considered the typical or expected topography size in the radial direction (as described in Sect. [4.1.1.4\)](#page-112-0). Finally, the fit quality can be evaluated by calculating the χ^2 per degree of freedom, $\chi^2_{\text{pdf}} = \chi^2_{\text{min}}/(N - M)$.

Figure 3.7: The chord's extremities are marked by black points. The fitted ellipse is presented by a solid red line. Distances of each observed point to the fitted ellipse center are in dashed black lines. Finally, the radial distance between the observed points and the ellipse is shown by red dashed lines. Image adapted from GOMES-JUNIOR *et al.* [\(2022\)](#page-147-0).

Therefore, the quality of the derived limb depends on the number of effective chords and the presence of close negatives. When less than three positive chords are obtained, a circular limb is fitted to single- and double-chord detections. The result is a minimum size for the body's semi-major axis and an astrometric position [\(ROMMEL](#page-156-0) *et al.*, [2020\)](#page-156-0). Finally, using the Monte Carlo approach, SORA generates thousands of limb models, calculating the χ^2 for each one. The minimum χ^2 value represents the best limb fit, and a plot with χ^2 as a function of time is provided for each fitted parameter. The 1σ and 3σ uncertainties are determined from the difference between $\chi^2_{\rm min}$ and $\chi^2_{\rm min} + 1$ and $\chi^2_{\rm min} + 9$, respectively. The final limb solution may be limited by a close negative chord using the $filter_negative_chord$ functionality of SORA. The mentioned function allows a tolerance value in the radial direction, and when the best limb is found, the velocity perpendicular to the limb is calculated for each chord's extremity. It is then used to recalculate the immersion/emersion instants and recalculate the limb. Usually, there are no significant changes in the instant values, except if the positive chord is almost tangent to the limb.

In summary, stellar occultation data can provide astrometric positions and the twodimensional shape of the object's limb. The equivalent radius (R_{eq}) is also a direct result and is defined as the circular limb with the same projected area as the fitted elliptical $\lim_{\text{limb}} (R_{\text{eq}} = a' \sqrt{1 - a' \sqrt{1$ $(1 - \epsilon')$. Also, structures present in the body's vicinity may be detected in the OLCs. Other objects' physical parameters can be improved by adding external information. For instance, the rotational information is essential to determine the object's three-dimensional shape and albedo (subjects of the following chapter).

3.2 Rotational light curves

The photometric light curve measures flux as a function of time. For instance, an astronomer can observe an asteroid for days, measure the reflected sunlight on each image, and obtain its magnitude variation throughout the observation period–a light curve (Eq. [2.2\)](#page-47-0). The main mechanisms that produce variation in the observed flux are changes in the observational geometry and the object's rotation. The basic geometry of the observation of an asteroid from Earth's surface is presented in Fig. [3.8.](#page-74-0) The angle between the incident sunlight on the asteroid's surface and the reflected light in the observer's direction is called α . The ζ is the angular distance between the line of sight and the asteroid's spin axis. The Δ is the object's geocentric distance, the Δ_{obs} is the object's distance relative to the observer, and r is the object's heliocentric distance. Therefore, the observed brightness may vary according to variations in the mentioned angles.

Brightness variations also may be caused by the object's rotation if it is elongated or has superficial albedo features (see Fig. [2.4\)](#page-48-0). Therefore, identifying periodic signals in the photometric light curves can provide hints about the object's shape and surface properties. However, before starting the search for periodic signals, the flux contributions due to the observational geometry must be corrected. First, the object's magnitude (M_{obj}) is corrected for the object's geocentric distance (Δ) and object's heliocentric distance (r) to obtain the reduced magnitude

$$
M_{obj}(1, 1, \alpha) = M_{obj} - 5 \log_{10}(\Delta r), \qquad (3.12)
$$

The object's reduced magnitude $(M_{obj}(1, 1, \alpha))$ is defined as the magnitude if the object was at 1 au from both Earth and Sun.

As the $M_{obj}(1, 1, \alpha)$ varies with the α , it is plotted as a function of the α to build the phase effect curve (Fig. [3.9\)](#page-74-1). The brightness variation depends on the superficial properties but tends to increase abruptly with α near to 0[°]–the opposition effect. Due to the opposition effect, non-linear functions have been fitted to the asteroid's phase

Figure 3.8: Illustration showing the observational geometry of an asteroid seen from Earth's surface (see text).

curves since 1956 [\(GEHRELS,](#page-147-1) [1956\)](#page-147-1). Nowadays, many models are available to study phase curves, especially the models proposed by [\(BELSKAYA and SHEVCHENKO,](#page-142-0) [2000;](#page-142-0) [MUINONEN](#page-153-1) et al., [2010;](#page-153-1) [PENTTILÄ](#page-155-0) et al., [2016\)](#page-155-0).

Figure 3.9: Phase curve of Massalia asteroid showing the observed points (black) and the fitted model (solid line). The dashed line shows the estimated absolute magnitude. The arrow points to the opposition effect at lower α . Image adapted from [GEHRELS](#page-147-1) [\(1956\)](#page-147-1).

In the case of the TNOs, Earth-based observations are limited to $\alpha < 2^{\circ}$, well within the opposition effect region (Fig. [3.10\)](#page-75-0), which prevents the use of the same photometric models developed for the main belt asteroids. On the other hand, TNOs phase curves are well described by a linear function within this restricted phase angle region [\(ALVAREZ-](#page-140-0)[CANDAL](#page-140-0) et al., [2016\)](#page-140-0). Therefore, the absolute magnitude in V-band and linear coefficient

can be derived for a given wavelength (λ). The absolute magnitude in V-band (H_V) is defined as the magnitude if the object was at $\alpha = 0^{\circ}$ and distant by 1 au from both the Earth and the Sun. The β represents the phase effect curve inclination and is expressed in magnitude per degrees (mag/^o). Finally, the $M_{obj}(1, 1, \alpha)$ is corrected by the phase effect using the following equation,

$$
M_{\text{corr}} = M_{\text{obj}}(1, 1, \alpha) - (\beta \alpha + H_V). \tag{3.13}
$$

Also related to asteroid distance from Earth, a one-way light time must be calculated and subtracted from image time. The corrected instant is calculated as follows

$$
t_{\text{corr}} = t_{\text{img}} - \frac{\Delta_{\text{obs}}}{c},\tag{3.14}
$$

where c is the speed of light and t_{img} the image's time. The object's corrected magnitude (M_{corr}) and corrected time (t_{corr}) are then used for periodicity estimations. The commonly used approaches to determine rotational periods (P) of main belt asteroids are i) the Phase Dispersion Method (PDM), ii) the Pravec-Harris method, and iii) the Lomb-Scargle (LS) periodogram. Despite being developed for asteroids, they are perfectly applicable to determine the rotational period of Centaurs and TNOs.

Figure 3.10: Example of a phase curve of a TNO–2008 \rm{OG}_{19} . Blue points are the reduced magnitudes obtained in this work and the red solid line is the linear fit. The H_R and β are similar to the results obtained by [FERNÁNDEZ-VALENZUELA](#page-146-0) *et al.* [\(2016\)](#page-146-0) when analyzing the same image sets.

The PDM method was developed by [STELLINGWERF](#page-159-0) [\(1978\)](#page-159-0) and is well suited for data with poor time coverage, time gaps, and that have non-sinusoidal magnitude variations. The most-likely rotational period (P) is the one that gives the minimum value for the ratio between phased and original data dispersion (Θ) , as follows,

1. measure the magnitudes variance overall data

$$
\sigma_{\text{mag}}^2 = \sum_{i=1}^{N} \frac{(x_i - \overline{x})^2}{(N - 1)},
$$

where N is the number of observed points, the x_i the measurements, and the \bar{x} is the mean value over all x_i points;

- 2. fold the data for a given candidate period;
- 3. measure the phased data dispersion

$$
\sigma_{\rm M}^2 = \frac{\sum_{j}^{M} (n_j - 1)s_j^2}{\sum_{j}^{M} (n_j - M)},
$$

where M is a given sample with n_j data points with similar phase. The s_j is the variance of each sample M and;

4. calculate the Θ value,

$$
\Theta = \frac{\sigma_{\rm M}^2}{\sigma_{\rm mag}^2}.
$$

The Pravec-Harris method was first developed by [HARRIS](#page-148-0) et al. [\(1989\)](#page-148-0) and later improved by [PRAVEC](#page-155-1) *et al.* [\(1996\)](#page-155-1). It consists of fitting Fourier series of any degrees to the M_{corr} points. Therefore, the object's reduced magnitude at an arbitrary instant t and α can be expressed as

$$
M_{\text{obj}}(1, 1, \alpha, t) = M_{\text{corr}} + \sum_{n=1}^{m} \left[A_n \sin\left(\frac{2\pi n}{P}\right) (t - t_0) + B_n \cos\left(\frac{2\pi n}{P}\right) (t - t_0) \right], \tag{3.15}
$$

where t_0 is the zero-point time chosen at (or near) the middle of the observational run, A_n and B_n are the Fourier coefficients at n order. The best fit is derived by using a least-squares fit on the data and finding the minimum variance.

The Lomb-Scargle (LS) approach was implemented by [LOMB](#page-151-0) [\(1976\)](#page-151-0), and studied by [SCARGLE](#page-156-1) [\(1982\)](#page-156-1). It is a modified version of the Fourier spectral analysis, deeply connected with the least-square analysis. The main difference is that LS accounts for unevenly spaced data. This is possible because LS does not use the interval of time, it gives weight to each data point. The most likely P is the one that maximizes the periodogram (a detailed discussion about periodograms is made by [VANDERPLAS](#page-161-0) [\(2018\)](#page-161-0)). Fig. [3.11](#page-77-0) presents the periodogram obtained for the 2008 OG_{19} analysis with the LS method.

According to [VANDERPLAS](#page-161-0) [\(2018\)](#page-161-0) analysis of many time series, the LS is one of the best-known algorithms for finding periodicity in time series with unequal sampling and occupies a unique correspondence point among the above-mentioned techniques: motivated by the Fourier analysis, but also can be considered a least-square method. Therefore, knowing that our data are irregularly spaced in time and that Astropy v5.1 has Lomb-Scargle^{[20](#page-76-0)} class ready to use, this method was chosen to the analysis performed in this work. The chosen code was based on other well-known tools for periodicity search, the

²⁰Documentation available on [https://docs.astropy.org/en/stable/timeseries/lombscargle.](https://docs.astropy.org/en/stable/timeseries/lombscargle.html) [html](https://docs.astropy.org/en/stable/timeseries/lombscargle.html).

 $A_STRoML²¹$ $A_STRoML²¹$ $A_STRoML²¹$ and $GATSPY²²$ $GATSPY²²$ $GATSPY²²$.

The uncertainty related to the highest peak in the periodogram being the true period is expressed by the peak height compared to the spurious background peaks. Also known as false-alarm probability, this property depends on the number of points and their SNR, i.e., fewer observations or observations with low SNR generates background peaks at the same scale of the true peak. Therefore, to evaluate the peak significance of our analysis, here we used the *false* alarm probability method provided by *LombScargle* class.

Figure 3.11: Example of a LS periodogram for the 2008 OG_{19} data. The best frequency is marked by the highest peak and is consistent with the published value.

The primary property derived from a periodic search is the rotational period. Once done, the magnitude variation can be measured (Δm) . Generally, a $\Delta m = 0.15$ threshold is employed to differentiate RLCs produced by variation in the superficial albedo from those caused by shape variations [\(DUFFARD](#page-145-0) et al., [2009;](#page-145-0) [THIROUIN](#page-160-0) et al., [2010;](#page-160-0) [THI-](#page-160-1)[ROUIN,](#page-160-1) [2013\)](#page-160-1). Thus, measuring the reflected light as a function of time allows us to determine, or at least constrain, some physical aspects, such as the object's global shape and fluctuations in surface brightness.

Several observational efforts have been performed within the scientific collaboration to derive the RLC of small bodies from the outer SS. Usually, the strategy is to acquire good photometric images on consecutive nights [\(FERNÁNDEZ-VALENZUELA](#page-146-0) et al., [2016,](#page-146-0) [2019;](#page-146-1) [ORTIZ](#page-154-0) et al., [2017,](#page-154-0) [2020a;](#page-154-1) [SANTOS-SANZ](#page-156-2) et al., [2021;](#page-156-2) [VARA-LUBIANO](#page-161-1) [et al.](#page-161-1), [2022\)](#page-161-1). The RLC is then obtained using relative photometry, i.e., using stars as a comparison to derive the object's flux (as described in Sect. [3.1.3\)](#page-66-0). The method is accurate and works to derive the object's rotational information from images taken close in time. For instance, for an object with $P = 8$ hours, three sequential nights usually are enough to derive the RLC. Therefore, is the usual starting point to search for rotational periods (Sect. [3.2.1\)](#page-78-0). Other two strategies to obtain the object's flux were also tested in this work: the Difference Image Analysis (DIA) and the absolute photometry.

²¹More details in <https://www.astroml.org/>

²²Documentation available on <https://www.astroml.org/gatspy/>

3.2.1 Relative photometry

The procedure of deriving photometric light curves using relative photometry is similar to the differential aperture photometry described in Sect. [3.1.3,](#page-66-0) except that more comparison stars are selected, and the flux ratio is used to calculate the relative magnitude (m_{rel}) . Here, the comparison stars are chosen according to i) their vicinity to the target; ii) the occurrence in all images; iii) a similar object's magnitude; iv) their distance from other sources; v) the lack of flags for duplicity or photometric fluctuations in the Gaia DR3 catalog. A combination of the flux of all comparison stars provides the calibration flux (F_{cal}) , which is then used to calculate the m_{rel}

$$
m_{rel} = -2.5 \text{ } log_{10} \left(\frac{F_{obj}}{F_{cal}} \right), \qquad (3.16)
$$

where F_{obj} is the sky-subtracted flux of the object of interest. Then the photometric light curve is built by plotting the m_{rel} as a function of time (Fig. [3.12\)](#page-78-1), which is also corrected by light-time (Eq. [3.14\)](#page-75-1). The best apertures are established for each observational night after an interactive process that tests different apertures and measures the dispersion of the final curve. Then, the apertures that provide the lowest dispersion are chosen. The observational geometry contributions can be neglected for data acquired on sequential nights or separated by a few days only. Therefore, the obtained light curves are submitted to a periodic search. As mentioned above, in this work, we only used the LS approach.

Figure 3.12: Example of a photometric light curve obtained with relative photometry of one observational night on OPD.

3.2.2 Difference Image Analysis - DIA

The Difference Image Analysis (DIA) and co-addition methods have been playing an indispensable role in time-domain astronomy since [TOMANEY and CROTTS](#page-161-2) [\(1996\)](#page-161-2). The authors used this approach to monitor microlensing activity in their images. The stepby-step procedure was to sample the PSF of the brightest stars common to all images, to solve the WCS, to determine the convolution kernel from the brightest stars by computing

the ratio of the Fourier transform, and stacking the best images to build the template image. Finally, they subtracted the template from the entire data set and published the candidates for microlensing events. However, division operations in the Fourier space are not stable and this approach cannot guarantee optimal results (HU *[et al.](#page-149-0)*, [2022\)](#page-149-0).

[ALARD and LUPTON](#page-140-1) [\(1998\)](#page-140-1)'s pioneering work laid the foundation for image subtraction as we know it today. The so-called Optimal Image Subtraction (OIS) method decomposes the convolution kernel into a set of base functions in the image space. Therefore, the kernel can be derived using a straightforward least-squares analysis overall pixels of both images (Fig. [3.13\)](#page-79-0). [ALARD](#page-140-2) [\(2000\)](#page-140-2) improved the algorithm by adding a spacevarying kernel composed of Gaussian functions multiplied by a polynomial. As a result, it became the standard approach for DIA on astronomical images. The most popular tools that use the above-described methodology are the HOTPANTS [\(BECKER,](#page-141-0) [2015\)](#page-141-0) and the $DIAPL2²³$ $DIAPL2²³$ $DIAPL2²³$ implementations [\(WOZNIAK,](#page-162-0) [2000\)](#page-162-0).

Figure 3.13: Example of a) a science image, b) a template image built from the stack of many science images, and c) the result of the DIA method, showing the flux of the transient source. Image adapted from [ALARD and LUPTON](#page-140-1) [\(1998\)](#page-140-1).

Following the image space approach, [BRAMICH](#page-142-1) [\(2008\)](#page-142-1), and [MILLER](#page-152-0) et al. [\(2008\)](#page-152-0) introduced the Delta Basis Functions (DBFs). The method minimizes user dependency and improves kernel flexibility. It considers the kernel as a discrete pixel array and solves it directly using linear least squares. In addition, DBFs can compensate for sub-pixel misalignments between images. The algorithm was tested to search for microlensing events by [ALBROW](#page-140-3) et al. [\(2009\)](#page-140-3). Also, tested in simulated images and some time-series observations by [BRAMICH](#page-143-0) et al. [\(2016\)](#page-143-0). The last work details the many advantages of using DBFs to build convolution kernels.

Another image subtraction algorithm version explores a numerical approach based on cross-convolution kernels. It can provide subtracted images with uncorrelated backgrounds and minimal remaining artifacts. The most prominent algorithms that use this

²³The code and user manual are publicly available on the author's web page [http://users.camk.edu.](http://users.camk.edu.pl/pych/DIAPL/) [pl/pych/DIAPL/](http://users.camk.edu.pl/pych/DIAPL/)

strategy are the Python implementations named PROPERIMAGE^{[24](#page-80-0)} and $ZOGI²⁵$ $ZOGI²⁵$ $ZOGI²⁵$, both based on [ZACKAY](#page-162-1) et al. [\(2016\)](#page-162-1) and [ZACKAY and OFEK](#page-162-2) [\(2017\)](#page-162-2) papers. The last one has been used in the pipelines of the Zwicky Transient Facility (ZTF) [\(MASCI](#page-152-1) *et al.*, [2019\)](#page-152-1) and the MeerLICHT project [\(PATERSON,](#page-154-2) [2019\)](#page-154-2)^{[26](#page-80-2)}.

Recently, a newer approach was proposed by HU [et al.](#page-149-0) [\(2022\)](#page-149-0). The authors introduced the Sacadic Fast Fourier Transformation (SFFT) algorithm 27 . The SFFT uses the DBFs to decompose the kernel, but the image subtraction is made in the Fourier space. This allows for improvements in computational performance without losing the advantage of accommodating spatial variations across the images.

This work tests the DIAPL2 and the PROPERIMAGE tools with images of 2002 MS₄ in a crowded FOV. DIAPL2 is entirely based on Alard's method and PROPERIMAGE uses Zackay's implementation. Therefore, we expect to identify which algorithm has a better performance in deriving photometry of moving objects in crowded FOVs. For the properimage trimmed images are used to improve the processing speed and the subtraction efficiency. The results from both approaches are presented in Sect. [4.1.2.2.](#page-118-0)

3.2.3 Massive absolute photometry

Generally, the tools designed to obtain information about moving SS objects are pro-prietary routines or hard-coded scripts^{[28](#page-80-4)}. Moreover, they usually require a collection of incompatible software packages implemented in different languages, leaving the user with the option of manually linking the pieces^{[29](#page-80-5)}. Also, many large telescopes have their own software implementations for standard calibration and astrometric solutions. Therefore, there is a lack of homogeneity among the available photometric tools and the scientific community usually makes use of scripts written in the commercial Interactive Data Language[®] (IDL)^{[30](#page-80-6)}. In particular, most publications about TNOs and Centaurs [\(FERNÁNDEZ-VALENZUELA](#page-146-0) et al., [2016\)](#page-146-0) use a collection of scripts written in IDL but based on DAOPHOT routines [\(STETSON,](#page-159-1) [1987\)](#page-159-1). Usually, these scripts are used in a semi-automatic way to derive precise RLCs from a few nights of observation, but the entire procedure cannot be used with gibibytes $(GiBs)^{31}$ $(GiBs)^{31}$ $(GiBs)^{31}$ of data.

In this context of big data sets^{[32](#page-80-8)}, we are seeing explosive growth in the collection of

 32 For instance the Gaia mission (<https://sci.esa.int/web/gaia>), the LSST project ([https://www.](https://www.lsst.org/)

²⁴Documentation available on <https://pypi.org/project/properimage/>

²⁵Script and documentation publicly available on https://github.com/pmvreeswijk/Z0GY

²⁶Available on <http://hdl.handle.net/11427/29987>

²⁷The algorithm is publicly available on <https://github.com/thomasvrussell/sfft>.

²⁸For instance the pinpoint astrometry tool (<http://pinpoint.dc3.com/>).

²⁹For instance, Image Reduction and Analysis Facility (IRAF) to standard calibration, PRAIA for photometry, Pyedra for phase curve fitting (<https://github.com/milicolazo/Pyedra>), and so on.

³⁰<https://www.l3harrisgeospatial.com/Software-Technology/IDL>

³¹The international electrotechnical commission created the binary system for measuring data capacity on computers in 1998, and according to this system one tebibyte corresponds to 2^{30} bytes–a unit of data that is eight binary digits long.

[information that is rapidly changing our scientific paradigms. As a result, astronomers](https://www.lsst.org/) [and professionals worldwide are challenged to develop new ways to access and use this](https://www.lsst.org/) [enormous amount of information. Therefore, there is a global community of developers](https://www.lsst.org/) [maintaining the international standard scripts for massive data processing: Apache](https://www.lsst.org/)TM Hadoop^{[®33](#page-81-0)} and Spark^{TM[34](#page-81-1)}[. These open-source tools are being widely used and tested by](https://www.lsst.org/) [thousands of individuals and companies worldwide.](https://www.lsst.org/)

[The lack of homogeneity of the whole data processing and the increasing amount of](https://www.lsst.org/) [data makes massive data processing an arduous task by using the usual tools. Those draw](https://www.lsst.org/)[backs motivated the Granada group to design and implement the local massive processing](https://www.lsst.org/) [system mainly composed of the Observing Manager \(](https://www.lsst.org/)om) and Massive prOcessing Of aStronomical imagEs (Moose) - version 2 (m2[\) algorithms. A local system implies using a](https://www.lsst.org/) huge amount of storage for catalogs (tebibytes $(TiBs)^{35}$ $(TiBs)^{35}$ $(TiBs)^{35}$), but it affords parallel access, re[ducing the computation time and eliminating the external data dependence/connection.](https://www.lsst.org/) [As a result, all tools are compatible, use open-source codes, and are ready to manage](https://www.lsst.org/) [massive astronomical image processing. In addition, the algorithms do not change when](https://www.lsst.org/) [the problem grows in size. It is only needed an increase in the computational resources](https://www.lsst.org/) [\(CPU, disk, etc.\).](https://www.lsst.org/)

Fig. [3.14](#page-81-3) [presents the system's architecture used in this work to perform image cali](https://www.lsst.org/)bration and photometry. The om and m2 [algorithms are implemented using the](https://www.lsst.org/) scala [programming language on top of the massive data processing tools \(Hadoop](https://www.lsst.org/)®, Yarn, and $Spark^{TM}$ [\). The algorithms are executed on a computation cluster \(set of computers\)](https://www.lsst.org/) [configured to manage all the mentioned tools. The resources provided by every single](https://www.lsst.org/) [computer are managed by one last tool called Apache](https://www.lsst.org/)TM Hadoop[®] Yarn^{[36](#page-81-4)}. The results [derived from the algorithms described in this work were stored in a MongoDB database](https://www.lsst.org/)^{[37](#page-81-5)}.

Figure 3.14: Illustration of the system used to process massively the astronomical images used in this work.

[lsst.org/](https://www.lsst.org/)), and theSquare Kilometre Array (SKA) telescope (<https://www.skatelescope.org/>). ³³<https://hadoop.apache.org/>

³⁴<https://spark.apache.org/>

 35 One tebibyte corresponds to 2^{40} bytes or 1 024 GiB.

 $^{36}{\rm https://hadoop.apache.org/docs/stable/hadoop-yzrn/hadoop-yzrn-site/YARN.html}$ ³⁷<https://www.mongodb.com/>

Furthermore, the whole system is scalable and can run in a commodity cluster computing[38](#page-82-0). The scalability means that when the problem size increase or decreases, it is only necessary to change the number of computation resources (Central Processing Unit (CPU), Random Access Memory (RAM), disk) while keeping the same algorithms. The main conclusion is that with this system, the same algorithm designed and implemented in cheap hardware can run on (and take advantage of) the resources of large and expensive computation clusters, avoiding script adaptations.

As already mentioned, the main algorithms are the om and the m2, which run on top of a java Virtual Machine, which communicates them with the other tools mentioned above. OM and M2 are publicly available through an open-source repository in GitLab^{39} GitLab^{39} GitLab^{39} , except for some specific parameter calculations like parallax angles, astrometry plate solving, and the JPL/SPICE parameters^{[40](#page-82-2)}. Details of each algorithm and the steps used to process the astronomical images are described below.

3.2.3.1 Observing Manager (om)

OM was designed to work with files in FITS format^{[41](#page-82-3)} and is devoted to automatic image classification and calibration. A general view of om's decision tree and a summary of the processing steps are presented in Fig. [3.15.](#page-83-0)

Stage 1: to speed up the process, the OM default configuration will manage only FITS images with a data type of 16 bits. However, it can be rebuilt using the proper FITS data type and process images with 8, 32, or 64 bits. om can recursively process the images' directory, parse the header information, and create a unified table where each FITS record (header's keyword) is a column. Each table row will contain the header's record values of the individual images.

Then, om can classify the images in bias, dark, flats, or science, and include this information on the image's header. This task is critical and complex because it uses the FITS record to identify (classify) the type of images. Typically, the original information on those records does not have a unified format, sometimes the information is (partially) missing, has spelling mistakes and uses different languages (i.e., English, French, Spanish, Italian, etc.).

The next step is to group the files by observational nights^{[42](#page-82-4)}. Then, inside each group, om searches for the calibration images to build the master bias, master dark, and master

³⁸Parallel computing that involves the use of large numbers of already-available computing components to get the greatest amount of useful computation at low cost.

³⁹https://gitlab.com/users/rmorales_iaa/projects

⁴⁰JPL/SPICE is a free and open source tool but is not available in the mentioned GitLab repository. Users must search in the respective official repositories.

⁴¹As defined by the documentation available https://fits.gsfc.nasa.gov/fits_standard.html

 42 The observational night starts 12 h before and ends 12 h after midnight UT, i.e., if the image was acquired on February 03, 2022, at 01:30:00 UTC, then it belongs to the observational night of February 02, 2022.

Figure 3.15: The flowchart shows each step of the massive image processing performed by the om algorithm.

flat images. During this procedure, om considers some crucial information about the image acquisition, such as the telescope and instrument used, the pixel scale, filters, image binning, the size of the FOV, and the exposure time. In the end, if the user decides that a debug session is required, the algorithm can show all the images used to calibrate some specific science image.

Stage 2 begins with the standard calibration of the science images by bias, dark, and flats. First, om matches the science image characteristics with the master images inside the same group. However, if some master image is missing, om will search for master images in the closest groups in time (up to a 15 days interval). The masters are then used to perform the standard calibration by bias, darks, and flats of the scientific images. Usually, the images acquired by big telescopes do not need dark calibration because the CCD temperature is low enough turning thermal effects to be negligible.

In the end, OM searches for the image's center and the pixel scale records. Then submit the calibrated images to a local installation of Astrometry.net^{[43](#page-83-1)} algorithm [\(LANG](#page-151-1) [et al.](#page-151-1), [2010\)](#page-151-1) to derive their WCS's solutions. The pixel scale and image center information helps Astrometry.net to find the WCS solution faster. If the mentioned records are unavailable, only the FITS file is submitted to Astrometry.net, and a more time-consuming

⁴³Documentation available on <https://astrometrynet.readthedocs.io/en/latest/>

blind astrometry starts. Despite being a blind search of the image's FOV, some default parameters may help to run this step faster. For instance, if a range of image sizes is provided, Astrometry.net will use only indexers of similar size to match the asterisms identified in the FITS files. At this stage, Astrometry.net uses the indexers from the Two Micron All Sky Survey (2MASS) catalog^{[44](#page-84-0)}.

The final output generated by om is a set of calibrated science images with the WCS solutions and the master bias, dark, and flats used during the calibration process. Furthermore, the resulting images have new FITS records that homogenize the image's and FOV's information. Finally, all the information and results produced by om (including a hyperlink to the original calibrated images) are saved on the MongoDB database.

3.2.3.2 Massive prOcessing Of aStronomical imagEs (Moose) - v2

m2 is a set of algorithms and scripts developed to refine astronomical images' astrometry and perform aperture photometry (Fig. [3.4\)](#page-67-0). The aim is to obtain the asteroid's flux variation and their astrometric positions as a function of time. Note that, to speed up the process, all m2 activities are made locally (no external access nor internet is needed), including the Jet Propulsion Laboratory (JPL) information management and the access to Gaia DR3 catalog. The general step-by-step for massively processing the images with m2 is described below and can be divided into three stages as presented in Fig. [3.16](#page-85-0) and [3.17.](#page-86-0)

Stage 1: M2 receives the *main.conf* file, which contains the required directories path, object's name, and the default constants to process the images. Then, using a local installation of the SPICE toolkit^{[45](#page-84-1)}, $M2$ propagates the asteroid's orbit, searches for images in the om's astrometric database, and returns a list of FITS that may contain the target. Next, groups of files are built according to the FOV limits information. Each group defines a squared sky region, typically with $1^{\circ} \times 1^{\circ}$, without intersection with other groups. Finally, a query is made to the local *Gaia* DR3, and a sub-*Gaia* catalog involving only the observed sky region is created. The sub-Gaia catalog contains an *info* directory that stores the FOV limits for each group of images, and numbered directories that comprise information on individual images in the same group.

Next, $M²$ uses the internal source detection algorithm and FIT-WCS algorithm imported from Astrometry.net to solve the individual images and refine the WCS solutions. The source detection algorithm uses the sextractor.background estimator im-ported from SExtractor algorithm^{[46](#page-84-2)} [\(BERTIN and ARNOUTS,](#page-142-2) [1996\)](#page-142-2) for sky background calculations and a built-in script for estimation of the image average PSF. At this stage, the WCS calculations use the pixel scale information provided by om and the

 44 For more information about this matching procedure see [LANG](#page-151-1) *et al.* [\(2010\)](#page-151-1).

⁴⁵<https://naif.jpl.nasa.gov/naif/toolkit.html>

⁴⁶<https://sextractor.readthedocs.io/en/latest/Introduction.html>

Figure 3.16: The flowchart presents each step of the massive image processing performed by m2.

Figure 3.17: continued.

Gaia DR3 indexers, which were created previously using the Gaia source files available on http://cdn.gea.esac.esa.int/Gaia/gedr3/gaia_source/.

m2 provides other options for PSF fitting, but this work uses the Moffat elliptical rotated PSF model. It consists of fitting the following equation to the detected sources

$$
PSF_{\text{model}} = B + \frac{A}{\left[C(x - x_0)^2 + D(y - y_0)^2 + E(x - x_0)(y - y_0)\right]^{\kappa}},
$$
\n(3.17)

where B is the sky background level, A is the maximum value of the fitted PSF, (x_0, y_0) coordinates represent the source's center in pixel units, κ controls the overall shape of the fitting function, C, D , and E are defined as follows

$$
\begin{split} C &= \left(\frac{\cos(\Phi_{\rm rot}) . 2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm min}}\right)^2 + \left(\frac{\sin(\Phi_{\rm rot}) . 2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm max}}\right)^2, \\ D &= \left(\frac{\sin(\Phi_{\rm rot}) . 2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm min}}\right)^2 + \left(\frac{\cos(\Phi_{\rm rot}) . 2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm max}}\right)^2, \\ E &= 2. \sin(\Phi_{\rm rot}) . \cos(\Phi_{\rm rot}) . \left(\frac{2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm min}} - \frac{2\sqrt{2^{1/K} - 1}}{\rm FWHM_{\rm max}}\right), \end{split}
$$

where $\Phi_{\rm rot}$ is the rotation angle of the PSF x-axis concerning the center coordinates, the $FWHM_{min}$ is the smallest Full-Width at Half Maximum of the fitted PSF, and $FWHM_{max}$ the maximum. The final result from stage 1 is a sub-Gaia catalog and WCS solutions with accuracy around tens of *mas*.

Stage 2 starts by checking if duplicated images are present in the data set. Then, m2 calls the source detection script to build a general catalog of sources present in the FITS file. To be identified as a source, the pixels must be above the *noise level*, which is calculated by multiplying the image's sky background Root Mean Square (RMS) by a constant (usually 2.5). The following step is to make a cross-match between the generated catalog and sub-Gaia information. If an isolated source is identified as a Gaia DR3 star, it will be part of the Gaia catalog. If more than one Gaia DR3 star is identified as a single source in the image, this source is recorded in the Gaia multiples catalog. Finally, if the source present in the image is not Gaia, it will be part of the unknown catalog.

Next, spice is used to perform a focused search and to calculate the asteroid's position $(x, y)^{47}$ $(x, y)^{47}$ $(x, y)^{47}$ at the image's epoch. The time calculations include a correction for one-way light time using the Newtonian formulation provided by $SPICE^{48}$ $SPICE^{48}$ $SPICE^{48}$ (Eq. [3.14\)](#page-75-1). Then a comparison between the calculated position (pixels units) and the unknown sources is performed. If

⁴⁷A search for all asteroids present in the image also is possible with the ASTCHECK tool, which is part of the [find orb](https://www.projectpluto.com/find_orb.htm) package developed by Bill J. Gray. But it was not necessary for this work.

⁴⁸This correction is essential to obtain reliable rotational light curves, and more information is available on https://naif.jpl.nasa.gov/pub/naif/toolkit_docs/IDL/icy/cspice_spkezr.html

the asteroid is not found, the image is discarded. When the asteroid is identified in the unknown catalog, the algorithm verifies if it is merged with some $Gaia$ star^{[49](#page-88-0)}, and in the affirmative case, the image is also discarded. Otherwise, Gaia sources are filtered to build the image's photometric stars catalog. A total of 11 filters (Table [3.1\)](#page-88-1) are applied to select the best photometric calibrators, but the spectral type is not considered here.

Table 3.1: If the source attends one of the items in this list of filters, it is not considered a photometric star and is not used to calibrate asteroid flux. ${}^{t}BP/RP$ excess factor estimated from the comparison of the sum of integrated BP and RP fluxes with respect to the flux in the G band. [‡]Ruwe is the re-normalized unit weight error (for astrometry) given in the Gaia archive.

Then, the average FWHM value of the selected sources is used to calculate the photometric apertures (Eq. [3.18,](#page-88-2) [3.19,](#page-88-3) and [3.20\)](#page-88-4). By repeating this procedure in every FITS file, the seeing variations are considered in the analysis. Therefore, the apertures are calculated as follows,

$$
AP_{source} = FWHM_{AV} \times 0.8, \tag{3.18}
$$

$$
AP_{inner} = AP_{source} + 11,
$$
\n(3.19)

$$
AP_{\text{outer}} = AP_{\text{inner}} + 5,\tag{3.20}
$$

where $FWHM_{AV}$ is the average image's FWHM obtained from the selected stars, the AP_{source} is the aperture that measures the source's flux, the AP_{inner} is the inner and AP_{outer} is the outer aperture of the sky annulus that measures the local sky background flux (Fig. [3.4\)](#page-67-0). The constants (0.8, 5, and 11) were chosen due to Instituto de Astrofísica de Andalucía - Consejo Superior de Investigaciones Científicas (IAA-CSIC) researchers' experience with aperture photometry, but they can be edited in the main.config file if needed.

The normalized flux and the SNR of each source are calculated as follows,

$$
F_{\text{net}} = F_{\text{source}} - \left(\frac{F_{\text{sky}}}{A_{\text{sky}}} \times A_{\text{source}}\right),\tag{3.21}
$$

⁴⁹To be considered merged, the object must be closer than 10 pixels from the star in any direction.

$$
F_{\text{norm}} = \frac{F_{\text{net}}}{\exposure},\tag{3.22}
$$

$$
SNR = \frac{F_{\text{net}}}{\sqrt{F_{\text{net}} + (F_{\text{sky}}/A_{\text{sky}}) \times A_{\text{source}}}},
$$
\n(3.23)

where F_{source} and F_{sky} are the flux (ADU) measured by the source's aperture and sky annulus $AP_{outer}-AP_{inner}$, respectively. The A_{source} and A_{sky} are the measured squared area (px^2) by the AP_{source} and sky annulus, respectively. Finally, F_{net} is the measured flux before normalization, and *exposure* corresponds to the image's exposure time in seconds.

Stage 3 starts with a query to the sub-Gaia catalog to retrieve the G, BP, and RP mag for each Gaia DR3 comparison star. Next, m2 transforms these Gaia magnitudes to Johnson-Cousins system using the equations provided by [RIELLO](#page-156-3) et al. [\(2021\)](#page-156-3), as follows

$$
V = G + 0.02704 - (x \times 0.01424) + (x^2 \times 0.2156) - (x^3 \times 0.01426),
$$

\n
$$
R = G + 0.02275 - (x \times 0.3961) + (x^2 \times 0.1243) + (x^3 \times 0.01396) - (x^4 \times 0.003775),
$$

\n
$$
I_c = G - 0.01753 - (x \times 0.76) + (x^2 \times 0.0991),
$$
\n(3.24)

where x is the difference between $BP - RP$ mag from the Gaia DR3 catalog. Then, it calculates the Johnson R and V mags as follows

$$
R_{\rm mag} = R - 2.5 \times \log_{10}(F_{\rm norm}^*),\tag{3.25}
$$

$$
V_{\text{mag}} = V - 2.5 \times \log_{10}(F_{\text{norm}}^*), \tag{3.26}
$$

where R and V are the expected magnitudes from the transformation between systems (Eq. [3.24\)](#page-89-0). The F_{norm}^* is the normalized flux of the star as calculated in stage 2. Then the light curve derived for each photometric star must pass through three additional filters: low SNR, outliers in V and R filters. For the remaining photometric stars, m2 build a table with two columns: R_{mag} and V-R color. Each line corresponds to one star.

When M2 fills the table with all *Gaia* DR3 photometric stars, a cubic polynomial fit is done overall the table lines. Fig. [3.18](#page-90-0) presents an example of the distribution of the root-mean-square deviations for the fit made in R_{mag}. Note that fits accuracy is at the level of a few magnitude decimals. Then, the derived polynomial coefficients B0, B1, B2, and B3 are used to derive the asteroid's magnitude

$$
M_{obj} = -2.5 \times log_{10}(F_{norm}^{obj}) + B0 + [B1 \times (v-r)] + [B2 \times (v-r)^{2}] + [B3 \times (v-r)^{3}], (3.27)
$$

where $F_{\text{norm}}^{\text{obj}}$ is the asteroid's normalized flux from stage 2. The $v-r$ index must be provided according to published or expected values.

Finally, the corrections due to the observational geometry are made, as described at the beginning of this chapter. The main product of the entire analysis using om and m2 is

Figure 3.18: An example of the distribution of the root-mean-square residuals from the polynomial fit made to photometric star's magnitudes in Johnson R-band.

a table file, where each line corresponds to the information of one image that contains the asteroid. The columns contain information about the WCS fit, image time, normalized flux, the parameters used during the process, the asteroid's reduced magnitude, and others. Two additional files are also provided, showing the list of discarded and invalid images, i.e., images that failed in some of the above-mentioned steps. In addition, if used in the debug mode, the system provides png and FITS files with a small section of the image centered in the target asteroid, hereafter named croppies. Useful when a detailed investigation of the image is required in order to identify problems in the photometry.

The next steps, as described at the beginning of this section, include building the phase curve, removing outliers, fitting a linear function, and obtaining the absolute magnitude and β for a given band. The corrected-by-inclination M_{corr} is then submitted to the LS method and the frequency that maximizes the periodogram is the most likely to be the rotational period of the object. Fig. [3.10](#page-75-0) and [3.11](#page-77-0) present an example of a phase curve and periodogram for the 2008 \rm{OG}_{19} object. It was obtained from a published image set processed in the above-described system, and the derived RLC is presented in Fig. [3.19.](#page-91-0) A comparison between the results obtained with $OM/M2$ system and the published information is shown in Table [3.2.](#page-90-1) The authors used relative photometry to obtain the result. Our results show that $OM/M2$ system, despite presenting noisier results, can effectively be used to derive RLCs of TNOs.

Table 3.2: Comparison between the nominal values of the published rotational parameters of 2008 \rm{OG}_{19} and the ones obtained here. We used the same image set as [FERNÁNDEZ-](#page-146-0)[VALENZUELA](#page-146-0) *et al.* [\(2016\)](#page-146-0) to obtain these results.

$\overline{}$	$H_{\rm R}$ (mag)	$\beta_{\rm R}$ (mag/	(h) Ρ	Δm (mag)
Published	4.39	$\rm 0.30$	8.727	$\rm 0.437$
This example	4.43	$\rm 0.27$	724 8.'	0.465

Figure 3.19: 2008 \rm{OG}_{19} rotational light curve as derived in this work using only the images published by [FERNÁNDEZ-VALENZUELA](#page-146-0) et al. [\(2016\)](#page-146-0).

Chapter 4

Results

4.1 (307261) 2002 MS⁴

The trans-Neptunian object (307261) 2002 MS4, hereafter MS4, was discovered on June $2002¹$ $2002¹$ $2002¹$, by Chad Trujillo and Michael Brown while using the Palomar mountain facilities as part of the Near-Earth Asteroid Tracking (NEAT) program [\(HELIN](#page-149-1) *et al.*, [1997\)](#page-149-1)^{[2](#page-92-1)}. It is classified as a member of the main classical belt region [\(GLADMAN](#page-147-2) et al., [2008\)](#page-147-2). It is also categorized as a hot classical object due to its high orbital inclination^{[3](#page-92-2)} (details about classifying TNOs are available on [MÜLLER](#page-153-2) et al. [\(2020\)](#page-153-2)).

The first observations of MS4 were performed by the SST at wavelengths near 24 and 70 micrometers (μ m). Also, on June 2005, [TEGLER](#page-159-2) *et al.* performed 2.2 h of observations at the Vatican Advanced Technology Telescope (VATT) at the visible band to study the object's color. Finally, in September 2010, the HSO acquired images in the wavelength (λ) range of 60–210 μ m. Using HSO data and re-analyzing the SST images, [VILENIUS](#page-161-3) [et al.](#page-161-3) derived the highest published equivalent diameter (D_{eq}) for MS4. The published measurements of its color, geometric albedo in V-band (p_V) , absolute magnitude in Vband (H_V) , and D_{eq} are presented in Table [4.1.](#page-93-0) Due to the expected size, MS4 is a dwarf planet candidate.

Despite being one of the largest known TNOs, due to its distance from Earth, MS4 is a faint source (with an apparent magnitude of ≈ 20.4 , in V-band) currently moving in front of the galactic plane. Since its discovery, the field of view has been crowded with stars, and an object blended with background stars makes astrometric and photometric measurements hard to obtain. An exception happened in July 2011 when it passed in front of a dark cloud. Thus, it appeared well isolated from other stars in 100 images acquired

¹The discovery and ephemeris information was announced on the Minor Planet Electronic Circulars (MPECs). The document is available online under the number id [2002-W27.](https://www.minorplanetcenter.net/mpec/K02/K02W27.html)

²More information and data sets available on <https://sbn.psi.edu/pds/resource/neat.html>

³The orbital parameters are: $a = 41.8$ au, $e = 0.14$, and $i = 17.7^{\circ}$ from Small-Body Database on December 2, 2022.

Table 4.1: Published information for 2002 MS₄. The abbreviations in the references column are defined as follows, $ST08 = STANSBERRY$ $ST08 = STANSBERRY$ et al. [\(2008\)](#page-159-3), $BR09 = BRUCKER$ $BR09 = BRUCKER$ *[et al.](#page-143-1)* [\(2009\)](#page-143-1), VI12 = [VILENIUS](#page-161-3) *et al.* [\(2012\)](#page-161-3), and TE16 = [TEGLER](#page-159-2) *et al.* [\(2016\)](#page-159-2).

$p_{\rm V}$	km D_{eq}	H_V	B-V	V-R	B-R	Reference
$+0.0378$ $0.0841^{+0.0578}_{-0.0226}$ T	$726.2^{+122.9}_{-123.2}$					ST08
$0.073_{-0.032}^{+0.058}$	730^{+118}_{-120}					BR09
$0.051^{+0.036}_{-0.022}$	934 ± 47	4.0 ± 0.6				VI12
			0.69 ± 0.02	0.38 ± 0.02	1.07 ± 0.02	TE ₁₆

with the 3.6 m Telescopio Nazionale Galileo $(TNG)^4$ $(TNG)^4$ telescope. Relative photometry revealed a shallow Δm of 0.05 \pm 0.01 mag and two possibilities for the rotational period: 7.33 h or 10.44 h [\(THIROUIN,](#page-160-1) [2013\)](#page-160-1). Recently, the New Horizons' and ground-based observations permitted [VERBISCER](#page-161-4) et al. to determine its phase curve. The authors found two values for the phase coefficient at the V-band (β_V) according to the phase angle (α) interval. The phase curve between $0.5^{\circ} < \alpha < 1.5^{\circ}$ has $\beta_{\rm V} = 0.158$ mag/^{\circ}, and for phase angle > 10[°] the $\beta_{\rm V}$ is 0.0284 mag/[°].

4.1.1 Stellar occultation events

As already stated in Sect. [3.1.1,](#page-61-0) knowing the star's and object's position on the sky plane is essential to predict a stellar occultation event accurately. Therefore, since 2010, astrometric runs to refine MS4's orbit have been made in the following observatories: Pico dos Dias Observatory - Brazil (OPD), La Silla - Chile, German-Spanish Astronomical Center at Calar Alto - Spain (CAHA), and Pic du Midi observatory - France. The Gaia catalog's initial releases [\(GAIA COLLABORATION](#page-146-2) *et al.*, [2016a](#page-146-2), b, [2018\)](#page-147-4) provided accurate astrometry for the stars and improved the predictions of the stellar occultations by TNOs.

This work analyzes nine stellar occultations by MS4, predicted and observed within the European Research Council (ERC) *Lucky Star* project^{[5](#page-93-2)} (Table [4.2\)](#page-94-0). The campaigns involved observatories from America, Africa, Europe, and Western Asia, and the default procedure for all campaigns was i) to update the ephemeris, ii) to update the predictions, iii) to select potential events, iv) to send alerts to potential observers within or close to the shadow path, and v) to collect and analyze the data. The observational circumstances of every station that participated in the campaigns are provided in Appendix [A.](#page-164-0) A summary of the target stars' information is provided in Table [4.2.](#page-94-0)

⁴<https://www.tng.iac.es/>

⁵More information about the Lucky Star project is available on the project's web page: [https:](https://lesia.obspm.fr/lucky-star/) [//lesia.obspm.fr/lucky-star/](https://lesia.obspm.fr/lucky-star/)

Table 4.2: The stars occulted by MS4 sorted by the date (day-month-year). Target star designation and geocentric stellar coordinates (ICRS) propagated to the instant of the closest approach (t_0) using the proper motion, parallaxes, and magnitudes from Gaia DR3 catalog. The star's apparent diameter (S_{diam}) in V-band at object's geocentric distance (Δ_{MS4}) is calculated following the [KERVELLA](#page-150-0) *et al.* [\(2004\)](#page-150-0) formalism and used the provided V and K magnitudes. It is important to mention that none of the stars have a duplicity flag in the Gaia DR3 catalog. The * symbol indicates that these errors are expressed in RA. $cos(DEC)$.

4.1.1.1 Prediction and campaigns

This subsection is dedicated to describing the prediction and campaign efforts developed for each stellar occultation event.

July 9, 2019

This was the first stellar occultation campaign in that MS4 was detected. However, the prediction uncertainties were large, with $\approx 2,700$ km perpendicular to the shadow direction (Fig. [4.1\)](#page-95-0). Therefore, the probability of an observer inside the predicted shadow path actually detecting the event was only 16%. Other drawbacks were the high shadow velocity (V_s) and the faint target star. On the other hand, the shadow was passing through a region with large telescopes and over some collaborators with portable telescopes. Therefore, proposals for the large telescopes were prepared, and the observers with small telescopes were contacted by mail and motivated to observe.

A total of 10 telescopes tried to observe this stellar occultation event (Fig. [4.2\)](#page-95-1), with three detecting the drop in the stellar flux (yellow markers). Other four sites acquired data, but it was negative (blue markers), including a close negative from Ponta Grossa station. The other three telescopes had bad weather or technical problems (white markers). In the end, the actual shadow path passed ≈ 700 km at the north of the prediction.

Figure 4.1: Prediction map containing all the information about the stellar occultation by MS4 on July 9, 2019.

Figure 4.2: Predicted shadow path (green lines) and the location of telescopes that tried to observe the event (markers). Positive detections are indicated by yellow markers (two telescopes were in the same site), negatives are in blue, and bad weather or technical problems are indicated by white markers.

July 26, 2019

On July 26, 2019, two stars were occulted by MS4, and we detected both events (Fig. [4.3\)](#page-96-0). First, MS4 occulted the star marked by the purple cross (left), with the shadow path crossed over South American observatories (Fig. [4.4\)](#page-96-1). Then, about 7.5 h later, the second stellar occultation was observable from North America (Fig. [4.5\)](#page-97-0).

Figure 4.3: The FOV of MS4 on July 26, 2019, shows both target stars separated by the red arrow. The first stellar occultation involved the star marked by the purple cross.

Figure 4.4: Prediction map that comprises all information about the first stellar occultation event by MS4 on July 26, 2019.

The detection of the stellar occultation on July 9 allowed for improvements in MS4's ephemeris. Therefore, these predictions had uncertainties of only ≈ 170 km, leading the probability of detection to $\approx 98\%$. In these campaigns, we contacted only observers near the predicted shadow path. It resulted in three positives from South America and one detection of the second stellar occultation from CAN.

Figure 4.5: Prediction map that comprises all information about the second stellar occultation event by MS4 on July 26, 2019.

August 19, 2019

A stellar occultation by MS4 was crossing North America for the second time. Despite being low on the horizon for observers in CAN, this prediction was accurate and involved a relatively bright star (Fig. 4.6). Also, the V_s was smaller than the previous ones. Therefore, the same observer that detected the single chord on July 26 was contacted to observe this event. In the end, our collaborator and his colleague acquired two positive chords.

Figure 4.6: Prediction map of the stellar occultation by MS4 on August 19, 2019.

July 26, 2020

All the previous data maintained MS4 ephemeris accurate enough to predict this stellar occultation passing over South Africa (ZAF). At the time, we were preparing the campaign for the August 8 event. Therefore, this was an amazing opportunity to improve even more the ephemeris and double-check the prediction of the subsequent event. Four observers inside the predicted shadow in Africa were informed about this stellar occultation, and one observer near the shadow path in Argentina (ARG) also tried to observe. In the end, the South American and other two sites had bad weather, leading to a double detection from South Africa^{[6](#page-99-0)}. This data confirmed MS4 ephemeris and the shadow path for the next event.

Figure 4.7: Prediction map of the stellar occultation by MS4 on July 26, 2020.

⁶ Including a live transmission of the observation on [Youtube.](https://www.youtube.com/watch?v=H2JN8sl4Eoc)

August 8, 2020

This event involved a bright star (Gmag $= 14.6$ mag) and was visible from densely populated locations on Earth. The detection probability was 99.9%, with uncertainty in the prediction shadow path of only 119 km. Also, we built a dedicated campaign web page to inform observers about this event (Fig. [4.9\)](#page-101-0). In the end, 116 telescopes tried to observe this event and reported its results to us (Fig. [4.10\)](#page-101-1). The limb of the actual shadow path passed only 46 km at the south of the prediction, leading us to the most successful campaign ever obtained by our collaboration for a stellar occultation by a TNO.

Figure 4.8: Prediction map of the stellar occultation by MS4 on August 8, 2020.

Finding charts made with Starry Night: 02 x 01 degree FOV (North is up, East is left)

Figure 4.9: A small section of the campaign web page still available on [https://lesia.](https://lesia.obspm.fr/lucky-star/campaigns/2020-08-08_2002MS4.html) [obspm.fr/lucky-star/campaigns/2020-08-08_2002MS4.html](https://lesia.obspm.fr/lucky-star/campaigns/2020-08-08_2002MS4.html)

Figure 4.10: Green lines limit the predicted shadow path for the occultation by MS4 on August 8, 2020. The red line was the predicted centrality gray lines are the uncertainty of the predicted path. Yellow markers present the sites that acquired positive data. The negatives are in blue, and stations that reported bad weather or technical problems are represented by white markers.

February 24, 2021

We chose this prediction because it was visible from Chile (CHL). However, due to the faint star, the low elevation above the horizon for Chilean observers ($\approx 20^{\circ}$), and the proximity to the sunrise, not many observers were contacted for this campaign. Also, the probability of occultation on centrality was only 58.7%. In the end, only two telescopes at the same site in San Pedro de Atacama/CHL detected the stellar occultation - a singlechord detection. It was helpful to maintain MS4's ephemeris with small error bars.

Figure 4.11: Prediction map of the stellar occultation by MS4 on February 24, 2021.

October 14, 2021

This prediction was similar in favoring conditions that the August 8, 2020 event. The target star was bright, and the V_s was lower enough to allow for observations from small portable telescopes. In addition, the shadow path was crossing a densely populated location on Earth, just after sunset, with a probability of centrality of 95.5%. The characteristics mentioned above usually help to motivate observers to participate in stellar occultation campaigns. Therefore, dozens of North American collaborators were contacted by mail to try this observation. However, this time the weather did not favor the observations. A total of 12 stations had bad weather or technical problems, and only two could acquire positive data—one from the United States of America (USA) and the other from CAN.

Figure 4.12: Prediction map of the stellar occultation by MS4 on October 14, 2021.

June 10, 2022

The idea behind the stellar occultation campaigns is to observe the event whenever possible and try to detect the object from as many stations as possible. Therefore, an event with a bright star and 90% of the probability of detection and crossing over large facilities in the Canary Islands/ESP seemed to be an excellent opportunity to acquire more data about MS4. The aim was to try to detect topography or surrounding structures. In the end, three equatorial chords were acquired. One from the USA and two from the Canary Islands.

Figure 4.13: Prediction map of the stellar occultation by MS4 on June 10, 2022.

4.1.1.2 Photometry and instants determination

All the received image sets passed through the differential aperture photometry procedure described in Sect. [3.1.3](#page-66-0) and, as already stated, some data needed to be converted into the FITS format. In addition, the observational campaigns involved a wide range of telescopes, from small portable ones (apertures between 0.13 m and 0.3 m) to large facilities like the Southern Astrophysical Research Telescope (SOAR, 4.1 m), the Liverpool telescope at Roque de Los Muchachos (2.0 m), Pico dos Dias Observatory - Brazil (OPD, 1.60 m), and Sierra Nevada Observatory - Spain (OSN, 1.5 m). Most observers did not use filters to maximize photon flux and get images with a better SNR. Even though some observers used GPS to acquire the time, the most common time source was the computer clock synchronization with a NTP, which resulted in time offsets in some positive chords.

PRAIA algorithm expects that the provided time is the start of the exposure time, and at the end of the photometry procedure, it adds half of the exposure time to get the middle instant of the image. However, the time written on the image or image's header may sometimes be the exposure's middle or end instant. Therefore, we searched for each instrument's specifications and (or) used the detailed analysis provided by Gerhard Dangl's web page^{[7](#page-105-0)} to apply time corrections on the light curves. In addition, when the observer reported time issues during data acquisition, we used close trustful chords as a reference to correct them^{[8](#page-105-1)}. All offsets applied to the positive chords and a detailed description of their observational circumstances are described in Appendix [A.](#page-164-0)

The default light curve analysis described in Sect. [3.1.4](#page-68-0) was applied to all data sets. A strong variation in the derived instants accuracy was identified along the 80 positive light curves here reported (between 0.006 s to \approx 16 s). The main sources of such uncertainties are the exposure times (used to calculate the cycle time) and the Occultation Light Curve dispersion (OLC_{σ}). To illustrate these effects, Fig. [4.14](#page-106-0) presents some examples of light curves here analyzed. In the upper panel, we present a noisier curve (a) with a cycle time of about 10% of the cycle time of the OLC with the lower dispersion presented in the right (b). The first light curve provided instant uncertainties of 0.26 s and 0.67 s, for immersion and emersion instants, respectively. Despite having lower dispersion, the OLC shown in (b) provide less accurate instants with uncertainties of ≈ 16 s. Therefore, the number of points per second has a large influence on the results obtained from occultations, i.e., with a higher cadence, we achieve more precise measurements of the object's limb.

In the bottom panel of Fig. [4.14](#page-106-0) two OLCs with similar cycle times are presented to illustrate the influence of the data dispersion in instants determination. The light curve obtained from Victoria station (d) has about six times more dispersion than Massa's light curve (c). Which translates in error bars about six times greater for Victoria's light curve.

⁷http://www.dangl.at/ausruest/vid_tim/vid_tim1.htm#wat_910bd

⁸Usually, we trust more in data acquired with a GPS. However, some large facilities have an accurate NTP source of time and also have been considered trustful.

In conclusion, the light curve quality and the used exposure times are crucial to obtaining accurate results from stellar occultation data sets.

Figure 4.14: Observed OLCs are in black, and the synthetic model is in red. Cycle time and light curve dispersion are indicated in the individual captions. The upper panel presents the light curve obtained from (a) Bologna/ITA and (b) Khmelnytskyi/UKR stations on the August 8, 2020 event. The bottom panel shows (c) the light curve obtained from Massa/ITA station on August 8, 2020, and (d) the light curve acquired from Victoria/CAN on August 19, 2019.

The other positive OLCs containing the normalized flux and the synthetic models are presented in Appendix [B.](#page-174-0) The list of final immersion and emersion times (UT) with 1σ error bars and for all light curves are provided in Appendix [C.](#page-182-0) Also, the S_{diam} used to determine such instants are provided by Table [4.2.](#page-94-0) Then, following the procedure described in Sect. [3.1.5,](#page-71-0) the instants are projected at the sky plane (f, g) . Fig. [4.15](#page-107-0) presents each occultation's positive (blue) and close negative chords (green). The plot origin is the object's center as predicted by the NIMA v9 ephemeris [\(DESMARS,](#page-144-0) [2015\)](#page-144-0). Finally, a limb is fitted to the chords of each event to determine the object's profile at the instant of the closest approach (UT).

Figure 4.15: Projected at the sky plane are the negative (green) and positive (blue) chords (with 1σ error bars in red) of the nine stellar occultation events (sorted by date). Gray dashed lines indicate the predicted object's center position by [NIMA v9](https://lesia.obspm.fr/lucky-star/obj.php?p=692) ephemeris. The number between parenthesis indicates the order of the event if on the same date.

4.1.1.3 Limb fitting

It is expected that large TNOs like 2002 MS₄ have reached one of the hydrostatic equilibrium shapes: Jacobi ellipsoid or MacLaurin spheroid. Therefore, an ellipse is the indicated shape to be fitted on the stellar occultation data (see Sect. [3.1.5\)](#page-71-0). However, among the nine stellar occultation events, only three events provide more than 5 points to the limbfitting procedure: 9 July 2019, 8 August 2020, and 10 June 2022 (Fig. [4.15\)](#page-107-0). Therefore, we started the analysis by the multichord stellar occultation observed on August 8, 2020.

Due to its large diameter, it is also reasonable to suppose the presence of mountains and depressions on MS4's surface. Therefore, we calculated the theoretical lower limit for topography height (h_{top}) supported by MS4's surface using the [JOHNSON and](#page-149-2)
[MCGETCHIN](#page-149-0) [\(1973\)](#page-149-0) approach. Assuming that MS4 is mainly composed of ice with S_{ice} $= 0.0303\times10^9$ Dyn/cm² and has densities between $\rho = 1.0$ g/cm³ and $\rho = 2.0$ g/cm³. An exploration was performed using $\gamma = 1$ and radius values between 350 km and 450 km (Fig. [4.16\)](#page-108-0) to derive the lower limit for topography supported on MS4's surface. As Charon has a global density of 1.7 g/cm³, the $\rho = 2.0$ g/cm³ seem a good value for lower topography limits determination of ≈ 7 km (dashed red line).

Figure 4.16: Height of the topography supported on MS4 surface according to the object's radius and object's density.

Due to some reported problems in time acquisition and because we suspect that large features are present in the northeast limb, 13 chords were selected among the 61 available: Grasse/FRA, Valbonne/FRA, Mátraszentistván/HUN, Catalonia/ESP, Massa/ITA, Roma/ITA, Hvar/HRV, Sassari/ITA, Odessa/UKR, Agerola/ITA, Algiers/DZA, La Palma/ESP and Çanakkale/TUR. The selection was based on a balance between time reliability, light curve SNR, and perpendicular separation from other positives. Therefore, the selected chords provide $N = 26$ independent points at the sky plane to fit the ellipse.

At this stage, we considered two different approaches to fit ellipses to the 26 selected points (Fig. [4.17\)](#page-109-0): i) use only the 1σ event time uncertainties as derived from the occultation light curves (upper panel), and ii) add an uncertainty to the elliptical model (see Eq. 3.11) by using the *ellipse error* function of SORA i.e., the fitted elliptical shape may differ from the actual limb projection with local irregularities up to this value (bottom panel, more information about the function is available on GOMES-JUNIOR *et al.* [\(2022\)](#page-147-0)). In total, six tests of limb fitting were performed. The first one did not use the ellipse error function, and the other five involved values between 5 and 10 km.

The first approach provides an χ^2 per degree of freedom (χ^2_{pdf}) of 32, while the second gives a χ^2_{pdf} of 0.92 when using an *ellipse_error* of 7 km (Fig. [4.17](#page-109-0) *bottom panel*). As

Figure 4.17: The 13 selected chords at the plane (f, g) with the ellipse solutions within 1σ . Blue segments present the positive chords with uncertainties in red. Upper panel shows the limb solution for the first approach and the lower panel for the second (see text for details).

the latest solution presents a χ^2_{pdf} closer than the expected theoretical value $(\chi^2_{\text{pdf}} \approx 1)$ and is in agreement with the lower theoretical limits determined before, we followed the analysis using the second approach. The elliptical solutions were filtered by the negative chord acquired at Montsec station, and the *filter negative chord* function also received a tolerance of 7 km. Therefore, although some elliptical solutions cross the negative segment (Fig. [4.18a\)](#page-110-0), they are in agreement with the assumed topography of 7 km i.e., they are valid if a topography of up to 7 km is present in that region. The f' , g' was calculated using the stellar position from Gaia DR3 (Table [4.2\)](#page-94-0) and version 9 of NIMA ephemeris. The equivalent radius was calculated using the relation $R_{eq} = a' \sqrt{ }$ $1 - \epsilon'$. Using the equivalent radius (R_{eq}), the Sun's H_V, and the published H_V for MS4, we obtained a p_V of 0.071 \pm 0.12 (Eq. [4.1\)](#page-110-1). Finally, the elliptical limb obtained for this stellar occultation data has the parameters presented in Table [4.3](#page-110-2) and Fig. [4.18a.](#page-110-0)

$$
p_{\rm V} = \left(\frac{\rm au(km)}{\rm R_{eq}(km)}\right)^2 \times 10^{0.4(H_{\rm sun} - H_{\rm obj})}
$$
(4.1)

Table 4.3: Ellipse parameters (3σ) derived from the 13 selected chords admitting local topography up to 7 km.

		$\mathbf{p}\Lambda'$	u		$\mathbf{r_{eq}}$
km	km	Ö	.km'		km
43.4 ± 6.2	$+6.9 \pm 9.3$	121.3 ± 16.3	$ 411.8 \pm 9.9 $	$\pm 0.066 \pm 0.034$	398

Figure 4.18: Limb fitting results for the multichord events we observed on a) 8 August 2020 and b) 9 July 2019. Positive detections are in blue, with 1σ uncertainties in red. In black is the best elliptical limb. The gray region presents all the limb solutions inside 3σ that survived the negative chord's filter. The upper right corner presents a zoom of the limb region delimited by the close negative.

Assuming that the MS4 has a Maclaurin shape the projected limb should have no significant variations among the stellar occultation events, i.e., the limb do not depend of the rotational phase. Therefore, we used the interval of parameters presented in Table

[4.3](#page-110-2) to fit MS4's limb over the chords acquired on other eight stellar occultation events. The f', g' was a free parameter, and the others were free only within the 3σ limits.

The July 9, 2019 event also has a close negative chord acquired from the Ponta Grossa observatory (Fig. [4.18b\)](#page-110-0). Therefore, we filtered the elliptical solutions using this close negative with a tolerance of 7 km (as stated before). Two solutions for the center are equally possible in three events with single- and double-chord detections. On these events, the chosen limb solution is the closest one to the predicted by NIMA position. All ellipses fitted to the positive chords of the remaining seven occultations are presented in Fig. [4.19](#page-111-0) and the final astrometric positions in Table [4.4.](#page-112-0)

Figure 4.19: Limb-fitting to the remaining seven stellar occultation events. Blue segments are the positive chords with 1σ uncertainties in red. The best-fitted ellipse is in black, with the center presented by the black dot. The gray region presents all the limb solutions inside 3σ . For the c), d), and e) plots, the chosen center solution was the closest to the predicted-by-NIMA position.

Date	Instant	R.A	$Uncertainties*$	DEC	Uncertainties
	(t_0)	$(hh \text{ mm ss.s})$	$\left($ mas $\right)$	\cdots \circ	$\left(mas\right)$
09-07-2019	04:23:49.08	18 45 19.245987	0.15	-062413.05887	0.12
26-07-2019	02:47:08.52	18 44 07.573464	0.54	-062640.17740	0.46
26-07-2019	10:18:43.02	18 44 06.315997	0.13	-062643.76859	0.11
19-08-2019	07:41:52.28	18 42 43.51613	1.0	-063233.9776	1.1
26-07-2020	23:17:56.04	18 48 18.075014	0.12	-06 13 31.70897	0.12
08-08-2020	20:44:27.26	18 47 29.961308	0.12	-06 16 31.34442	0.10
24-02-2021	08:45:52.82	18 56 35.987285	0.25	-063023.15932	0.23
14-10-2021	03:26:05.50	18 50 30.768578	0.31	-062413.20717	0.27
10-06-2022	05:32:47.30	19 00 15.446841	0.32	-05 42 42.8843	1.3

Table 4.4: Astrometric information (ICRS) at closest approach instant (t_0) as obtained from the nine stellar occultation events observed between 2019 and 2022. The * symbol indicates that error bars are expressed in $RA \cdot \cos(DEC)$.

4.1.1.4 Topographic features

The knowledge about topography on TNOs is still limited to observations of the Pluto-Charon system, Arrokoth, and one detection of 2003 AZ_{84} during a stellar occultation (as stated in Sect. [2.3.3\)](#page-50-0). Topography up to 11 km also was observed in Uranus' five major satellites, which have mean diameters between 472 and 1577 km [\(SCHENK and MOORE,](#page-157-0) [2020\)](#page-157-0). Those few observations and the topography dependency with the object's size [\(TANCREDI and FAVRE,](#page-159-0) [2008\)](#page-159-0) cannot provide a solid baseline for estimating topography in MS4. Then, in this work, we used the theoretical approach mentioned above to calculate the lower limit for supported topography on MS4, and we developed a method to search for more prominent features in the residuals of the stellar occultation data.

The first evidence of topography on MS4 came from the light curve acquired on Varages/FRA, the northernmost positive chord. Varages's light curve does not have dead time between two sequential exposures, and the exposure translates into a resolution of 1.97 km into the sky plane. The Fresnel diffraction and stellar diameter at MS4 geocentric distance are at the same level, 1.54 km and 1.19 km, respectively. The OLC (Fig. [4.20\)](#page-113-0) presents a sharp ingress and a gradual egress above the noise level as shown in Fig. [4.20.](#page-113-0) The feature did not appear in any of the other high SNR light curves. Therefore, an occultation by a secondary star can be discarded. Thus, the most plausible explanation is a topographic feature where a portion of the star appeared during a few frames before egress, corresponding to a more than 20 km long feature in the chord's direction. The insert in Fig. [4.20](#page-113-0) pictures the stellar position in each frame, represented by yellow circles, relative to a proposed limb in gray.

To investigate further the presence of topographic features in MS4, we evaluated the radial difference (R_{diff}) of each observed point relative to the best-fitted ellipse. Among all the R_{diff} points, we selected only the values larger than its 1σ uncertainty. The selected points are in black in Fig. [4.21,](#page-113-1) where the R_{diff} is presented as a function of the PA' $(^\circ)$.

Figure 4.20: The normalized OLC acquired by Varages station on August 8, 2020 (black dots) with photometric uncertainties in red. The insert selects the egress region and illustrates a possible explanation for such a signal (see text).

The vertical red segments are Gaussian distributions with a standard deviation equal to the radial uncertainty (σ_{rad}) and centered in the point. The frequency histogram on the right present the R_{diff} distribution, including the uncertainties.

Figure 4.21: The R_{diff} points (black) with Gaussian uncertainties (red) are presented as a function of the PA'. The black line presents the best-fitted ellipse, and the gray dashed lines mark the 7 km topography. A histogram of data frequency considering the error bars is presented on the right side.

Given the assumed global topography variation of 7 km, the expectation for the R_{diff} points was a Gaussian distribution with a FWHM of 14 km and symmetric around zero, but the histogram is skewed negatively. Therefore, we can investigate the presence of subjacent topographic deviations when subtracting the expected Gaussian distribution from the general histogram (Fig. [4.22a\)](#page-114-0). The Gaussian-subtracted histogram was divided into two groups, and new Gaussian functions were fitted on the residuals to derive the individual FWHM (Fig. [4.22b\)](#page-114-0). Despite being merged, negative and positive curves are separated above the 1σ level, indicating some topography in both directions.

The histogram analysis revealed that at least one depression and one elevation might be present in our data set. In addition, from Fig. [4.21,](#page-113-1) it is clear that most of the deviations above the assumed 7 km of global topography are between $PA' = -5^{\circ}$ and PA'

Figure 4.22: In blue is the same frequency histogram shown in Fig. [4.21,](#page-113-1) but rotated clockwise by 270 $^{\circ}$. The dashed red line shows the expected distribution with FWHM = 14. a) The solid black line presents the histogram after subtracting the Gaussian function in red. b) The negative and positive Gaussian curves fitted to the Gaussian-subtracted histogram are represented in green and blue, respectively.

 $= 125^{\circ}$ (considering the PA' as cyclical). This is the first time that many occultation chords sound a topography on a TNO.To fully characterize it, a method was developed using parabolic functions fitted to the R_{diff} points between the PA' angles mentioned above.

Starting with a simple parabola $y(x) = w.x^2$, where the function minimum is zero, and it is symmetrical around the ordinates, we add coefficients associated with the quadratic term $y(x) = w(x - z)^2$. Then, to model a depression or an elevation, we add a summation term to the function for accounting for the feature's depth (or height) and the distance from the ordinates around which it is symmetric. In addition, we defined all regions outside the parabola as being zero. In summary, we have the following models for a depression (Y_1) and an elevation (Y_2) ,

$$
Y_1 = \begin{cases} 0 & y \ge 0 \\ w(x-z)^2 - k & y < 0 \end{cases} \qquad \qquad Y_2 = \begin{cases} 0 & y \le 0 \\ w(x-z)^2 + k & y > 0, \end{cases}
$$

where z is the center of the parabola and k accounts for the depth/height of the depression/elevation. Furthermore, the coefficient w is negative in the elevation and positive for the depression. As we identified three groups of topography, one large depression, followed by an elevation and another depression in the sequence, the overall model (Y_F) is defined by the summation of three parabolas

$$
Y_F = Y_1 + Y_2 + Y_1. \t\t(4.2)
$$

The fitting procedure was done using a python library for non-linear optimization

and curve-fitting problems named LMFIT^{[9](#page-115-0)}. It is built on and extends many numerical optimization methods available in the *scipy.optimize* library^{[10](#page-115-1)}. We started with the Differential Evolution (DE) minimization method [\(STORN and PRICE,](#page-159-1) [1997\)](#page-159-1) and an initial set of parameters. This algorithm can explore large areas of the provided parameters space without getting trapped in a local minimum. Finally, the best-fitted model is presented in Fig. [4.23.](#page-115-2)

Figure 4.23: This plot presents the selected R_{diff} points as a function of the PA' (black points). The gray horizontal line represents the best-fitted elliptical limb described above. The dashed red line shows the best solution for model fitting using DE.

Next, we explored the parameters' space to find the variance of the points relative to our topographic model. We used the Maximum likelihood via Monte-Carlo Markov Chain (MCMC) sampler - $emce^{11}$ $emce^{11}$ $emce^{11}$ [\(FOREMAN-MACKEY](#page-146-0) *et al.*, [2013\)](#page-146-0) to estimate the posterior probability function, i.e., find the distribution of parameters consistent with the data set. Therefore, given an initial range of values for the w, z , and k parameters, the Maximum likelihood is calculated as follows

$$
\ln P(y|x, w, z, k, f) = -\frac{1}{2} \sum_{i} \left\{ \frac{[y_i - Y_F]^2}{s_i^2} + \ln(2\pi s_i^2) \right\},\tag{4.3}
$$

where f is a fraction of uncertainty summed to the function to account for errors not considered in the provided uncertainty. Therefore, if we consider that points' error bars are underestimated, $s_i^2 = \sigma_{\text{rad}}^2 + f.Y_F^2$, otherwise $s_i^2 = \sigma_{\text{rad}}^2$. In this work, we investigated both approaches, and the results are present in Fig. [4.24.](#page-116-0)

The result of fitting Gaussians to the frequency histogram provides a first estimation of the features' sizes. There is evidence of a 44 km depth depression and an elevation of 15 km (Fig. [4.22b\)](#page-114-0). Consistent results are derived by fitting a model composed of three parabolic functions to the points in the range of PA' between -5° and 125° (Fig. [4.24a](#page-116-0)) and [4.24b\)](#page-116-0). The agreement between both fitting approaches proposes the detection of an elevation followed by a large depression in the MS4's northeast limb.

⁹More about this library can be found in the <https://lmfit.github.io/lmfit-py/>

¹⁰<https://docs.scipy.org/doc/scipy/reference/optimize.html>

¹¹Documentation available on <https://emcee.readthedocs.io/en/stable/>

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We explored the space of parameters to better calculate the best-fitted model's uncertainty (Fig. [4.23\)](#page-115-2). When using only points' errors, the result is a model with underrepresented uncertainties (Fig. [4.24a\)](#page-116-0). On the other hand, the resulting error bars represent better the data set when we allow for unknown uncertainties (Fig. [4.24b\)](#page-116-0). The last result shows that an additional error of ≈ 4.5 km must be considered to get a model that coherently describes the data. As the assumed 7 km of global topography was not considered here, the ≈ 4.5 km is not surprising.

Figure 4.24: Upper plots present the selected R_{diff} points as a function of the position angle (red points). The green dashed lines are the lower limit for the topography of 7 km. The black dashed line represents the best-fitted elliptical limb described above. The solid black line shows the best solution for model fitting using the DE method, and the shaded region is the 1σ uncertainty derived with the emcee sampler. Finally, lower graphs present the residuals after subtracting the models from the data. In (a) the derived model error bar only considers the points' uncertainties, and in (b) we allow for unknown uncertainties of about 4.5 km.

One can analyze the residuals after subtracting the models from the data to validate their quality. The lower plots in Fig. [4.24a](#page-116-0) and [4.24b](#page-116-0) show the residuals of each model, mainly inside the global topography limits (dashed green lines). Therefore, both are good descriptions of the detected local limb, but the second one gives slightly smaller residuals. In addition, the shaded 1σ error bars better represent the points distribution and it is our preferred solution.

This section showed that stellar occultation data detected a local limb with an 11 km depth depression followed by a 25^{+5}_{-12} km high elevation. The most prominent depression is 45.2 ± 3.2 km depth and has a diameter of 322 ± 39 km, and topography also was detected in one OLC acquired from Varages/FRA station (Fig. [4.20\)](#page-113-0). The formation mechanisms of such large features on the 2002 $MS₄$ surface require more data and are out of the scope of this work.

4.1.2 Rotation

As stated above, MS4 is a faint object crossing the galactic plane, and it is challenging to observe it separated from background stars. However, despite the difficulty, telescopes

worldwide acquired some images over the years. In this section, we describe the analysis of some data sets by using i) the usual relative photometry, ii) the Difference Image Analysis (DIA), and iii) the absolute photometry of images spread over many years.

4.1.2.1 Relative photometry

The relative photometry was applied to 71 images acquired from the TNG telescope following the procedure described in Sect. [3.2.1.](#page-78-0) Those images were selected among others because they were taken on sequential nights while MS4 was crossing in front of a dark cloud. The chosen apertures maximize the sources' SNR in the reference image. However, they are free to change according to seeing variations between sequential images. The first and last images are presented in Fig. [4.25.](#page-117-0) Green circles mark the calibration stars, the red circle measures the object's flux, and the pixels between the concentric blue circles are used to estimate the local background contributions. Despite some sky annulus being contaminated by nearby stars, PRAIA can overcome the problem and reject the contaminated pixels from background estimations.

Figure 4.25: The first and last image of MS4 from the TNG telescope and submitted to the PRAIA algorithm. Green circles mark the calibration stars, the red circle the TNO, and the pixels between blue circles were used for local background estimations.

The measured median values of SNR and inner aperture for MS4 over the entire data set are 175 and 2.4 pixels, respectively. The m_{rel} as a function of time is presented in Fig. [4.26a,](#page-118-0) where the different symbols indicate the observational nights. Finally, the rotational light curve is derived from those points using the LS method (Fig. [4.26b](#page-118-0) and [4.26c\)](#page-118-0). Despite being noisier, this result coincides with the published information [\(THIROUIN,](#page-160-0) [2013\)](#page-160-0).

Figure 4.26: (a) The relative magnitude of 2002 MS_4 as a function of time. Each color represents one night of observation. (b) The Lomb-Scargle periodogram shows the strongest frequency (highest peak) at 2.1 cycles/day with 10.86 h. The dashed red line is a standard estimation of false alarms. (c) The rotational light curve with the best period found before. The lower plot shows the residuals after subtracting the model (red curve) from the relative magnitudes (blue dots).

4.1.2.2 Differential Image Analysis (DIA)

Since its discovery, MS4 has been observed in very dense stellar fields, except for the unique opportunity mentioned in Sect. [4.1.2.1.](#page-117-1) Therefore, we tested two image subtraction approaches to derive MS4's photometry free of contamination by background stars. The selected data set has 47 images acquired in the R filter at Pic du Midi observatory on July 17 and 18, 2020. No calibration files are available. The tested tools are the properimage and DIAPL2 implementations of Zackay's and Alard's methods, respectively.

properimage tool has a function to extract only the region of interest from the original images. Therefore, we extracted two square sections with 300 pixels from all original images. The chosen areas only contain MS4 on one of the nights, allowing us to use the same region to build the template image for the other night. Then, the two groups of images were aligned using the Astroalign python module^{[12](#page-119-0)}. The trimmed images on which MS4 is not present were co-added to build the template image for each night. The template image contains the median of the flux in each pixel of the aligned trimmed image. Finally, the template image (Fig. [4.27b\)](#page-119-1) was subtracted from the original ones (Fig. [4.27a\)](#page-119-1) to obtain the difference image (Fig. [4.27c\)](#page-119-1).

Figure 4.27: Example of trimmed and aligned images used in properimage. (a) The original image containing MS4 (red circle), (b) the template image, and (c) the difference between both images.

DIAPL2 follows the same steps described for PROPERIMAGE approach, except that it makes all automatically and uses the entire images. A comparison between the result obtained with each tool for the same original images is presented in Fig. [4.28.](#page-120-0) Despite both tools presenting a reasonable difference image, it is clear that properimage leaves more stellar flux behind. However, to check which method gives the best result overall the entire data set, we used PRAIA to perform the photometry of the subtracted images.

The flux measured by PRAIA in each difference image was divided by the median value of the night to obtain a normalized light curve. Then, the light curve was submitted to the LS method for periodic search, and the best period found was around 2 h (Fig. [4.29\)](#page-120-1). Probably, this is a result of the poor coverage of the rotational phase. Therefore, we folded the data for both published period values (Fig. [4.30\)](#page-121-0). As mentioned above, a larger standard deviation comes from PROPERIMAGE's results (0.10) , while DIAPL2 presents only 0.05. A manual check of the four outliers near phase 0.2 revealed that they are caused by flux contamination of a nearby star that was not well subtracted by properimage. In the end, unfortunately, new rotational information of MS4 was not obtained using this approach.

¹²Documentation available on <https://astroalign.quatrope.org/en/latest/>.

Figure 4.28: Comparison between the result of subtracting the star background from the same image using the (a) PROPERIMAGE and (b) the DIAPL2 tools. Red circles show the position of MS4.

Figure 4.29: LS periodogram (a, c) and the RLC (b, d) obtained from the photometry of subtracted by background images. The upper panel shows the result for images manipulated with DIAPL2, and the lower panel images obtained with PROPERIMAGE tool. Note that a few peaks are just above the false-alarm probability (dashed red line), i.e., the probability of being the true signal is low (see Sect. [4.1.2\)](#page-116-1).

Figure 4.30: Normalized flux of 2002 MS_4 as measured by PRAIA on the difference images generated by $DIAPL2$ (red) and PROPERIMAGE (blue). (a) The 47 points folded by a rotational period of 7.33 h and (b) folded by 10.44 h.

4.1.2.3 Absolute photometry

The astronomical images used in this approach came from the private database of IAA-CSIC and the public repository of images called SSOIS. The IAA-CSIC has a team dedicated to studying the SS's minor bodies, and they periodically run observational campaigns on Spanish telescopes to derive the short-term variability of Centaurs and TNOs. All images acquired since 2001 by the IAA-CSIC team are stored in the mentioned database, and searching for MS4 images, 946 files were found (Table [4.5\)](#page-121-1), mainly from the OSN and CAHA telescopes. Those images were already in the expected format, and no preparation was needed.

Table 4.5: List of images found in the IAA-CSIC private database with the predicted FOV of MS4.

Observatory Country	Telescope [']	Diameter (m)	Camera	pixel scale $(\frac{n}{px})$	Images found
Calar Alto Spain	CAHA	1.23	$SITe\#2b$ 17	0.5	417
Sierra Nevada Spain	$\overline{}$	$1.5\,$	Roper	0.45	403
Roque-de-los-Muchachos Spain	TNG	3.58	LRS	0.5	100
Roque-de-los-Muchachos Spain	Liverpool	2.0	Optical Wide Field Camera IO:O	0.3	26

A search through the SSOIS^{[13](#page-121-2)} database [\(GWYN](#page-148-0) *et al.*, [2012\)](#page-148-0) for public images with ¹³<https://www.cadc-ccda.hia-iha.nrc-cnrc.gc.ca/en/ssois/>

MS4 and associated calibration files was performed. A total of 1487 images from different instruments were identified in the SSOIS database in December 2021. In this study, we did not use image sets with less than eight images or exposure times smaller than 40 s. 582 images and the appropriate calibration files were obtained (Table [4.6\)](#page-122-0). Despite having 70 images from the 1.3 m telescope in CTIO, the images are separated by several days, even months. Those small and isolated in-time data sets were not used in this analysis. A shell script was built using the ASTFITS function^{[14](#page-122-1)} provided by GNU Astro software^{[15](#page-122-2)} to convert the files into the standard FITS format and/or edit the image's header accordingly with the expected pattern. The script worked for 98.5% of the images, except for the Gemini data, which has a non-standard image/header format. Therefore, those images did not participate in the analysis.

Table 4.6: List of public images that may contain 2002 $MS₄$ according to the SSOIS portal. The list refers only to data sets with eight or more images and with exposure times larger than 40 s.

Telescope	Images	Telescope	Images
MPI/ESO	123	\overline{CTIO} 0.9 m	
Pan-STARRS 1	87	SOAR	26
CFHT	76	NTT	20
$CTIO$ 1.3 m	70	VLT	16
$CTIO$ 1.0 m	59	Gemini	
CTIO 4.0 m	56		

Despite having the expected FITS format, the files acquired by the Pan-STARRS 1^{16} 1^{16} 1^{16} and at the CTIO 1.0 m telescope present a white pattern all over the images (Fig. [4.31\)](#page-123-0). They are too complex for our algorithms to comprehend. Therefore, the ASTCROP func-tion by GNU Astronomy Utilities^{[17](#page-122-4)} was used to cut only for the image section that contains 2002 MS4, except for the Pan-STARRS 1 data. Pan-STARRS 1 images were discarded due to the presence of the white patterns and also because of the low SNR.

The images isolated in time were also excluded from our data set. Usually, only three or four images separated by months from other data do not contribute much to the analysis. Therefore, the massive data processing system described in Sect. [3.2.3](#page-80-0) received 309 public images, 47 images from the 1 m telescope located at Pic du Midi observatory - France^{[18](#page-122-5)}, and 946 files from the IAA-CSIC database (Table [4.5\)](#page-121-1). Finally, among the 1302 images submitted to the system described in Sect. [3.2.3,](#page-80-0) MS4 was found in only 518 images. Fig. [4.32](#page-123-1) presents the number of processed images and the images' SNR as

¹⁴https://www.gnu.org/software/gnuastro/manual/html_node/Invoking-astfits.html

¹⁵I used the version 0.14, and more information is available on [https://www.gnu.org/software/](https://www.gnu.org/software/gnuastro/) [gnuastro/](https://www.gnu.org/software/gnuastro/)

¹⁶More information available on <https://outerspace.stsci.edu/display/PANSTARRS/>

¹⁷Documentation available on [https://www.gnu.org/software/gnuastro/manual/html_node/](https://www.gnu.org/software/gnuastro/manual/html_node/Invoking-astcrop.html) [Invoking-astcrop.html](https://www.gnu.org/software/gnuastro/manual/html_node/Invoking-astcrop.html).

¹⁸The same data set used for Difference Image Analysis.

Figure 4.31: Image presenting the white patterns all over the FOV, acquired from a) Pan-STARRS 1 and b) CTIO 1 m telescopes.

a function of time. It is clear that the best data was acquired by TNG telescope in 2011 (as described in Sect. [4.1.2.1\)](#page-117-1). Note that filters written in the image's header are not considered in the photometric analysis. Every image passes through the photometric star selection and calibration described in Sect. [3.2.3.](#page-80-0)

Figure 4.32: Left: Number of points distributed over time. Right: 2002 MS₄'s signalto-noise distribution over time.

Fig. [4.33a](#page-124-0) presents a general view of the object's reduced magnitude $(M_{\text{obj}}(1, 1, \alpha))$ as a function of the α , where colors refer to different telescopes. The linear fit shows a negative slope, which is probably due to the outliers. Therefore, the croppy files were verified manually to identify problems in the photometry. The most common problematic situations involved the detection of the TNO merged with a non-Gaia star (Fig. [4.34a](#page-125-0) and [4.34b\)](#page-125-0), and, as a result, the measured magnitude was incorrect. In rare cases, the target

Figure 4.33: The plots present the reduced magnitude calculated by m2 as a function of the phase angle. The colors represent the different telescopes that acquired the images and are not identical for both plots. The solid black line presents the linear fit to all points. H_R and S are the coefficients resulting from the fit, corresponding to the absolute magnitude in R-band and slope, respectively. a) The entire data processed by m2 and b) the remaining points after the filtering process and sigma clipping (see text).

falls in a malfunctioning CCD area, and the defective pixels interfere with the measured flux (Fig. [4.34c](#page-125-0) and [4.34d\)](#page-125-0). Finally, using stellar charts to compare the detected FOV with the expected one revealed that the image's WCS solution may be incorrect if fringing effects are present. In this case, the flux of a random star is measured instead of the TNO (Fig. [4.34e\)](#page-125-0).

The detection problems mentioned above are expected when images with a crowded FOV from various instruments are submitted to the system. However, after excluding the problematic measurements, three groups still exhibit considerable deviations from the average, the images acquired by the Liverpool telescope, the Very Large Telescope (VLT), and the New Technology Telescope (NTT). Indeed, the visual inspection of VLT images revealed issues in filter identification, so they were excluded. The other two groups were maintained since there were no obvious concerns with the photometry, filter identification,

Figure 4.34: Original images that contain our TNO $(a, c, \text{ and } e)$ and the *croppies* made by m2 (b and d). Each color in the croppies represents a different source. a) Shows our target is close to but separated from a non-Gaia star. b) Shows that $M2$ interpreted both sources as being our TNO. c) and d) present the detection of bad pixels precisely in the position of 2002 MS₄. Finally, e) shows an example of a wrong WCS solution. This image has fringing, and the asteroid was identified as the source in the red square's center. In contrast, the correct source is marked by the green circle.

or contamination by nearby non-Gaia stars.

Then we applied a loop of sigma clipping to the remaining points using the NumPy library^{[19](#page-125-1)}. The sigma clipping ends when no more points are dropped, and the remaining points are presented in the plot of Fig. $4.33b$. As a result, the H_R and the slope at the R filter are similar to the published values. However, these results should be used with caution since they do not consider other sources of uncertainty, i.e., the error bars only came from the linear fitting approach. In addition, as the MS4 color is not well constrained, we used the published value of $V - R = 0.38 \pm 0.02$ [\(TEGLER](#page-159-2) *et al.*, [2016\)](#page-159-2) in Eq. [3.27,](#page-89-0) i.e., our results are also sensitive to the used color parameter.

As previously stated, the expected brightness fluctuations from MS4 rotation are Δm $= 0.05 \pm 0.01$ mag. However, after sigma clipping, the data standard deviation is 0.4 mag. eight times greater than the expected signal. Such a significant noise does not allow for periodic searches with the LS method. So this approach could not be used to determine MS4's rotational period and amplitude.

¹⁹Here, I used the numpy.polifyt class. Documentation available on [https://numpy.org/doc/stable/](https://numpy.org/doc/stable/reference/generated/numpy.polyfit.html) [reference/generated/numpy.polyfit.html](https://numpy.org/doc/stable/reference/generated/numpy.polyfit.html).

4.2 2004 XR_{190}

This moderately red TNO [\(SHEPPARD,](#page-157-1) [2010\)](#page-157-1) was discovered from observations made by the Canada–France Ecliptic Plane Survey (CFEPS) in Mauna Kea on December 11, 2004^{20} 2004^{20} . The analysis of the discovery images revealed an object with albedo between 0.04 and 0.16, which implies an equivalent diameter (D_{eq}) range of 425 - 850 km [\(ALLEN](#page-140-0) [et al.](#page-140-0), [2006\)](#page-140-0). However, considering a slightly higher albedo (0.1 - 0.25), [SCHALLER and](#page-157-2) [BROWN](#page-157-2) [\(2007\)](#page-157-2) obtained a diameter between 335 - 550 km.

2004 XR₁₉₀'s orbit has a semi-major axis of 57.48 au, an orbital eccentricity of 0.1° , and an unusual inclination of 46.6° . Due to its orbital parameters^{[21](#page-126-1)}, it can be dynamically classified as a scattered or detached object. The only information available about its rotation is an upper limit for the RLC amplitude of 0.026 mag [\(KECSKEMÉTHY](#page-150-0) et al., [2022\)](#page-150-0). The authors calculated this value from the residuals of the measurements of the images acquired by the $K2$ mission^{[22](#page-126-2)} of the Kepler Space Telescope.

Lucky Star collaboration predicted a stellar occultation by this faint object (apparent magnitude of ≈ 22 , in V-band) on January 22, 2021 (Fig. [4.35\)](#page-127-0). The prediction uncertainties were about two times the Earth's diameter, leading to the probability of a centrality observation to only 1%. However, the star was bright, and some observers tried to acquire data. One station from USA and another from ESP detected the event (Table [4.7](#page-126-3) and Fig. [4.36\)](#page-127-1). Therefore, the actual shadow path passed slightly at the south of the nominal prediction.

Observatory	Latitude $(°)$	Tel. aperture (m)	Immersion
nearest city	longitude $(°)$	instrument	emersion
country	altitude (m)	time source	observers
Westport Green Gao	41.171083333	0.356	$23:44:16.44 \pm 0.3$ s
Westport	-73.327583333	QHY 174	$23:44:40.37 \pm 0.3$ s
USA	285.0	GPS	Kevin Green, Chang Gao
	41.49375	0.4	$23:39:23.20 \pm 1.00$ s
Sant Esteve Sesrovires	1.872527778	Mintron 12V6HC-EX	$23:39:49.29 \pm 1.67$ s
ESP	180.0	Garmin GPS 18 1PPS	Carles Schnabel

Table 4.7: Observational circumstances and times as reported by the observers.

Following the procedure described in Sect. [3.1.5](#page-71-1) and using the immersion and emersion instants reported by the observers, a preliminary limb was fitted to the chords (Fig. [4.37\)](#page-128-0). The ellipse search was limited to an apparent oblateness of 0.6 and an equatorial radius of 425 km (upper limit from published radius). The derived limb has an apparent semimajor axis of 358 ± 66 km (1σ) and an equivalent radius of 300 ± 85 km (Table [4.8\)](#page-130-0). The astrometric position and the target star position used to obtain it are presented in Table [4.8.](#page-130-0) This result was used to refine 2004 XR_{190} 's ephemeris and predict new events.

²⁰The discovery was announced in the MPECs under the number [MPEC 2005-X72.](https://www.minorplanetcenter.net/iau/mpec/K05/K05X72.html)

²¹According to Minor Planet Center [web page,](https://www.minorplanetcenter.net/db_search/show_object?object_id=612911) accessed on October 21, 2022.

²²https://www.nasa.gov/mission_pages/kepler/main/index.html

Figure 4.35: Prediction map containing all the information about the stellar occultation by 2004 XR_{190} on January 22, 2021.

Figure 4.36: Predicted shadow path (green lines) and the location of both telescopes that detected the event (yellow markers).

Nowadays, the predictions have error bars of only 15% of Earth's diameter, a significant improvement that helps to prepare future observational campaigns.

The occultation detection also motivated photometric observations with the CAHA and SOAR telescopes. Despite only a few nights of data, the absolute photometry of those

Figure 4.37: Double detection of the stellar occultation by 2004 XR_{190} on January 22, 2021. Red segments indicate instant uncertainties, and colors represent the data acquired in each site. The black ellipse is the best-fitted limb, and the gray region presents the solutions at the 1σ level.

images allowed us to derive some preliminary information about the object's rotation (following the procedure described in Sect. [3.2.3\)](#page-80-0). The analysis revealed an H_R of 3.802 \pm 0.022 mag (Fig. [4.38a\)](#page-129-0) and many possibilities for the period (Fig. [4.38b\)](#page-129-0). The best frequency provided by the LS periodogram gives a $P = 19.47$ h (Fig. [4.38c\)](#page-129-0). However, the typical rotational frequency for TNOs is ≈ 8 h. Therefore, this result is probably some alias or noise present in the data. The preferred frequencies are shown in Fig. [4.38d](#page-129-0) and [4.38e](#page-130-1) referring to the second (10.57 h) or even the third peak (7.26 h).

Although more observations are needed to investigate 2004 XR_{190} 's rotational period, folding the data to the frequency intervals mentioned above, we obtained a Δm between 0.16 and 0.2 mags with a standard deviation (std) of ≈ 0.08 mag. This Δm is about ten times the published estimation (0.026 mag). So, despite not being conclusive, 2004 XR_{190} 's absolute magnitude and RLC amplitude were estimated using the absolute photometry approach. The stellar occultation detection and the derived rotational information enabled to derive of 2004 XR_{190} 's geometric albedo, information that is not available in the literature. Therefore, using the equivalent radius and absolute magnitude, the geometric albedo in R-band is $p_R = 0.095 \pm 0.23$.

Figure 4.38: (a) The reduced magnitude of 2004 XR_{190} as a function of the phase angle. Blue points are 7 images acquired by the CAHA telescope on October 11, 2021, while in red are the data acquired by the SOAR telescope on December 1-3, 2021, and January 03, 2022. (b) LS periodogram showing the cycles that are above the false alarm probabilities. The plots in (c), (d), and (e) are the three derived RLCs, one for each possible rotational period (see text).

Figure 4.38: Continue.

Table 4.8: Ellipse and rotational parameters as derived by this work (see text). The ellipse is defined by a' , ϵ' , PA', and f' , g' . The H_R is the absolute magnitude in R-band, β_R is the β in the R band. 2004 XR₁₉₀ geocentric coordinates were derived from the limb fitting and are given for the closest approach instant. The symbol * indicates that this error bar is given in $RA. \cos(DEC)$.

Elliptical limb parameters						
km)	(km) q^{\prime}	a' (km)		PA' (\circ)		
-3532 ± 22 -224 ± 38		358 ± 66	0.32 ± 0.14	35 ± 32		
Photometric properties						
Period (h)		H_R	$\beta_{\rm R}$			
19.47	10.57	7.26	3.802 ± 0.022	0.252 ± 0.058 mag/ ^o		
Astrometric		Instant (UT)	Right Ascension	Declination		
position (ICRS)		hh:mm:ss.ss	(hh mm ss.ss \pm mas*)	$(^{\circ}$ ' " \pm mas)		
Star		23:45:21.5	05 18 24.84327 \pm 0.20	$+142558.27080 \pm 0.12$		
2004 $XR190$			05 18 24.83701 \pm 0.57	$+142558.34015 \pm 0.94$		

4.3 Other objects

Besides the above-described study, about 2002 MS_4 and 2004 XR_{190} , collaborative work with stellar occultations by other objects also happened during the development of this thesis. Here a summary of contributions to published manuscripts and works in preparation is presented. Aperture photometry and instant determination are the most significant contributions to the stellar occultations by (84922) 2003 VS₂, (38628) Huya, (50000) Quaoar, (54598) Bienor, and Triton. Contributions regarding data analysis and software solutions happen to the stellar occultations by Europa and (10199) Chariklo.

Among all mentioned stellar occultation events, the contributions for Quaoar analysis are still in preparation to be published. I worked with two single-chord detections, one observed from OPD on March 2019 and the other from Tivoli/NAM on August 2019.

The last one detected both Quaoar and its satellite Weywot occulting the same star. The OLC put Weywot at $\approx 12,690$ km from Quaoar, close to the published orbital radius [\(VACHIER](#page-161-0) *et al.*, [2012\)](#page-161-0). However, the chord has a length of ≈ 175 km (Fig. [4.39\)](#page-131-0), which is twice the diameter estimation published by [FORNASIER](#page-146-1) et al. [\(2013\)](#page-146-1).

Figure 4.39: Occultation light curve acquired from Tivoli showing the drop in stellar flux due to Weywot (purple dots). Red dots present the synthetic light curve.

Among the published works, two Plutinos were characterized using stellar occultations: 2003 VS_2 and Huya. The 2003 VS_2 was observed in four stellar occultation events since 2013. However, the most successful campaign happened on November 2014 with 12 positive detections [\(BENEDETTI-ROSSI](#page-142-0) et al., [2016;](#page-142-0) [VARA-LUBIANO](#page-161-1) et al., [2022\)](#page-161-1). On the other hand, Huya's work is the most successful campaign for a TNO, with published results, up to date. It was detected from 21 stations in Europe in March 2019 [\(SANTOS-SANZ](#page-156-0) et al., [2022\)](#page-156-0).

Triton is the largest satellite of the planet Neptune and was observed during a stellar occultation on October 2017 from 90 telescopes located at USA, North Africa, and Europe. With such a large data set, human resources were requested to analyze all the light curves. As a result, the data provided amazing measurements of Triton's size and atmosphere [\(MARQUES OLIVEIRA](#page-152-0) et al., [2022\)](#page-152-0). Furthermore, one of the largest Centaurs, (54598) Bienor, was observed from five stations in January 2019, and the photometric analysis was also needed [\(FERNÁNDEZ-VALENZUELA](#page-146-2) et al., [2022\)](#page-146-2).

Besides the mentioned contributions, software improvements and discussions about stellar occultations by Europa and Chariklo were also made. Europa is one of the largest satellites of Jupiter and was detected from 5 stations during a stellar occultation in March 2017 [\(MORGADO](#page-152-1) et al., [2019\)](#page-152-1). Chariklo is the largest known Centaur, has rings, and has been largely studied by our collaboration in recent years. The published paper uses new detections of stellar occultation events to refine the system's physical parameters [\(MORGADO](#page-153-0) et al., [2021\)](#page-153-0). Finally, since the beginning of this project, I have made contributions to the development of the database on detected occultations, the Occultation Portal, and SORA [\(BRAGA-RIBAS](#page-142-1) et al., [2019;](#page-142-1) [GOMES-JÚNIOR](#page-147-0) et al., [2022;](#page-147-0) [KILIC](#page-150-1) [et al.](#page-150-1), [2022\)](#page-150-1).

Chapter 5

Conclusions

This research begins with stellar occultations, one of the available techniques for studying Centaurs and TNOs. Indeed, the first results of this project proved that even with only a single detection and even if the source has a low SNR, we can use the data to constrain the object's size and derive a competitive astrometric position. Depending on the object, this position is critical for predicting the subsequent stellar occultation campaigns. For instance, 2004 XR_{190} 's double chords from a stellar occultation described in Sect. [4.2](#page-126-4) reduced the prediction uncertainties from two Earth's diameters to only 15% in the current predictions. Therefore, 37 other events are analyzed, and accurate astrometry for 23 objects is obtained [\(ROMMEL](#page-156-1) *et al.*, [2020\)](#page-156-1). In addition, the collaborative work with colleagues also resulted in six other publications involving data analysis during this Ph.D. course (Sect. [4.3\)](#page-130-2).

Here we studied the hot classical TNO designated 2002 MS⁴ from the view of nine stellar occultation events. The four events detected in 2019 paved the way for the extensive campaign scheduled for August 8, 2020. We know this object needs an accurate determination for the rotational period and that combining the rotational phase with stellar occultation helps constrain the physical properties. Therefore, we prepared an observational proposal to acquire images at the SOAR telescope, which was approved for the second semester of 2020 (Appendix \mathbf{D}).

The stellar occultation on August 8, 2020 is the most successful campaign ever made for an occultation by a TNO, but the observations at SOAR were not performed due to the COVID-19 pandemic. A significant effort was made to obtain an accurate rotation period and amplitude for MS4. However, as it is in a dense stellar field, we could only measure its rotation period in one opportunity in 2011, when it crossed in front of a dark cloud. We analyzed images with a dense stellar field taken over many years and from different facilities using DIA and absolute photometry, but we could not obtain reliable results.

The multichord occultation detection allowed us to accurately derive MS4's projected size and shape. The derived limb has a small oblateness and can be taken as representative of the profile that we would have from the other eight stellar occultation events. Therefore, these data present a roughly round object with little fluctuations in the projected profile over time. There are two hypotheses for such "constancy" in the observed limb on all the occultation detections i) an aspect angle near 0° and ii) a MacLaurin spheroidal shape. Both hypotheses can also explain the small amplitude of the published RLC if albedo variations exist on the object's surface.

The equivalent area diameter derived from August 8, 2020, is about 130 km smaller than the results obtained with thermal observations. Despite agreeing at the 2σ level, this difference may indicate the presence of a large satellite [\(ORTIZ](#page-154-0) *et al.*, [2020a\)](#page-154-0). In addition, we identified significant features in the object's profile by analyzing the R_{diff} between the best-fitted ellipse and observed points. Especially in the northeast area, where variations of dozens of km were observed. To derive the size of such features, we followed two steps,

- 1. plotting a R_{diff} frequency histogram and fitting Gaussian functions to it;
- 2. fitting a model compound of parabolic functions and using MCMC to estimate its uncertainties.

The first step estimated the scale of the observed topography by measuring the FWHM of the Gaussian fits. However, the second approach provided precise measurements of at least one elevation and one depression. This is the first multichord stellar occultation detection of topography in a TNO, and the length of the most extensive feature corresponds to \approx 40% of MS4 equivalent diameter.

Main belt asteroids with diameters in the range of 1-500 km have shown craters with 45% of the object's mean diameter [\(BURCHELL and LELIWA-KOPYSTYNSKI,](#page-143-0) [2010\)](#page-143-0). Saturn moons like Tethys and Mimas also have large craters corresponding to about 30% to 40% of objects' mean diameters [\(DOUGHERTY and SPILKER,](#page-145-0) [2018\)](#page-145-0). Therefore, if the observed depression at the 2002 MS_4 profile is a crater, it has a similar diameter to the mentioned large impact craters. The difference is that MS4 is farther out, and this might be the largest crater ever observed in the trans-Neptunian region. As stated above, in this orbital region, only Pluto, Charon, and Arrokoth have detailed information about craters on their surface. Still, the largest ones represent only 11%, 22%, and 16% of their mean diameters (semi-major axis for Arrokoth), respectively.

In addition, the observed feature on the MS4 limb is only a lower limit for the suspected crater. There is an example of a crater with larger proportions in the Vesta south pole. Vesta is the second largest main belt object, with a mean diameter of 523 km. Despite a large body, it does not have a hydrostatic equilibrium shape [\(KARIMI](#page-149-1) et al., [2017\)](#page-149-1). The main reason might be two overlapping giant impact features at the south pole, as revealed by the Dawn mission [\(SCHENK](#page-157-3) et al., [2012\)](#page-157-3). The youngest one is named Rheasilvia and has a diameter of ≈ 460 km (Fig. [5.1\)](#page-136-0). Such crater diameter represents about 80% of the mean diameter of Vesta, double the average ratio for craters in smaller main belt asteroids. Therefore, Vesta is considered an irregular body and, differently from MS4, its RLC has a reasonable amplitude of 0.19 mag [\(FORNASIER](#page-146-3) et al., [2011\)](#page-146-3). Therefore, we investigated the variability on the object's rotational light curve that the observed depression might cause. We found that the missing area due to the crater candidate roughly corresponds to 2.5% of the total ellipse area, as obtained from stellar occultation multichord data. Therefore, unless the feature is larger than observed in our data, it seems insufficient to explain a variation of 5% in the published rotational light curve.

Figure 5.1: Vesta images provided by NASA solar system exploration [web](https://solarsystem.nasa.gov/resources/2066/a-false-color-topography-of-vestas-south-pole/) [page.](https://solarsystem.nasa.gov/resources/2130/virtual-vesta/)

Regarding deepness, [JOHNSON and MCGETCHIN](#page-149-0) [\(1973\)](#page-149-0) already stated a theoretical way to study supported topography on the surface of large objects. The authors stated that larger and denser bodies may support lower topography and that objects with diameters below 1000 km may support topography higher than 10% of its mean radius. This proportion was not observed in the Charon surface but proved true for MS4. The deepness of the observed depression is about 11% of the mean radius. Therefore, is this topography large enough to turn the MS4 shape into an irregular form? Do the observed superficial features cause the brightness variations observed in the rotational light curve?

The direct image collection found for 2002 MS_4 and analyzed by the OM/M2 system spans phase angles ranging from 0.3° to 1.2° , providing enough coverage of the phase curve interval observable from Earth. Therefore, an absolute magnitude and slope for the R-band are derived. Our result, corrected by the published V-R color, coincides with the published absolute magnitude in the V-band (considering the error bars). Furthermore, even though the phase interval slightly differs from the interval reported by [VERBISCER](#page-161-2) [et al.](#page-161-2) [\(2022b\)](#page-161-2), the R-band slope determined in this work is close to the published value for V-band. The difference between both slope values is only $0.018 \text{ mag}/\textdegree$. Therefore, the major conclusions regarding the physical characteristics of 2002 MS₄:

- The area equivalent diameter derived from stellar occultation data differs by about 138 km from the most recently published value. It may indicate the presence of an unknown satellite as suggested for 2002 TC_{302} in similar circumstances [\(ORTIZ](#page-154-0) [et al.](#page-154-0), [2020a\)](#page-154-0), but the error bars from the thermal diameter are large and can accommodate the difference within 2σ ;
- According to our measurements, the MS4's surface has at least one depression with a depth of 45.1 ± 1.5 km and a length of 322 ± 39 km. Also, a mountain with 25^{+4}_{-5} km high is present near this large depression. The observed depression diameter corresponds to about 40% of the equivalent diameter, an unusual size relation among all observed topography in the outer solar system's small bodies. Therefore, more data is needed to constrain its size and formation mechanisms;
- If a collision caused this significant topography, could MS4 be the parent body of a second collisional family still to be discovered in the trans-Neptunian region?
- Using the corrected by color H_R obtained from absolute photometry, the derived geometric albedo is $p_V = 0.104 \pm 0.026$.
- Despite not being conclusive, considering only the limb derived from stellar occultation data and the shallow RLC, a MacLaurin equilibrium shape seems appropriate for this object.

Recently, we also studied the physical properties of the unusual high-inclined TNO named 2004 XR_{190} . Despite the poor ephemeris and the FOV with few stars, we detected one stellar occultation in January 2021. This detection allowed constraining the object's equivalent diameter well inside the published range. In addition, the occultation results prompted photometric observations at CAHA and SOAR, and early data processing using the OM/M2 system yielded H_R and Δm values compatible with objects in hydrostatic equilibrium.

During this work, significant advances were made regarding tools for image photometry and background subtraction. We found that DIAPL2 had a better performance in not calibrated images with a crowded FOV. We also demonstrated that PRAIA has optimal results when used to derive rotational light curves by differential aperture photometry. Finally, $OM/M2$ system capabilities are presented by deriving a well-populated phase curve. Also, as described in this work, the absolute photometry allowed for absolute magnitude and phase coefficient determination in the R-band for both 2002 $MS₄$ and 2004 $XR₁₉₀$. Results show the potential of massively processing photometric images, including public images acquired for other scientific purposes.

At the end of this project, the sample of objects with published physical properties based on stellar occultation data increased to roughly 36 TNOs, five Centaurs, and three planetary satellites. These numbers do not consider the publications about Pluto's atmosphere evolution and the dynamics of Chariklo's/Haumea's rings. Furthermore, many events are still being analyzed and will be published in the following years, which includes the 2002 MS_4 results described in this work (Appendix [E\)](#page-196-0). Therefore, the stellar occultation field is quickly growing and will probably increase even more in the following years. This motivated my participation in the development of the OP and SORA. The OP has been used to store and organize occultation data sets, and with SORA, we analyzed all the occultation light curves presented in this work.

Although the Gaia DR2 catalog provides some astrometric information for small objects in the outer solar system, the orbit uncertainties quickly increase with time due to the small orbital coverage. Therefore, the collaboration continues to perform classic astrometry to maintain objects' ephemerides accurately. These dedicated missions usually happen in Brazilian or Spanish telescopes and require human resources. I have participated in dozens of these observational runs, acquiring experience with large facilities like the 1.6 m telescope in OPD. As a result, our international program to obtain accurate physical properties of TNOs and Centaurs has predicted hundreds of stellar occultations per year. The successful observations, i.e., with at least one detection, have increased, reaching the mark of about sixty events detected in 2021. A new era for stellar occultation observations is coming with the discovery of thousands of objects by the LSST, and we must be prepared.

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Appendix A

Stellar occultation observational circumstances

The following tables summaries the observational circumstances of each station for the eight stellar occultations presented by this work. For better visualization, the tables were divided into two groups i) the 8 August 2020 event and ii) the other eight stellar occultations. The positive, negatives and overcast locations involved in the 8 August 2020 campaign are listed by the tables [A.1,](#page-165-0) [A.2](#page-168-0) and [A.3,](#page-170-0) respectively. Positive and negatives of the other eight events are present by the tables [A.4](#page-171-0) and [A.5,](#page-172-0) respectively.

Table A.1: observational circumstances for all observatories that detected the stellar occultation by the main body on 8 August 2020. The * symbol indicates that this data was taken in drift scan mode.

Table A.2: Observational circumstances of all stations that acquired data of the 8 August 2020 event but did not detect the occultation. * This information is from http://www.ieec.cat/en/content/210/telescopeand-dome.

Observatory,	Latitude $(^\circ)$,	Telescope,		Exposure (s),	
nearest city,	longitude $(^\circ)$,	aperture (m),	Time source, instrument	cycle(s),	Observers
country	altitude (m)	filter		correction (s)	
Sternwarte Comthurey,	$+53.26608$	ω			
Neustrelitz,	$+13.1901666$	0.18	GPS	2.964	Konrad Guhl
Germany	74.0	Clear	QHY174	2.964	
Breitenweg,	$+50.993310$	RC			
Herkenrath,	$+07.183794$	0.304	GPS	2.56	Bernd Klemt
Germany	200.0	Clear	Watec $120N+$	2.56	
Biesenthal,	$+52.759278$	Newtonian			
Biesenthal,	$+13.663$	0.3	GPS	$\overline{\cdot}$	Nikolai Wuensche
Germany	263.0	Clear	QHY174	$\overline{}$	
	$+52.516111$	Newtonian			
Berlin,	$+13.427778$	0.254	GPS	3.0	Christian Weber
Germany	40.0	Clear	DVTI	3.0	
Eppstein-Bremthal,	$+50.13816667$	Schmidt-Cassegrain			
Wiesbaden,	$+08.364$	0.254	GPS	3.0	Oliver Kloes
Germany	256.0	Clear	$QHY-174M$	3.0	
Vierzon,	$+47.223258$	\sim			
Vierzon,	$+02.052731$	0.25	Time Box	1.5	Lionel Rousselot
France	100.0	Clear	ZWO 1600 M	$\ddot{?}$	
Borowiec,	$+52.276896$	\sim			
Poznan,	$+17.075216$	0.4	NTP	3.0(S)	Anna Marciniak
Poland	123.0	Clear	SBIG ST7	5.0	
Teplice,	$+50.63833$	Planewave CDK17			
Teplice,	$+13.84675$	0.43	TimeBox	0.5	Zdenek Moravec
Czech Republic	275.0	Clear	Apogee Aspen CG9000	0.58	
Plzen,	$+49.69475$				
Plzen,	$+13.321$	0.303	GPS	1.0	Jiri Polak
Czech Republic	339.0	Clear	QHY 174	$\overline{}$	
Plzen,	$+49.7073333$	\sim			
Plzen,	$+13.3321667$	0.303	GPS	1.0	Michal Rottenborn
Czech Republic	326.0	Clear	QHY 174	$\overline{\mathcal{E}}$	
Ksiezyno,	$+53.075944$	Newtonian			
Bialystok,	$+23.102194$	0.3	GPS	2.0	Wojciech Burzynski,
Poland	145.0	Clear	QHY174	$\ddot{?}$	Maciej Borkowski
Ondrejov,	$+49.91056$	$\bar{}$			
Ondrejov,	$+14.78364$	$\,0.65\,$	NTP	6.0	Kamil Hornoch
Czech Republic	528.0	Clear	Moravian G2-3200	$\ddot{}$	
Allariz,	$+42.2$	\sim			
Orense,	-07.77	0.254	NTP	8.0	Luis Perez
Spain	490.0	Clear	QHY6	\sim 10.0	
Buelach,	$+47.51956$	ω			
Buelach,	$+08.57064$	0.50	GPS	$\ddot{}$	Stefan Meister
Switzerland	550.0	Clear	DVTI	$\overline{}$	
Max Planck Institut,	$+48.261388889$	\sim			Vadim Burwitz, Piotr Sybilski,
Garching,	$+11.671111111$	0.61	GPS	3.0	Wienczyslaw Bykowski,
Germany	480.0	Clear	SBIG STX-16803	12.9	Thomas Müller
Giesing,	$+48.12194$	Cassegrain			
Munich,	$+11.6072$	0.80	Computer	1.355	Bernd Gährken
Germany	500.0	Orange	Atik 3141	1.355	
Ceský Rudolec - Matějovec, Strmilov,	$+49.08277778$ $+15.22841667$	0.203	GPS	2.5	Jiří Kubánek
Czechia	707.0	Clear	QHY 174M	$\overline{}$	
Wendelstein,	+47.703638889	\sim	GPS	1.0	
Brannenburg,	$+12.012055556$	2.1	3kk	13.0	Michael Schmidt
Germany	1836.0	$SDSS r + SDSS i$			
Nonndorf,	$+48.78695667$	ä,	GPS	1.28	
Waidhofen an der Thaya,	$+15.23565667$	0.254	WAT-610BD	?	Gerhard Dangl
Austria	549.0	Clear			
Cannet,	$+43.62093$	ω	GPS	1.28	
Riscle,	-0.044685	0.40	Watec $120N+$	$\overline{\cdot}$	Jean Jaques Castellani
France	180.0	Clear			
Saint-Caprais,	$+43.874044$	\Box	GPS	0.64	Eric Frappa,
Rabastens,	$+01.718749$	0.94	WATEC 910HX	?	Alain Klotz,
France	193.0	Clear			Maylis Lavayssiere
PDlink,	$+49.4042222$	ω	NTP or GPS?	0.9945	
Cadca,	$+18.7026306$	0.4	QHY 5 III 290M	0.9945	Peter Delincak
Slovakia	680.2	Clear		\blacksquare	
	$+45.9862778$	Schmidt-Cassegrain	GPS	1.28	
Muzzano-Lugano,	$+08.91958333$	0.23	Watec 910/HX-RC	?	Alberto Ossola
Switzerland	350.0	Clear			

Observatory, Nnearest city, country	Latitude $(°)$, longitude $(°)$, altitude (m)	Telescope aperture (m) filter	Time source, instrument	Observers
Pinsoro, Pinsoro, Spain	$+42.19916666$ -01.3388888 365.0	$\overline{}$ 0.28 Clear	GPS Mintron MTV-12V6HC-EX	Oscar Canales Moreno
Montseny, Sant Celoni, Spain	$+41.7214$ $+02.5206$ 300.0	0.254 Clear	NTP ST ₈	Josep M. Trigo-Rodríguez
Sabadell, Sabadell, Spain	$+41.55002777$ $+2.08333333$ 224.0	ω 0.5 Clear	GPS Watec 910HX-RC	Carlos Perelló
Calar Alto, Almería, Spain	$+37.22083245$ -2.540997836 2168.0	\equiv 1.23 Clear	NTP PlanetCam	Ricardo Hueso
Urseanu, Bucharest, Romania	$+44.448611$ $+26.093056$ 100.0	0.405 Clear	NTP ZWO ASI 224 MC color	Dascalu Mihai
Traian - Ialomița, Slobozia, Romania	$+44.761488$ $+27.341830$ 30.0	0.2 UV/IR Cut	NTP QHY 163 M	Daniel Nicolae Bertesteanu
TRAPPIST-North, Oukaimeden, Morroco	$+31.2061$ -7.8664 2751	0.6 Clear	NTP Andor IKONL BEX2 DD	Emmanuel Jehin
AGM, Marrakech, Morroco	$+31.173411$ -8.077456 400.0	0.355 Clear	NTP DMK 31AU03.AS	Mohammed Sabil
Specca, Ioannina, Greece	$+39.60175$ $+20.87014$ 480.0	$\overline{}$ $0.2\,$ Clear	GPS Canon Eos 1200D	Georgios Lekkas
Empesos, Agrinion, Greece	$+39.02570544$ $+21.31730396$ 334.0	\blacksquare 0.25 Clear	Occult Flash Tag ZWO ASI 224 MC	Vagelis Tsamis, Kyriaki Tigani
Amfiloxia, Greece	$+38.805170$ $+21.173370$ 218.0	$\overline{}$ 0.25 Clear	NTP ATIK 460exm	Nick Sioulas
Istanbul Univ., Istanbul, Turkey	$+41.011749$ $+28.965718$ 60.0	İST40 0.4 Clear	NTP Moravian G ₂ 8300	Süleyman Fişek, Oğuzhan Çakır
Athens University, Zografos, Greece	$+37.968561$ $+23.783368$ 250.0	0.4 Clear	NTP ZWO ASI 290MM	Kosmas Gazeas
Eskisehir Univ., Eskisehir, Turkey	$+39.8155^{\ast}$ $+30.5294*$ $785.0*$	$\overline{}$ 0.4 Clear	GPS FLI	Metin Altan
Ondokuz Mayıs Univ. Samsun, Turkey	$+41.367727$ $+36.201576$ 150.0	$\overline{}$ 0.37 Clear	GPS SBIG STL-4020M	Selami Kalkan

Table A.3: observational circumstances of all sites that tried to observe the 8 August 2020 event but had bad weather or technical issues and do not acquired data. The symbol * indicates that the information is from Google Earth.

Table A.4: observational circumstances for all stations that detected 2002 MS₄ in a stellar occultation for the other eight events. The geographic coordinates for OPSPA and ASH2 are from horizons website.

Date	$\mathrm{Site}/\mathrm{country}$ (detection)	Latitude $(^\circ$ ' ") Longitude $(^\circ$ ' ") Altitude (m)	Telescope aperture (m) instrument	Exposure (s) cycle (s) time source	Observers
09 July 2019	Guaratinguetá/BRA (technical problems)	22 48 10.02 S 45 11 30.5 W 573.0	Meade LX200 0.4 SBIG ST7XME		Rafael Sfair, Thamiris Santana
	Ponta Grossa/BRA (negative)	25 05 22.2 S 50 05 56.4 W 909.0	Meade RC400 0.406 Merlin Raptor	1.5 1.5 GPS	Chrystian L. Pereira
	La Silla/CHL (bad weather)	29 15 32.1 S 70 44 01.5 W 2,375.0	NTT 3.58 SOFI	\overline{a} $\overline{}$ L,	Emmanuel Jehin
	Cerro Pachón/CHL (bad weather)	29 15 39.5 S 70 44 21.1 W 2,693.9	SOAR 4.0 Merlin Raptor	\overline{a} $\overline{}$ ÷	Julio I. B. Camargo
	San Juan/ARG (negative)	31 47 54.7 S 69 17 44.1 W 2,552.0	CASLEO 2.15 PI-2040B	? ? ?	Luis A. Mammana, Eduardo F. Lajus
	Córdoba/ARG (negative)	31 21 24.58 S 64 35 34.41 W 864.0	? $\overline{\cdot}$?	? $\overline{\mathcal{E}}$?	Carlos A. Colazo
	Santa Rosa/ARG (negative)	36 38 16.0 S 64 19 28.0 W 182.0	$\frac{1}{2}$ 0.3 ?	5.0 ? ?	Julio Spagnotto
26 July 2020	Sutherland/ZAF (bad weather)	32 22 32.0 S 20 48 38.9 E 1,750.6	? $\overline{\cdot}$?	? ? $\overline{\mathcal{E}}$	Amanda Sickafoose
	La Reunion Island/FRA (bad weather)	? $\overline{\cdot}$?	$\overline{?}$ $\overline{\cdot}$ $\overline{\mathcal{E}}$? ? $\overline{\mathcal{E}}$	Jean Paul, Piere Thierry
	Santa Rosa/ARG (bad weather)	36 38 16.0 S 64 19 28.0 W 182.0	? $\overline{\cdot}$?	? ? ?	Julio Spagnotto
		? $\overline{\cdot}$?	? $\overline{\cdot}$?	? ? ?	Aldo Javier Wilberger
24 February 2021	Cerro Pachón/CHL (negative)	29 15 39.5 S 70 44 21.1 W 2,693.9	SOAR 4.0 Merlin Raptor	1.0 1.0 GPS	Altair Gomes Júnior, Flavia L. Rommel, Julio I. B. Camargo
14 October 2021	North Carolina/USA (Negative ????????)	? $\overline{\cdot}$?	? ? ?	? ? ?	David Wake
	North Carolina/USA (Technical Problem)	? $\overline{\mathcal{E}}$?	? $\overline{\mathcal{E}}$?	? ? ?	R. Flynn
	Alberta/USA (Cloudy)	? $\overline{\cdot}$?	? $\overline{\cdot}$?	? ? $\overline{\mathcal{E}}$	Phil Langill
	North Dakota/USA (Cloudy)	? $\overline{\cdot}$?	? $\overline{\cdot}$?	? ? $\overline{\mathcal{E}}$	Sherry Fieber-Beyer
	Indiana/USA (Cloudy)	$\overline{?}$ $\overline{\cdot}$?	? $\overline{\cdot}$?	$\overline{?}$? ?	Adam W. Rengstor
	Illinois/USA (Cloudy)	? $\ddot{?}$?	? $\ddot{?}$ $\overline{\mathcal{E}}$? $\ddot{?}$?	Aart Olsen
	Idaho/USA (Cloudy)	? $\overline{\cdot}$ $\overline{\mathcal{E}}$? $\overline{\mathcal{E}}$ $\overline{\cdot}$? $\ddot{?}$ $\overline{\mathcal{E}}$	Jason W. Barnes
	Kansas/USA (Cloudy)	? $\overline{\mathcal{E}}$ $\overline{\mathcal{E}}$? $\overline{\mathcal{E}}$ $\overline{\cdot}$? $\ddot{?}$ $\overline{\mathcal{E}}$	Greg Rudnick
	New Mexico/USA (Cloudy)	? $\overline{\mathcal{E}}$ $\overline{\mathcal{E}}$? $\overline{\mathcal{E}}$?	? $\ddot{?}$ $\overline{\mathcal{E}}$	Larry Molnar
	Ohio/USA (Cloudy)	? $\overline{\mathcal{E}}$ $\overline{\mathcal{E}}$? $\overline{\mathcal{E}}$ $\overline{\cdot}$? $\ddot{?}$ $\overline{\mathcal{E}}$	Rush Swaney
	Oregon/USA (Cloudy)	? $\overline{\mathcal{E}}$ $\overline{\mathcal{E}}$? $\overline{\mathcal{E}}$ $\overline{\mathcal{E}}$? $\ddot{?}$ $\overline{\mathcal{E}}$	Scott Fisher
	Montana/USA (Cloudy)	? ? $\overline{\mathcal{E}}$? ? $\overline{\cdot}$? ? ?	Bill Hanne

Table A.5: observational circumstances for all stations that did not detect 2002 MS4 or had bad weather during the other seven stellar occultations. The geographic coordinates for SOAR are from the horizons website.

Appendix B Stellar occultation light curves

This section provides the plots of all normalized light curves as a function of time (UTC). There are 80 light curves acquired during the nine stellar occultations observed between 2019 and 2021. Figures [B.1,](#page-175-0) [B.2,](#page-176-0) [B.3,](#page-177-0) [B.4](#page-178-0) and [B.5](#page-179-0) presents the plots from the 8 August 2020 stellar occultation, plotted from the northernmost to the southernmost stations. Figures [B.6](#page-180-0) and [B.7](#page-181-0) show the 19 light curves from the other eight events. The black dots present the observational data and in red is the fitted model.

Figure B.1: the 61 normalized light curves, centered in the occultation instant, obtained on the 8 August 2020 campaign. The station that acquired the light curve is mentioned in each plot. Black points with uncertainties in red are the acquired data and blue line is the fitted model.

Figure B.2: Continue.

Figure B.3: continued.

Figure B.4: continued.

Figure B.5: continued.

Figure B.6: Observed (black points) and calculated (red line) light curves for each site that observed a stellar occultation by 2002 MS4, except the 8 August 2020 multi-chord event - see table [A.4](#page-171-0) for observational details.

Figure B.7: Continue.

Appendix C

Stellar occultation instants

Table C.1: Star's dis- and re-appearance instants with 1σ error bars for 8 August 2020 positive chords.

	Table C.I continued	
Lastra a Signa	$20:43:13.814 \pm 0.083$	$20:43:41.9 \pm 1.3$
Khmelnytskyi	$20:42:00.95 \pm 0.012$	$20:42:49.7 \pm 15.7$
Sevilla	$20:44:29.04 \pm 0.13$	$20:44:59.40 \pm 0.19$
Huelva	$20:44:32.71 \pm 0.36$	$20:45:02.76 \pm 0.28$
Cluj-Napoca	$20:42:25.0 \pm 1.2$	$20:42:56.6 \pm 0.30$
Fuensanta de Martos	$20:44:22.0 \pm 3.0$	$20:44:55.0 \pm 3.1$
Fiastra	$20:43:05.2 \pm 1.8$	20:43:37.87 \pm 0.51
Dragsina	$20:42:34.911 \pm 0.092$	20:43:07.83 \pm 0.30
Ibiza	$20:43:56.6 \pm 1.8$	$20:44:28.54 \pm 0.24$
Alhendín	$20:44:17.88 \pm 0.57$	$20:44:54.84 \pm 0.18$
Sierra Nevada (150 cm)	$20:44:17.569 \pm 0.008$	$20:44:52.08 \pm 0.52$
Sierra Nevada (90 cm)	$20:44:16.05 \pm 0.47$	20:44:51.769 \pm 0.028
Estepona	$20:44:24.15 \pm 0.45$	$20:45:00.50 \pm 2.0$
Marbella	$20:44:26.1 \pm 4.3$	$20:45:59.64 \pm 0.22$
Roma	$20:43:08.37 \pm 0.37$	$20:43:43.79 \pm 0.37$
Hvar	$20:42:51.69 \pm 0.20$	$20:43:27.96 \pm 0.35$
Bacau	$20:42:12.63 \pm 0.35$	$20:42:50.48 \pm 0.70$
Sgurgola	$20:43:03.86 \pm 0.15$	$20:43:41.13 \pm 0.15$
Sassari	$20:43:19.34 \pm 0.36$	$20:43:56.60 \pm 0.51$
Kharkiv (70 cm)	$20:41:40.00 \pm 0.13$	$20:42:17.16 \pm 0.12$
Kharkiv (36 cm)	$20:41:39.6 \pm 1.9$	$20:42:18.3 \pm 1.2$
Ceccano	$20:43:02.34 \pm 0.41$	$20:43:40.86 \pm 0.39$
Brasov	$20:42:14.2 \pm 2.7$	$20:42:51.5 \pm 1.6$
Bârlad	$20:42:09.21 \pm 0.60$	$20:42:47.04 \pm 0.49$
Valenii de Munte	$20:42:13.69 \pm 0.24$	$20:42:51.08 \pm 0.49$
Ploiesti	$20:42:14.24 \pm 2.1$	$20:42:53.2 \pm 1.5$
Odessa	$20:42:00.00 \pm 0.14$	$20:42:38.49 \pm 0.11$
Naples	$20:43:00.67 \pm 0.30$	$20:43:37.7 \pm 1.1$
Agerola	$20:42:59.607 \pm 0.049$	$20:43:37.843 \pm 0.093$
Algiers	$20:43:50.841 \pm 0.021$	$20:44:29.115 \pm 0.073$
La Palma	$20:45:35.037 \pm 0.013$	$20:46:08.314 \pm 0.019$
Tijarafe	$20:45:35.68 \pm 0.19$	$20:46:08.10 \pm 0.10$
Ariana	$20:43:23.85 \pm 0.29$	$20:43:56.81 \pm 0.25$
Artemis	$20:45:31.944 \pm 0.035$	$20:46:01.295 \pm 0.021$
TAR ₁	$20:45:32.359 \pm 0.057$	$20:46:01.592 \pm 0.064$
TAR 2		
Catania	$20:43:04.60 \pm 0.92$	$20:43:34.561 \pm 0.072$

Table C.1 continued

	Table C.1 continued	
Kuban	$20:41:36.2 + 1.2$	$20:42:00.62 \pm 0.30$
Çanakkale	$20:42:23.41 \pm 0.54$	$20:42:38.815 \pm 0.071$

Table C.2: Star's dis- and re-appearance instants with 1σ error bars for the other eight stellar occultations events.

Appendix D

Observing proposal submitted to SOAR

Here is the proposal to observe 2002 $MS₄$ from the SOAR in the second semester of 2020. It was approved in the 11th position among the 21 submitted projects with 45.54 points. The board granted 22 h of observations divided into four observational nights. We arranged the nights carefully to avoid background star contamination on the images. However, with the outbreak of the COVID-19 pandemic in 2020, the observatory was closed, and no observations were made. Therefore, we do not have images related to this proposal.

SOAR TELESCOPE - Brazilian National Office

Observing Time Application Form (Ver. 2.4)

Semester: 2020B (Aug/2020 - Jan/2021)

Title of Proposal: Rotational light curve for full shape characterization of 2002 MS4

Observing Mode: Remote/Classical mode

Principal Investigator: Flavia Luane Rommel

PI Affiliation: ON

PI Email: flaviarommel@on.br

PI Phone: +55 46 99923 9822

Co-authors

Is there a thesis or dissertation that will benefit from the data?: YES

Abstract:

We propose the observation of the Trans-Neptunian Object (TNO) 2002 MS4 to determine its rotational light curve to allow its full 3D shape characterization. Candidate to be a dwarf planet, 2002 MS4, is one of the biggest hot classical TNOs, and no rotational light curve has ever been published. We have detected four stellar occultations by this object in 2019 which allowed constraining its limb size and shape. We aim to obtain its rotation light curve a few days before the next two good stellar occultation events that will cross Europe on August 08th, and South America on September 03rd, allowing to link the rotation phase with the detected limb. With that, we will be able to derive the first three-dimensional figure for this big TNO. These objects are known as leftovers of the solar system formation, therefore understand their physical properties help the understand of a much broader picture of the formation of our planetary system.

Time requested: 28 hours.

Minimum time accepted: 22 hours.

Instruments:

Instrument Camera

Optimal dates:

2020AUG05, 2020AUG09, 2020AUG11, 2020AUG12, 2020SEP04, 2020SEP07

Impossible dates:

Any other

Scientific category: Solar System

Previous missions of this proposal with the SOAR Telescope:

This proposal has not been submitted before.

Previous missions of other proposals with the SOAR Telescope:

- 2020A: No observations so far (and perhaps no observations at all due to a pandemic COVID-19).
- 2019B-008: Chariklo's rotation light curve for 3D shape characterization: Data acquired but high seeing and pointing limitations prevented to attain the proposal objective.
- 2019B Occultation by (3793) Leonteus (large Jupiter Trojan). SOAR got a **positive detection**, giving a lower limit to the object's size, other sites did not observe (overcast). An event by Quaoar could not be observed due to bad weather and instrumental problems. A first event by (60558) Echeclus was lost by little as SOAR was at the southern border of the shadow. Such events are useful to impose upper limits in the dimensions of the body. A second event by Echeclus was positive at SOAR and from another site in the south. Analysis of data are on its way, which will provide size and ring detection limits.
- SO2019A-003: stellar occultation events by Quaoar, Phoebe and 2002 MS4 were observed. Quaoar observation was positive and is under analysis. The event by Phoebe was negative to SOAR. Predictions indicates a grazing event for Phoebe in 2019A within uncertainties. The observation however worth trying because a negative chord close to the body is very useful to set upper limits to its dimensions. 2002 MS4: SOAR, NTT, Pico dos Dias (OPD), San Pedro de Atacama, UNESP, UEPG, CASLEO, Córdoba, El catalejo and Cerro Burek tried to observe the event. Positive detections were obtained from San Pedro de Atacama and OPD, negative chords from UEPG, CASLEO, Cordoba, El Catalejo and Cerro Burek. SOAR were overcast (but SOAR was outside the shadow path anyway - see left side of figure 1).
- SO2018B-003: Two events successfully observed in June (Phoebe) and July (2010 EK_{139}). Very good light curves were obtained, using visitor instrument. A paper using Phoebe observations has been published [5].
- SO2015A-015: we got 5 hours as payback which were used to obtain a new Chariklo light curve (using SOI), in a different epoch from previous observations and is published [6].
- Observations related to the preparation for the flyby of the TNO (486958) 2014 MU69 by New Horizons (NOAO time at SOAR using the visitor instrument - Raptor camera). CONTROL ID: 2817945, submitted to the DPS - Division of Planetary Sciences, American Astronomical Society, 2017.
- Discovery of the first ring system around a small solar system object: "A ring system detected around the Centaur (10199) Chariklo", Braga-Ribas et al. (2014), Nature, DOI: 10.1038/nature13155 [8].

Previous results in the field by the Principal Investigator:

1. "The Trans-Neptunian Object (84922) 2003 VS2 through Stellar Occultations", Benedetti-Rossi, G.; Santos-Sanz, P.; Ortiz, J. L.; Assafin, M.; Sicardy, B. et al. (2019), The Astronomical Journal, DOI: 10.3847/1538- 3881/ab3b05

- 2. "Database on detected stellar occultations by small outer Solar System objects", Braga-Ribas, F.; Crispim, A.; Vieira-Martins, R.; Sicardy, B.; Ortiz, J. L.; Assafin, M. et al. (2019), Journal of Physics: Conference Series, Volume 1365, Issue 1, article id. 012024. DOI: 10.1088/1742-6596/1365/1/012024
- 3. "First stellar occultation by the Galilean moon Europa and upcoming events between 2019 and 2021", Morgado, B.; Benedetti-Rossi, G.; Gomes-Júnior, A. R.; Assafin, M.; Lainey, V. et al. (2019), Astronomy $\&$ Astrophysics, DOI: 10.1051/0004-6361/201935500
- 4. "The size, shape, density and ring of the dwarf planet Haumea from a stellar occultation", Ortiz, J.L., et al. (2017), Nature, DOI:10.1038/nature24051

Publications:

Not related to this proposal since it is its first submission. Nevertheless they are relevant for this proposal, as they use data obtained with SOAR, and have the PI or at least one of the co-authors participation.

- 5. "The first observed stellar occultations by the irregular satellite Phoebe (Saturn IX) and improved rotational period", Gomes-Júnior, A. R.; Assafin, M.; Braga-Ribas, F.; Benedetti-Rossi, G.; Morgado, B. E. et al. (2020), Monthly Notices of the Royal Astronomical Society, DOI: 10.1093/mnras/stz3463
- 6. "Size and shape of Chariklo from multi-epoch stellar occultation", Leiva, R., Sicardy, B., Camargo, J. I. B., et al. (2017), AJ, DOI:10.3847/1538-3881/aa8956
- 7. "The Centaur 10199 Chariklo: investigation into rotational period, absolute magnitude, and cometary activity", Fornasier et al. (2014), A&A, DOI:10.1051/0004-6361/201424439
- 8. "A ring system detected around the Centaur (10199) Chariklo", Braga-Ribas,et al. (2014), Nature, 508, 72.

Scientific Case

Trans-Neptunian Objects (TNOs) are a class of small Solar System bodies that orbit the Sun with semimajor axis larger than that of Neptune [9]. Due to the low spatial density of material in this orbital region and significant distance from the Sun, these bodies do not experience a high surface differentiation. They are thought to be remnants of the primordial disk and an invaluable source of information about the primitive solar nebula as well as about the Solar System history and evolution [10][11]. Besides that, the determination of the size-frequency distribution of TNOs allows constraining the Solar System formation models [12].

Dynamically classified as a hot classical, 2002 MS_4 has an equivalent diameter of $934 \pm 47 \text{ km}$ derived from thermal data [13] and is a dwarf planet candidate. Stellar occultations can provide precise measurements of the object's limb size and shape in the observed instant. On 2019 July 09, our collaboration in South America observed the first stellar occultation by 2002 MS_4 , with two positive detections. Just a few days later, on July 26, in the same region, three positive chords were observed. The preliminary results (Figure 1) show a smaller object with an equivalent diameter of 773.5 ± 2.7 km, under the assumption of Maclaurin equilibrium figure. Other single and double-chord occultations were observed from Canada on July 26 and August 19, respectively. These four events were used to derive astrometric positions, and thus improving the accuracy of the forthcoming occultation events, especially those occurring on August, 8th 2020, observable from Europe, and September 03rd, from South America.

Up to this date, there is no rotational light curve published in the literature. If its rotation light-curve is caused only by albedo variegation, it will present an amplitude in the magnitude of just a few percents, which can be considered a lower limit. The knowledge of the light curve's amplitude and phase during the coming occultation events will impose significant constraints to derive its complete three-dimensional figure [1]. If the rotational light curve and the limb figure observed in 2020 is compatible with an oblate model, it will strengthen the assumption of a Maclaurin body as made for the observed occultation events. Thus its volume and density will be obtained.

Scientific Impact

The determination of the size-frequency distribution (SFD) of TNOs is important to constraint the Solar System models for dynamical evolution. The larger bodies originated from accretion processes, while the smaller ones are the result of collisional evolution [12]. Besides that, the Nice model can not fully explain the details about the distribution of hot and cold classical TNOs [12].

In this context, obtaining physical constraints of a hot classical TNO does help to improve our knowledge about this population. We already started this study using stellar occultation data from 2019, and some preliminary results are present in figure 1. Assuming a Maclaurin equilibrium shape to 2002 MS4, we computed size and shape solutions. With an equivalent diameter of 773.5 ± 2.7 km and an apparent flattening of 0.155 ± 0.015 , this object seems to be smaller than previous calculations from thermal data. With a rotational light curve, we most likely will be able to confirm, or dismiss, if this big TNO has a Maclauring equilibrium shape. Furthermore, if successful, this may become the first time that the rotational parameters of 2002 MS4 will be published.

Figure 1: Ellipses fitted to both stellar occultations data sets acquired on 2019, in black the best fit to the chords; gray ellipses are the possible solutions considering all the available chords (positive and negative). Green, blue and cyan lines are the positive chords and the error bars are present in red. The dashed lines are negative chords, which helped to restrict the ellipse parameters.

References

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Technical Justification

We request 28 observation hours (22 hours on target plus 6 hours of calibrating fields and overheads) with Goodman RED (imaging), to measure the rotational light curve of 2002 MS₄ on dates close to two stellar occultation events predicted to occur on August 08th and September 03rd, 2020. The times are essential to allow linking the rotational phase with the limb obtained from the occultation events. As the target is crossing the galactic plane, it is not rare that to find it blended to a background star. To avoid this issue, we adopt an observing window strategy. We searched for periods where the object's apparent sky path yields none (or minor) contamination from surrounding sources. Six different time intervals ranging from two to six hours, adding up 22 hours of on-target observation were selected (see object list), which is the minimum time needed to accomplish this project. We request six additional hours to obtain field calibration images to perform difference image analysis - DIA [7]. Considering ten images per field with exposures of 5 minutes each, we will need a bit over 5 hours, plus about 1 hour of overheads.

TNO's rotation period averages around 8 hours, and therefore the time would allow covering all rotational phase space. The strategy adopted will enable us to achieve high precision once it includes around 240 rotation cycles of a typical TNO during the period requested. Such a time coverage allows high accuracy on the period determination and, therefore, lower errors on the propagation of the TNOs observed phase during occultations. The target's apparent V magnitude between August and September 2020 will be around 20.4. Hence, we intend to use exposure times of at least 290 seconds (obtained with ETC for SOI instrument)¹ to achieve an $SNR > 120$ and therefore allowing precisions at the order of 0.01 mag. However, higher exposures might be needed. The R filter is chosen as it gives a good compromise between flux and image quality. The object list contains the target's position at the beginning of each observational interval, where the observable conditions are most favourable.

After traditional flat and dark calibrations, we will process the images for background subtraction using difference image analysis (DIA) as done in [7]. The DIA is the procedure where a master image of the field is generated to subtract background stars. The preferred way to obtain this image is to point the telescope to the same FOV (ideally with the same airmass) when the object is not present. Otherwise, it is possible to generate the master from the sum of all science images on the same night, knowing that the target object is a moving one.

To build the light curve and to measure the rotation period, we will use differential photometry to measure the fluxes once the technique already accounts for common variations that affect all objects on the image. Once the light curve is built, we will search for periodic signatures in the data using standard time series analysis techniques. Well tested methods available to analyze unevenly spaced data are the Lomb-Scargle periodogram [14] and the Phase Dispersion Minimization [15]. Parameter uncertainties will be approached with Monte Carlo techniques. Once we have the light curve properties such as period and rotation phase modulation, we will build a model using the phase folded data and propagate the rotation template to the instant of the occultations to aid in the derivation of its three-dimensional shape [1].

Special Instruments Requirements

No special requirements.

¹Available on https://www.noao.edu/gateway/ccdtime/

Objects List.

Appendix E

Paper in preparation

Here is the draft of the paper in preparation for 2002 $MS₄$. The results regarding the nine stellar occultation events, as described in this thesis, are compiled in the text. However, the rotational analysis described above is outside the scope of this publication and needs more data to be confirmed.

The size, shape, and topography of (307261) 2002 MS⁴ **from multiple stellar occultations**

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*(A*ffi*liations can be found after the references)*

Last version: November 26, 2022

ABSTRACT

Context. The physical properties of the trans-Neptunian Objects are essential for improving our understanding of the solar system's formation and evolution. Stellar occultations have become the most precise Earth-based technique to determine such properties.

Aims. The purpose of this work is to constrain the size, shape, and geometric albedo of the dwarf planet candidate (307261) 2002 MS₄ through the analysis of nine stellar occultation events. From the multichord detection, we also searched for topography in the object's limb.

Methods. We predicted and organized the observational campaigns for nine stellar occultations by 2002 MS_4 between 2019 and 2022 resulting in two single-chord events, four double-chord detections, and three events that have three or more positive chords. Using differential aperture photometry, we derived the light curves from which the star dis- and re-appearance instants were calculated. Using selected chords from the 8 August 2020 event, we determined the best elliptical fit to the limb of 2002 MS4. Combined with its rotational information from the literature, the best-fitted ellipse constrains the object's size, shape, and albedo. Additionally, we investigated the detected topography and, for the first time, developed a method to characterize it.

Results. The best-fitting elliptical limb has a semi-major axis of $a' = 412 \pm 10$ km, a semi-minor axis of $b' = 385 \pm 17$ km, and the position angle of the minor axis is 121[°] \pm 16[°]. From this instantaneous limb, we obtained 2002 MS₄'s geometric albedo $p_V = 0.07 \pm 0.12$ using an $H_V = 4.0 \pm 0.02$ 0.6 mag and a projected area-equivalent diameter of 796 ± 24 km. A topography detection was observed in the light curve one station, distant 11 km from the best-fitted ellipse (radial direction). Moreover, an elevation of 25^{+4}_{-5} km and a 45.1 \pm 1.5 km depth depression are detected, from multiple sites, in the northernmost limb.

Conclusions. Our results present an object ≈ 138 km smaller in diameter than derived from thermal data. However, the geometric albedo in the V-band agrees with the results published in the literature. For the first time, the stellar occultation allowed for a multichord detection and measurement of topography in a TNO.

Key words. Kuiper belt: individual: 2002 MS4 – Methods: observational

1. Introduction

Trans-Neptunian Objects (TNOs) are small solar system bodies that orbit the Sun with a semi-major axis larger than Neptune's [\(Jewitt](#page-204-0) *et al.* [2008\)](#page-204-0). Due to the low spatial density of material in this orbital region and significant distance from the Sun, their global physical-chemical composition has been largely unaffected since their formation. Therefore, they are considered remnants of the primordial disk, a valuable source of information about the primitive solar nebula and the evolution of our planetary system [\(Gladman](#page-204-1) *et al.* [2008;](#page-204-1) [Morbidelli](#page-205-0) *et al.* [2008;](#page-205-0) [Nesvorný & Morbidelli](#page-205-1) [2012\)](#page-205-1). Besides that, the knowledge of the size-frequency distribution of TNOs allows for constraining the solar system formation models (Petit *[et al.](#page-205-2)* [2008\)](#page-205-2).

Mainly due to the faintness and small angular sizes seen from Earth, our knowledge of the fundamental physical properties of the TNO population is still scarce and fragmented [\(Stansberry](#page-205-3) *[et al.](#page-205-3)* [2008;](#page-205-3) [Lellouch](#page-205-4) *et al.* [2013;](#page-205-4) [Lacerda](#page-205-5) *et al.* [2014\)](#page-205-5). Since the discovery of (15760) Albion in 1992 [\(Jewitt & Luu,](#page-204-2) [1993\)](#page-204-2), thousands of objects have been observed in this orbital region. However, the size and albedo of only 178 Centaurs and TNOs have been determined using thermal observations [\(Müller, Lel](#page-205-6)[louch, and Fornasier](#page-205-6) [2020\)](#page-205-6). On the other hand, spacecraft visits can fully characterize these objects, for instance, the NASA/New Horizons mission [\(Weaver and Stern](#page-205-7) [2008\)](#page-205-7) visit to the Pluto system [\(Stern](#page-205-8) *et al.* [2015,](#page-205-8) [2020;](#page-205-9) [Spencer](#page-205-10) *et al.* [2020 b\)](#page-205-10) and (486958) Arrokoth [\(Stern](#page-205-11) *et al.* [2019;](#page-205-11) [Benecchi et al.](#page-204-3) [2019;](#page-204-3) Buie *[et al.](#page-204-4)* [2020 a;](#page-204-4) [Spencer et al.](#page-205-12) [2020 a\)](#page-205-12). Unfortunately, the abovementioned approaches require large investments and cannot be used to study hundreds of objects.

Stellar occultation is a ground-based and more approachable method to study these distant bodies. It consists of observing a background star while a small body passes in front of it, blocking the stellar flux for a few seconds. An updated list of stellar occultation detections - that we are aware of - can be found at the SOSB Database^{[1](#page-198-0)} [\(Braga-Ribas](#page-204-5) *et al.* [2019\)](#page-204-5). Then, the limb derived from occultation data can be combined with information derived from other observational methods to characterize the object [\(Ortiz](#page-205-13) *et al.* [2020b\)](#page-205-13).

In this work we predicted, observed, and analyzed nine stellar occultations by the large TNO (307261) 2002 MS₄ - MS4 hereafter. It was discovered by the Near-Earth Asteroid Tracking $(NEAT)^2$ $(NEAT)^2$ program on 18 June 2002 and is classified as a hot classical TNO due to its high orbital inclination [\(Gladman](#page-204-1) *et al.* [2008;](#page-204-1) [Van Laerhoven](#page-205-14) *et al.* [2019\)](#page-205-14). Furthermore, MS4 is a candidate to be a dwarf planet due to its thermally derived equivalent diameter [\(Vilenius](#page-205-15) *et al.* [2012\)](#page-205-15). Physical and orbital parameters taken from previously published works are listed in Table [1.](#page-198-2)

In 2019, we observed four stellar occultations by MS4 from Argentina, Brazil, Canada, and Chile (see Tables [A.4](#page-213-0) and [A.5\)](#page-214-0). On July 26, we obtained a multichord detection from three wellseparated sites and, ≈ 8 hours later, a single-chord occultation of a different star from Canada. On July 9 and August 19, we detected two positive chords and a set of negatives. The astrometric results from 2019 data (Table [5\)](#page-203-0) were used to calculate a new ephemeris and predict the subsequent events [\(Desmars](#page-204-6) *et [al.](#page-204-6)* [2015\)](#page-204-6).

The first observation in 2020 was a double chord from South Africa on July 26, which confirmed the accuracy of MS4's ephemeris at an eight-milliarcsec (mas) level. Next, we organized an extensive campaign and successfully observed, from

Table 1: Orbital and physical properties of MS4 from the literature.

Orbital properties ^{<i>a</i>}		Physical properties		
a	41.9 au	\mathbf{D}^b	934 ± 47 km	
	35.9 au	pv^b	$0.051_{-0.022}^{+0.036}$	
	17.7°	Hv^b	4.0 ± 0.6	
e	ገ 14	\cdot Ap _{mag}	20.39 mag	

Notes. (*a*) Orbital elements from JPL Small-Boby Database Browser web page [https://ssd.jpl.nasa.gov/tools/sbdb_lookup.](https://ssd.jpl.nasa.gov/tools/sbdb_lookup.html#/?sstr=2002MS4) [html#/?sstr=2002MS4](https://ssd.jpl.nasa.gov/tools/sbdb_lookup.html#/?sstr=2002MS4) . (*b*) Physical properties obtained by [Vile](#page-205-15)nius *[et al.](#page-205-15)* [\(2012\)](#page-205-15): D: area-equivalent diameter and geometric albedo at V-band (p_V) ; H_V : average absolute visual magnitude at V-band; [Stansberry](#page-205-3) *et al.* [\(2008\)](#page-205-3) obtained D = 726.05 ± 123.05 km and $p_V = 0.084_{-0.023}^{+0.038}$ for a value of $H_V = 4.0$ using Spitzer data only. ^(*c*) **Ap_{mag}:** object's average apparent visual magnitude at the multichord stellar occultations' epoch, from JPL website <https://ssd.jpl.nasa.gov/horizons/app.html#/>.

61 sites, an occultation of a bright star on August 8, 2020. As described in this work, we derived valuable physical information from this multichord event observed in North Africa, Europe, and Western Asia. Finally, in the last two years, observatories from Europe, South, and North America recorded single-, double- and triple-chord events, respectively.

2. Predictions and observations

We performed classical astrometric runs to refine MS4's ephemeris at the Pico dos Dias (Brazil), La Silla (Chile), Calar Alto (Spain), and Pic du Midi (France) observatories between 2009 and 2019. The updated ephemeris and the *Gaia* Data Release 1 catalog [\(Gaia Collaboration](#page-204-7) *et al.* [2016 a](#page-204-7)[,b,](#page-204-8) [2018\)](#page-204-9) significantly improved the prediction of the 9 July 2019 occultation resulting in our first occultation by MS4. Furthermore, the astrometry derived from this occultation data allowed improvements in the subsequent predictions.

The default procedure for all events was to update the prediction and send alerts to potential observers within or close to the predicted shadow path. However, an exception was made for the 8 August 2020 event, and we built a campaign web page with helpful information for the observers^{[3](#page-198-3)}. Table [2](#page-199-0) shows the relevant information about the occulted stars from the *Gaia* Early Data Release 3 catalog (GEDR3, [Gaia Collaboration](#page-204-10) *et al.*[2021\)](#page-204-10).

The data came from a wide range of telescopes, from small portable ones (apertures between 13 cm and 30 cm) to large facilities like the 4.1 m telescope at the Southern Astrophysical Research (SOAR, Chile), the 2 m Liverpool telescope at Roque de Los Muchachos (Spain), the 1.6 m telescope at Pico dos Dias (Brazil), and the 1.5 m telescope at Sierra Nevada (Spain) observatories. Most observers did not use filters to maximize photon fluxes on CCD and thus got a better signal-to-noise ratio (SNR). Even though some observers used Global Positioning System (GPS) to acquire the time, the most common time source was the computer clock synchronization with a Network Time Protocol (NTP). A compilation of all the participating observers and instruments is presented in Appendix [A.](#page-207-0) All the predictions and observational efforts were developed inside the European Re-search Council (ERC) Lucky Star project^{[4](#page-198-4)}.

¹ <http://occultations.ct.utfpr.edu.br/results>

² More information on [https://sbn.psi.edu/pds/resource/](https://sbn.psi.edu/pds/resource/neat.html) [neat.html](https://sbn.psi.edu/pds/resource/neat.html)

³ The campaign web page is available in [https://lesia.obspm.](https://lesia.obspm.fr/lucky-star/campaigns/2020-08-08_2002MS4.html)

[fr/lucky-star/campaigns/2020-08-08_2002MS4.html](https://lesia.obspm.fr/lucky-star/campaigns/2020-08-08_2002MS4.html)

⁴ <https://lesia.obspm.fr/lucky-star/>

3. Data reduction, analysis and results

The great diversity of telescopes and detectors was reflected in five data formats^{[5](#page-199-1)}: *avi*, *adv* [\(Pavlov](#page-205-16) *et al.* [2020\)](#page-205-16), *ser*, *cpa*, and FITS. Most *avi*, *adv*, and *ser* video files were converted to FITS images using TANGRA^{[6](#page-199-2)} software. However, from some videos, the images were extracted using a python 3 script based on astropy v4.0.1 [\(Astropy Collaboration](#page-204-11) *et al.* [2013\)](#page-204-11). When calibration images were available, the raw images were corrected from bias, dark, and flat-field using standard procedures with Image Reduction and Analysis Facility (IRAF, Butcher & Stevens, 1981).

We applied aperture photometry on the target and some comparison stars on all the FITS files using the Package for Auto-matic Reduction of Astronomical Images (PRAIA, [Assafin et al.](#page-204-13) [2011\)](#page-204-13). The chosen photometric apertures considered the maximization of the SNR. In addition, we used the flux of the comparison stars to correct for sky transparency variations. As the images of MS4 and the star were blended, the photometric aperture measured the sum of their fluxes. The highest MS4's flux contribution was only 1%, considering the observed occultation of the faintest star (Table [2\)](#page-199-0).

The target's (MS4 + Star) light curve is normalized by dividing it by the light curve containing the averaged comparison stars' flux. Then the Stellar Occultation Reduction and Analysis package v0.2.1 (sora, [Gomes-Júnior](#page-204-14) *et al.* [2022\)](#page-204-14) is used to perform a polynomial fit and normalize the total flux outside the occultation to unity. On the other hand, the bottom flux during occultation was normalized to the median value of all images where the target star completely disappeared. Immersion and emersion instants were derived using the standard chi-square method $(\chi^2 \text{ test})$ between the observed and synthetic light curves.
The synthetic light curve considers a sharp-edge model con-The synthetic light curve considers a sharp-edge model convolved with Fresnel diffraction, finite exposure time, CCD bandwidth, and star diameter at MS4's distance (details about this procedure are available in [Gomes-Júnior](#page-204-14) *et al.* [2022](#page-204-14) and references therein). The star diameters projected at MS4 distance are listed in Table [2.](#page-199-0) They were calculated according to [Kervella](#page-204-15) *et* *[al.](#page-204-15)* [\(2004\)](#page-204-15)'s formalism and used to determine the event instants. Organized by the event's date, Table [3](#page-200-0) contains the ingress and egress UTC times with 1σ uncertainties for each station with a positive detection. Appendix [B](#page-215-0) presents the original normalized and the synthetic light curves used to obtain the instants.

If homogeneous, large TNOs like MS4 may reach one of the hydrostatic equilibrium shapes: the Jacobi three-axial ellipsoid or the Maclaurin oblate spheroid [\(Chandrasekhar](#page-204-16) [1987;](#page-204-16) [Tancredi](#page-205-17) [and Favre](#page-205-17) [2008\)](#page-205-17). The apparent global limb of the body is then an ellipse projected in the sky plane. This ellipse is defined by $M =$ 5 free parameters: center relative to the ephemeris (*f* and *g*), the semi-major axis (a') , the semi-minor axis (b') , or equivalently, the oblateness $(\epsilon' = a' - b'/a')$, and the position angle (PA) of
the semi-minor axis (*b'*). The PA counts positively starting from the semi-minor axis (b'). The PA counts positively starting from the celestial north and increasing to the east.

For each stellar occultation event, we converted the ingress and egress instants into a star position (*f*, *g*) with *f* and *g* increasing toward celestial east and north, respectively. At this point, we can fit a limb model to these points, which provides, among others, the position of MS4's center in the sky plane, and thus the ephemeris offset.

Among the nine stellar occultation events, only three allow for an elliptical fit to the chords: 9 July 2019, 8 August 2020, and 10 June 2022. We started our fitting procedure with the 61 chords acquired on 8 August 2020 (Sect. [3.1\)](#page-199-3), and we then used the residuals of the elliptic limb fit to search for topographic features on MS4 (Sect. [3.2\)](#page-201-0). We finally compared the resulting mean ellipse with the chords observed in the other events (Sect. [3.3\)](#page-202-0).

3.1. 8 August 2020

Three circumstances triggered an extensive observational campaign: i) the bright target star $(G = 14.6 \text{ mag from GEDR3 cat-}$ alog), ii) a micro-arc second-level accuracy of MS4's ephemeris stemming from previously detected occultations , and iii) a shadow path crossing densely populated regions. Accordingly, the observational campaign motivated the participation of 116 telescopes from Europe, North Africa, and Western Asia. As a result, we received 61 positive and 40 negative data sets. Other 15 locations had bad weather conditions, and observers could not acquire images. The number of effective chords is smaller than the 61 positives due to the overlapping of observations from nearby observatories along the object's limb.

We then submitted all the images to the procedure described at the beginning of this Section. As absolute time acquisition is

 5 *avi* = [Audio Video Interleave. For the](#page-204-15) *adv* (Astronomical Digital [Video\) more information can be found in](#page-204-15) [https://www.iota-es.de/](https://www.iota-es.de/JOA/JOA2020_3.pdf) [JOA/JOA2020_3.pdf](https://www.iota-es.de/JOA/JOA2020_3.pdf). *ser* [format is a simple image sequence format](#page-204-15) [and the documentation can be found in](#page-204-15) [http://www.grischa-hahn.](http://www.grischa-hahn.homepage.t-online.de/astro/ser/) [homepage.t-online.de/astro/ser/](#page-204-15). *cpa* is a compressed image file associated with prism ([http://www.prism-astro.com/fr/](#page-204-15) [index.html](http://www.prism-astro.com/fr/index.html)[. FITS stands for Flexible Image Transport System, and](#page-204-15) [the most recent documentation can be found in](#page-204-15) [https://fits.gsfc.](https://fits.gsfc.nasa.gov/fits_standard.html) [nasa.gov/fits_standard.html](#page-204-15)

⁶ [http://www.hristopavlov.net/Tangra3/](#page-204-15)

Table 3: Star's dis- and re-appearance instants with 1σ error bars from the nine stellar occultations events, sorted from northernmost to southernmost station.

essential to achieve good results, we checked each data set and applied offsets when: i) the observer reported time issues during the acquisition, ii) the camera acquisition software is known to have a systematic offset, and iii) overlapped chords do not match each other. The time shifts applied to the original positive data are presented in Table [A.1,](#page-207-1) and the corrected instants are in Table [3.](#page-200-0) Figure [1](#page-200-1) shows all the positives (blue lines) and their uncertainties in the star dis- and re-appearance (red segments). Finally, data showed no clear detection of surrounding features like satellites, rings, or jets (green lines). The search for such features will be the subject of a future work.

Fig. 1: The chords acquired on the 8 August 2020 event show the detection of MS4's limb in blue with 1σ error bars (red segments). For better visualization, six positive chords with large error bars were suppressed from this plot: TAROT, Lleida, Khmelnytskyi, Fuensanta de Martos, Kharkiv T36, and Marbella. The green lines are representing positions compatible with full target's flux, within the noise (i.e. no secondary occultation).

The plot of the chords at sky plane shows that an elliptical limb does not represent the observed profile (see discussion in Sect. [3.2\)](#page-201-0). Thus, to derive MS4's global limb on this event, we excluded the chords that probed the northeast region and selected the following positive chords: Grasse (FRA), Valbonne (FRA), Mátraszentistván (HUN), Catalonia (ESP), Massa (ITA), Roma (ITA), Hvar (HRV), Sassari (ITA), Odessa (UKR), Agerola (ITA), Algiers (DZA), La Palma (ESP) and Çanakkale (TUR). The selection was based in a balance between time reliability, data SNR, and separation from other chords. Therefore, the selected chords provide $N = 26$ independent points at the sky plane to fit the five ellipse parameters.

The elliptical limb is determined by minimizing the classical χ^2 function. The quality of the result is given by the χ
of freedom $v^2 = v^2/(N-M) \approx 1$ where N is the ² function. The quality of the result is given by the χ^2 per degree of freedom $\chi_{\text{pdf}}^2 = \chi^2/(N - M) \approx 1$, where N is the number of points and M is the number of fitted permeters (Gomes Linion points and M is the number of fitted parameters [\(Gomes-Júnior](#page-204-14) *[et al.](#page-204-14)* [2022\)](#page-204-14) . Following the theoretical approach proposed by [Johnson and McGetchin](#page-204-17) [\(1973\)](#page-204-17), the lower limit for topography in MS4 is 6-7 km (see Sect. [3.4\)](#page-202-1). A set of empirical tests using topography values between 0 and 10 km, revealed that a good fit $(\chi_{\text{pdf}}^2 = 0.92)$ is obtained when we consider that topographic
features up to 7 km are present on MS4's limb features up to 7 km are present on MS4's limb.

Among the elliptical solutions inside the 3σ region, we excluded those that crossed or approached the grazing negative chords to within the tolerance level of 7 km. Therefore, although the solutions cross the negative chord as seen from Montsec (Fig. [2\)](#page-201-1), they are inside the 7 km assumed range. The center (*f* and *g*) was calculated using the GEDR3 star position (Table [2\)](#page-199-0) and MS4's ephemeris provided by the Numerical Integration of the Motion of an Asteroid $(NIMA)^7$ $(NIMA)^7$ as described by [Desmars](#page-204-18) [\(2015\)](#page-204-18) and [Desmars](#page-204-6) *et al.* [2015.](#page-204-6) The equivalent surface radius was determined using the relation $R_{\text{equiv}} = a' \sqrt{1 - \epsilon'}$. Finally, the limb solution presented in Table 4 represents the best-fitted elliptical solution presented in Table [4](#page-201-3) represents the best-fitted elliptical limb at the sky plane.

Table 4: The parameters (with 3σ error bars) of the best-fitted elliptical limb, derived from the 13 selected positive chords. The solutions admit topographic features up to 7 km and are limited at north by the negative chord from the Montsec station (orange segments in Fig. [2\)](#page-201-1).

Fig. 2: Best elliptical limb (black ellipse) and the solutions within 3σ (gray region) plotted over the 13 selected chords (blue segments). The orange segments present each image acquired from the Montsec station, and the light green segments show other negative chords.

3.2. Topographic features

Determining topography limits for small bodies orbiting the Sun beyond Neptune is challenging. From an observational point of view, our target and most TNOs do not have in situ or high resolution images. However, we can use stellar occultation data to search for features on these objects' surfaces [\(Dias-Oliveira](#page-204-19) *et al.* [2017;](#page-204-19) [Leiva](#page-205-18) *et al.* [2017\)](#page-205-18). [Johnson and McGetchin](#page-204-17) [\(1973\)](#page-204-17) proposed a theoretical method to determine such limits for planetary satellites using the global density and composition. Using it for MS4 and assuming an icy body with a density between $\rho = 1.0 - 2.0$ g/cm³, the lower limit for superficial features is 6-7
km. If we consider that material strength may increase toward km. If we consider that material strength may increase toward the nucleus, the surface might support even more prominent features

The advance in interplanetary spacecraft technology allowed to characterize the surface of a few objects using in situ images. For instance, using Voyager's images of Uranus's largest satellites [Schenk and Moore](#page-205-19) [\(2020\)](#page-205-19) found superficial features up to 11 km. Likewise, New Horizons' flyby over Pluto system [\(Moore](#page-205-20) *et al.* [2016;](#page-205-20) [Nimmo et al.](#page-205-21) [2017\)](#page-205-21) and (486958) Arrokoth [\(Spencer et al.](#page-205-12) [2020 a\)](#page-205-12) revealed superficial structures on the same scale. Considering the sizes of the observed structures, the assumption of features up to 7 km on MS4's surface is reasonable.

A first evidence of topography on MS4 was detected on the Varages light curve. The data do not have dead time, and the exposure translates into a resolution of 1.97 km into the sky plane. The Fresnel diffraction and star diameter at MS4 geocentric distance are at the same level, 1.54 km and 1.19 km, respectively. It presents a sharp ingress and a gradual egress above the noise level as shown in Fig. [3.](#page-201-4) The feature did not appear in any of the other high SNR light curves, thus disbelieving the possibility of a secondary star. Thus, the most plausible explanation is a topographic feature where a portion of the star appeared during a few frames before egress, corresponding to a more than 20 km long feature in the chord's direction. The insert in Fig. [3](#page-201-4) pictures the star position in each frame, represented by yellow circles, relative to a proposed limb in gray.

Fig. 3: Black dots represent the normalized star flux in each frame acquired in Varages station as a function of time. The insert selects the egress region, and illustrates a possible explanation for such a signal (see text).

As already stated, it is clear in Fig. [1](#page-200-1) the presence of a group of chords showing a deviation from an elliptical limb. An elevation next to a large depression is seen in the northeast limb. To characterize these features, we first derived the radial difference (R_D) between the chords' extremities and the global best-fitted ellipse (Table [4\)](#page-201-3). The points with R_D larger than their 1σ uncertainties were selected. In Fig. [4,](#page-202-2) we see groups of points with positive and negative R_D , meaning topographic elevation and depression with respect to the global elliptical limb. Most of these points are concentrated between position angles -5◦ and 75◦ , therefore, we restrict our analysis to this data set.

The simplest function that can reproduce the observed features is a parabola. Equations [1](#page-201-5) and [2](#page-201-6) provide the models used to fit the group of points with negative and positive R*D*, respectively. A positive *a* coefficient indicates a depression. The *b* term accounts for the parabola's depth or height, while *c* accounts for the distance from the plot's origin. The $x = PA$ and $y = R_D$, where all *y* values outside the parabola are defined as zero.

$$
Depression = \begin{cases} 0 & y \ge 0\\ a(x-c)^2 - b & y < 0 \end{cases}
$$
 (1)

$$
Elevation = \begin{cases} 0 & y \le 0\\ a(x-c)^2 + b & y > 0 \end{cases}
$$
 (2)

Despite a reasonable model for a single feature, one single parabola can not describe the observed R*^D* points distribution. Therefore, the fitted model comprises three parabolic functions,

Model ⁼ *Depression* ⁺ *Ele*v*ation* ⁺ *Depression*

⁷ The NIMA-v9 ephemeris is publicly available and can be downloaded from [https://lesia.obspm.fr/lucky-star/obj.php?p=](https://lesia.obspm.fr/lucky-star/obj.php?p=692) [692](https://lesia.obspm.fr/lucky-star/obj.php?p=692)

Fig. 4: The horizontal green lines mark the limits the assumed \pm 7 km tolerance (see text), and the black dashed line is the bestfitted ellipse. Upper panel: red points are the R_D as a function of position angle, and the solid black line is the model with 1σ uncertainties represented in grey. Lower panel: the residuals after subtracting the model from the data.

where one depression corresponds to the Varages egress region (Here as negative position angles for better viewing).

The fitting was made using a high-level PYTHON interface for non-linear optimization and curve-fitting problems named L MFIT^{[8](#page-202-3)}. We used the Differential Evolution (DE) minimization method [\(Storn and Price](#page-205-22) [1997\)](#page-205-22) to derive the first estimation of the model's parameters, which can explore large areas of candidate space without getting stuck in a local minimum. Then, to get a representative estimation of the model's uncertainties, we explored the parameters' space using the Maximum likelihood via Monte-Carlo Markov Chain sampler - *emcee*^{[9](#page-202-4)} [\(Foreman-Mackey](#page-204-20) *[et al.](#page-204-20)* [2013\)](#page-204-20). Finally, the shaded region in Fig. [4](#page-202-2) was derived by accounting for unknown uncertainties of ≈ 4.5 km.

After subtracting the model from the data set, residuals are inside the expected range (bottom box in Fig. [4\)](#page-202-2). Therefore, according to the model at 1σ level, MS4's surface has an ≈ 11.0 km depth depression in the region detected by Varages station, followed by an elevation of 25^{+4}_{-5} km. However, the most impressive feature is the 45.1 ± 1.5 km depth depression with a length of 322 ± 39 km. Figure [5](#page-202-5) presents a general view of the detected limb and summarizes the topography solutions. Also, because the depression was likely not in its middle position at the limb, it is likely larger and deeper than what it seems from this snapshot at a particular rotation phase.

3.3. Other occultation events

Object's three-dimensional shape strongly correlates with the body's rotational modulation [\(Chandrasekhar](#page-204-16) [1987;](#page-204-16) [Tancredi](#page-205-17) [and Favre](#page-205-17) [2008\)](#page-205-17). Maclaurin objects usually have single-peaked rotational light curves with small peak-to-peak amplitudes caused by albedo features, while Jacobi shapes usually present double-peaked curves with more pronounced amplitudes (unless they are seen nearly pole-on). Therefore, a reasonable determination of MS4's rotational parameters is crucial to derive an accurate three-dimensional size, shape, albedo, and density.

However, since its discovery, MS4 has been crossing a highly dense field of stars, and it is complicated to obtain precise photometric measurements for rotational light curve determination.

Fig. 5: The blue points present the R_D points projected at the sky plane with 1σ uncertainties in red. The blue segment is the positive detection from Varages station. Orange and green segments correspond to negative frames acquired in Montsec and Asiago stations, respectively. In black, the dotted line is the global limb described in Sect. [3.1](#page-199-3) and the solid line is the model for local topography. The solid gray lines limit the model's 1σ -error bars. Finally, the proposed limb is shown by the gray color.

The only exception occurred in 2011 when MS4. At the time passed in front of a dark cloud on the galactic plane [Thirouin,](#page-205-23) [2013](#page-205-23) derived a single-peaked light curve with an amplitude of 0.05 ± 0.01 mag and two possibilities for the rotational period: 7.33 h or 10.44 h. Such a small amplitude may indicate that MS4 is a Maclaurin object, or a Jacobi ellipsoid/other shape that is being observed in pole-on orientation (an unlikely orientation in general). In both cases, the projected area on the sky plane should not change significantly in a short period (2019-2022) neither due to rotational variability or changes in the aspect angle. However, for the triaxial case the position angle of the projected ellipse would change significantly in different occultations due to changing in the rotational phase.

Due to its diameter and small rotational light curve amplitude, our preferred three-dimensional shape for MS4 is Maclaurin spheroid. Therefore we focused on it and tried to fit the same elliptical limb derived from the 8 August 2020 event (Table [4\)](#page-201-3) on the chords obtained on the other occultation events. Using $(f$ and g) set as a free parameter. When two center positions are χ^2 minimization, the ellipse was fitted having only the center equally possible (single chord cases), we present the center solution closer to the position predicted by the NIMA v9 ephemeris. The oblateness, equatorial radius, and position angle were free to vary inside the 3σ limits presented in Table [4.](#page-201-3) Figures [6](#page-203-1) and [7](#page-203-2) show the results of the limb fitting for the other eight events. The derived astrometric information is presented in Table [5.](#page-203-0)

3.4. MS4's 3D shape and albedo

The small rotational light curve amplitude and the limb observed on the different occultation events being the same favors a Maclaurin spheroid. Therefore, we will consider that MS4 has a Maclaurin shape (*^a* ⁼ *^b* > *^c*) with equatorial radius *^a*, polar radius *c*, and true oblateness $\epsilon = (a - c)/a$. In addition, we will assume that it was observed with the same polar aspect angle θ during all the stellar occultations, where $\theta = 0^{\circ}$ (resp. 90°) corresponds to a pole-on (resp. equator-on) viewing responds to a pole-on (resp. equator-on) viewing.

More about this library can be found in the [https://lmfit.](https://lmfit.github.io/lmfit-py/) [github.io/lmfit-py/](https://lmfit.github.io/lmfit-py/)

⁹ Documentation available on [https://emcee.readthedocs.io/](https://emcee.readthedocs.io/en/stable/) [en/stable/](https://emcee.readthedocs.io/en/stable/)

Fig. 6: The blue segments correspond to the positive data acquired from the stellar occultation on 9 July 2019. The 1σ uncertainties are in red, and the negative chord acquired from the Ponta Grossa station is in orange. The best-fitted ellipse is in black, and the solutions in the 3σ range are in gray. The fit considers topography of up to 7 km, thus the ellipses crossing the negative chord are in this range.

Table 5: Astrometric information (ICRS) for the geocentric closest approach instant (UT) obtained from the nine stellar occultation events observed between 2019 and 2022, sorted by date (day-month-year).

Date	Instant (UT) (hh:mm:ss:ss)	Right Ascension $(hh$ mm ss.ss $)$	Error (mas)	Declination (0, 1)	Error (mas)
09-07-2019	04:23:49.08	18 45 19.245981	0.23	-06 24 13.05928	0.60
26-07-2019	02:47:08.52	18 44 07.573463	0.57	-062640.17686	0.51
26-07-2019	10:18:43.02	18 44 06.315990	0.37	-062643.7686	1.3
19-08-2019	07:41:52.28	18 42 43 51613	1.0	-063233.9776	11
$26 - 07 - 2020$	23:17:56.04	18 48 18 075014	0.12	-061331.70897	0.12
$08-08-2020$	20:44:27.26	18 47 29.961308	0.12	-06 16 31 34442	0.10
24-02-2021	08:45:52.82	18 56 35.9873	11	-063023.1583	2.8
$14 - 10 - 2021$	03:26:05.50	18 50 30.768595	0.48	-06241320676	0.52
$10-06-2022$	05:32:47.30	19 00 15.446841	0.32	-0.542428843	$\overline{13}$

Using Eq. [3](#page-203-3) and the semi-major axis as derived in this work $(a' = a)$, we explored the relation between θ , ϵ , and *c*. A true oblateness of ϵ < 0.417 for a Maclaurin body limited the calcuoblateness of $\epsilon \leq 0.417$ for a Maclaurin body limited the calculation [\(Tancredi and Favre](#page-205-17) [2008\)](#page-205-17). Which results in an interval to the true polar axis as $241.5 < c < b'$, where $b' = 385 \pm 17$ km is the apparent semi-minor axis.

$$
\epsilon = 1 - \frac{\sqrt{(c/a)^2 - \cos^2(\theta)}}{\sin(\theta)}
$$
(3)

Assuming that the true oblateness is the same as apparent oblateness derived from the stellar occultations and following the Maclaurin spheroid formalism [\(Braga-Ribas](#page-204-21) *et al.* [2013\)](#page-204-21), a plot of the true oblateness against density for different rotational periods is presented in Fig. [8.](#page-204-22) The blue curve corresponds to a rotational period of P = 10.44 h, while the green one is for P = 7.33 h [\(Thirouin](#page-205-23) [2013\)](#page-205-23). The density interval is delimited for each period by the oblateness interval (dotted gray lines). The black solid line presents the nominal apparent oblateness derived from the stellar occultation data. The red segments show the corresponding limits for MS4 global density: 2.62 - 7.98 g/cm³ for $P = 7.33$ h and 1.3 - 3.93 g/cm³ for $P = 10.44$ h. These values are rather high for objects in the trans-Neptunian region, so it is reasonable to infer that the true oblateness is higher than the apparent one. The geometric albedo in V-band can be obtained using the absolute magnitude as published by [Vilenius](#page-205-15)

Fig. 7: These plots present the results for the additional eight stellar occultation events. Blue segments are the positive detections with 1σ uncertainties in red. The best elliptical limb is in black, with the center presented by the black dot. The gray region presents all the limb solutions inside 3σ . The χ^2_{pdf} of each fit
is presented between parenthesis in the individual labels. For the is presented between parenthesis in the individual labels. For the occultations presented in d), e), and f), the chosen center solution was the closest one to the predicted by NIMA v9 ephemeris.

[et al.](#page-205-15) [\(2012\)](#page-205-15) and the equivalent radius here derived, giving p_V $= 0.071 \pm 0.12$. The large uncertainty in the geometric albedo comes from the very large absolute magnitude uncertainty from [Vilenius](#page-205-15) *et al.* [\(2012\)](#page-205-15).

4. Discussion and conclusions

This work presents physical and astrometric information derived from nine stellar occultations by the hot classical TNO (307261) 2002 MS⁴ (MS4 for short), observed between 2019 and 2022 from sites in America, Africa, Europe, and Western Asia. The most successful campaign took place on 8 August 2020, with 116 telescopes involved and 61 positive chords. A record number of detections of a stellar occultation by a TNO up to date.

Fig. 8: Relation between the true oblateness and the density of a Maclaurin spheroid for the rotational periods of 10.44 h (blue dotted line) and 7.33 (green dashed line). The black solid line is the nominal oblateness value with uncertainties (gray dashed lines) derived from the multichord stellar occultation event. Red segments present the global density interval for each rotational period.

The projected elliptical limb of MS4 derived from the 8 August 2020 provides a semi-major axis of 411.8 ± 9.9 km, a semiminor axis of 385 ± 17 km, and an area-equivalent radius of 398 \pm 12 km. The obtained diameter is ≈138 km smaller than that derived with observations in thermal bands [\(Vilenius](#page-205-15) *et al.* [2012\)](#page-205-15). It may indicate the presence of an unknown satellite as suggested for 2002 TC₃₀₂ in similar circumstances Ortiz *[et al.](#page-205-24)* [\(2020a\)](#page-205-24) but the error bars from the thermal diameter are large and can accommodate the difference within 2σ .

This is the first multichord detection of an extensive feature on the surface of a monolithic TNO. At the same time, we developed a mathematical model to describe the identified features. According to our measurements, MS4 has at least one depression with a depth of 45.1 ± 1.5 km and an extension of 322 ± 39 km, and a mountain of 25^{+4}_{-5} km high. Such large topography may indicate that MS4 has suffered a big impact during its history, but this topic is out of the scope of this work. Finally, despite the unprecedented coverage of a stellar occultation by a TNO, no clear secondary drops in the star flux caused by rings, jets, or satellites were identified. Upper limits for detecting such structures in the occultation light curves are also out of the scope of this work.

Despite not being conclusive, the shallow rotational light curve, the derived equivalent diameter, and the agreement between the limb obtained from the nine stellar occultations favor an oblate spheroid (Maclaurin). Furthermore, the density intervals mentioned above are higher than expected for TNOs. This might indicate that the object's true oblateness is higher than observed in the occultations. However, more data are needed to confirm MS4's three-dimensional shape and density.

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Appendix A: Observational circumstances

The following tables summarize the observational circumstances of each station of the nine stellar occultations presented in this work. For better visualization, the tables were divided into two groups i) the 8 August 2020 event and ii) the other eight stellar occultations. The positive, negative and overcast locations involved in the 8 August 2020 campaign are listed in the Tables [A.1,](#page-207-1) [A.2](#page-210-0) and [A.3,](#page-212-0) respectively. Positive and negative observations of the other eight events are present in the Tables [A.4](#page-213-0) and [A.5,](#page-214-0) respectively.

Table A.1: Observational circumstances of all observatories that detected the stellar occultation by MS4 on 8 August 2020. The * symbol indicates that this data was taken in drift scan mode.

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Table A.2: Observational circumstances of all the stations that acquired data of the 8 August 2020 event but did not detect the occultation. * This information is from <http://www.ieec.cat/en/content/210/telescope-and-dome>.

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Table A.3: Observational circumstances of all the sites that tried to observe the 8 August 2020 event but had bad weather or technical issues and did not acquired data. The symbol * indicates that the information is from Google Earth.

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Table A.5: Observational circumstances of all the stations that did not detect 2002 MS4 or had bad weather during the other eight stellar occultations.

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Appendix B: Light curves

Here we provide the plots of the 80 positive occultation light curves acquired during the nine events observed between 2019 and 2022. They are normalized to the unity and the time is given in seconds counting from 00:00:00 (UTC) of the event date. Figures [B.1,](#page-215-1) [B.2,](#page-216-0) [B.3,](#page-217-0) [B.4](#page-218-0) and [B.5](#page-219-0) present the plots from the 8 August 2020 stellar occultation, listed from the northernmost to the southernmost stations. Figures [B.6](#page-220-0) and [B.7](#page-221-0) shows the light curves from the other eight events. The black dots present the observational data and the red line is the fitted model. Note to be deleted later: they are missing the light curves from TAROT, Massa 01 e TAR 02.

Fig. B.1: The 61 normalized light curves, centered in the occultation instant, obtained on the 8 August 2020 campaign. The station that acquired the light curve is mentioned in each plot. The black points and the red line present the observed data and the fitted model, respectively.

Fig. B.2: Continue.

Fig. B.3: continued.

Fig. B.4: continued.

Fig. B.5: continued.

Fig. B.6: Observed (black points) and calculated (red line) light curves for each site that observed a stellar occultation by 2002 MS4, except the 8 August 2020 multichord event - see table [A.4](#page-213-0) for observational details.

Fig. B.7: Continue.